



GENERAL SERVICES AGENCY

(Ahensian Setbision Hinirat)

Government of Guam

148 Route 1 Marine Drive, Piti Guam 96915

Tel: 475-1713 * Telefax: 472-4217; 475-1716; 475-1727

Accountability	*	Impartiality	*	Competence	*	Openness	*	Value
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INVITATION FOR BID NO.: GSA-003-22

DESCRIPTION:

GUMA SERENIDAD 24 HOUR EMERGENCY
PROTECTIVE SERVICES SHELTER FOR ELDERLY 60 YEARS AND OLDER
AND DISABLED ADULTS 18-59 YEARS WITH A CRISIS INTERVENTION HOTLINE

SPECIAL REMINDER TO PROSPECTIVE BIDDERS

Bidders are reminded to read the Sealed Bid Solicitation and Instructions, and General Terms and Conditions attached to the IFB to ascertain that all of the following requirements checked below are submitted in the bid envelope, in duplicate, at the date and time for bid opening.

- (X) BID GUARANTEE (15% of Bid Amount) May be in the form of;
Reference #11 on the General Terms and Conditions; & Pages 21, 22, & 23 ¶13
- a. Cashier's Check or Certified Check
 - b. Letter of Credit
 - c. Surety Bond – Valid only if accompanied by:
 - 1. Current Certificate of Authority issued by the Insurance Commissioner;
 - 2. Power of Attorney issued by the Surety to the Resident General Agent;
 - 3. Power of Attorney issued by two (2) major officers of the Surety to whoever is signing on their behalf.
- () BROCHURES/DESCRIPTIVE LITERATURE;
- (X) AFFIDAVIT DISCLOSING OWNERSHIP and COMMISSION
- a. Date of signature of the person authorized to sign the bid and the notary date must be the same.
- (X) OTHER REQUIREMENTS:
Affidavit re Ethical Standards, Affidavit re No Gratuities or Kickbacks, Special Provision; Restriction Against Sexual Offenders, Affidavit D.O.L. Wage Determination, Affidavit re Non-Collusion, Affidavit re Contingent Fees,
- (X) CURRENT BUSINESS LICENSE/CONTRACTOR'S LICENSE/SPECIALTY LICENSE
IN REFERENCE TO SUPPLIES OR SERVICES FOR THIS BID

This reminder must be signed and returned in the bid envelope together with the bid. Failure to comply with the above requirements may be cause for disqualification and rejection of the bid.

On this _____ day of _____, 202____, I _____,

authorized representative of _____ acknowledge receipt of this special reminder to prospective bidders with the above referenced IFB.

Bidder Representative's Signature

Invitation for Bid: GSA-003-22

**GUMA SERENIDAD 24 HOUR EMERGENCY
PROTECTIVE SERVICES SHELTER FOR ELDERLY 60 YEARS AND OLDER
AND DISABLED ADULTS 18-59 YEARS WITH A CRISIS INTERVENTION HOTLINE**

ACKNOWLEDGEMENT RECEIPT FORM

Please be advised that to be considered a prospective bidder you must fill out this Acknowledgement receipt form. Please submit form by Fax to **475-1727** and email to **gsaprocurement@gsadoa.guam.gov**

Acknowledgement Receipt Form must be submitted no later than three (3) days upon receipt of IFB package.

Name _____

Signature _____

Date _____

Time _____

Contact Number _____

Fax Number _____

Contact Person regarding IFB _____

Title _____

E-Mail Address _____

Company/Firm _____

Address _____

Note: GSA recommends that prospective bidders register current contact Information with GSA to ensure they receive any notices regarding any changes or update to the IFB. The procuring agency and GSA will not be liable for failure to provide notice to any party who did not register current contact information.

All questions and concerns in regards to this bid must be submitted to the General Services Agency via fax attention to the Chief Procurement Officer no later than Tuesday, November 30, 2021 close of business at 5:00pm.


Reference Page 27 of 117 #2(e) - No Entitlement To Preparation Costs – the bidder expressly waives any right it may have against the government for any expenses incurred in connection with the preparation of its bid.

Note: Pursuant to the Pandemic of Coronavirus (COVID-19), GSA adheres with the "Distance Socializing" under the Emergency Executive Orders 2020-03 thru 2020-20. GSA kindly ask for your cooperation in the matter, to limit your company's representatives to the following:

INVITATION FOR BID

ISSUING OFFICE:

GENERAL SERVICES AGENCY
GOVERNMENT OF GUAM
148 ROUTE 1, MARINE DRIVE
PITI, GUAM 96915


CLAUDIA S. ACFALLE
Chief Procurement Officer

DATE ISSUED: 11/24/2021

BID INVITATION NO: GSA-003-22

BID FOR: GUMA SERENIDAD 24 HOUR EMERGENCY - PROTECTIVE SERVICES SHELTER FOR ELDERLY 60 YEARS AND OLDER AND DISABLED ADULTS 18-59 YEARS WITH A CRISIS INTERVENTION HOTLINE

SPECIFICATION: SEE ATTACHED

DESTINATION: DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES

REQUIRED DELIVERY DATE: For a period of three (3) years with an option to renew for two (2) additional years on a year to year basis upon availability of funds. Renewals & Extensions are at the "Sole Discretion" of the Government of Guam.

INSTRUCTION TO BIDDERS:

INDICATE WHETHER: _____ INDIVIDUAL _____ PARTNERSHIP _____ CORPORATION

INCORPORATED IN: _____

This bid shall be submitted in duplicate and sealed to the issuing office above no later than (Time) 10:00am, Date: 12/10/21 and shall be publicly opened. Bid submitted after the time and date specified above shall be rejected. See attached General Terms and Conditions, and Sealed Bid Solicitation for details.

The undersigned offers and agrees to furnish within the time specified, the articles and services at the price stated opposite the respective items listed on the schedule provided, unless otherwise specified by the bidder. In consideration to the expense of the Government in opening, tabulating, and evaluating this and other bids, and other considerations, the undersigned agrees that this bid remain firm and irrevocable within 90 calendar days from the date opening to supply any or all the items which prices are quoted.

NAME AND ADDRESS OF BIDDER:

SIGNATURE AND TITLE OF PERSON
AUTHORIZED TO SIGN THIS BID:

AWARD: CONTRACT NO.: _____ AMOUNT: _____ DATE: _____

ITEM	NO(S).	AWARDED:
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CONTRACTING OFFICER:

CLAUDIA S. ACFALLE
Chief Procurement Officer

NAME AND ADDRESS OF CONTRACTOR:

SIGNATURE AND TITLE OF PERSON
AUTHORIZED TO SIGN THIS CONTRACT:

AFFIDAVIT RE ETHICAL STANDARDS

CITY OF _____)
) ss.
 ISLAND OF GUAM)

_____ [state name of affiant signing below], being first duly sworn, deposes and says that:

The affiant is _____ [state one of the following: the offeror, a partner of the offeror, an officer of the offeror] making the foregoing identified bid or proposal. To the best of affiant's knowledge, neither affiant nor any officers, representatives, agents, subcontractors or employees of offeror have knowingly influenced any government of Guam employee to breach any of the ethical standards set forth in 5 GCA Chapter 5, Article 11. Further, affiant promises that neither he or she, nor any officer, representative, agent, subcontractor, or employee of offeror will knowingly influence any government of Guam employee to breach any ethical standards set forth in 5 GCA Chapter 5, Article 11. These statements are made pursuant to 2 GAR Division 4 § 11103(b).

Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me this _____ day of _____, 202__.

NOTARY PUBLIC

My commission expires _____, _____.

AFFIDAVIT re NO GRATUITIES or KICKBACKS

CITY OF _____)
) ss.
 ISLAND OF GUAM)

_____ [state name of affiant signing below], being
first duly sworn, deposes and says that:

1. The name of the offering firm or individual is [state name of offeror company] _____ . Affiant is _____ [state one of the following: the offeror, a partner of the offeror, an officer of the offeror] making the foregoing identified bid or proposal.

2. To the best of affiant's knowledge, neither affiant, nor any of the offerors officers, representatives, agents, subcontractors, or employees have violated, are violating the prohibition against gratuities and kickbacks set forth in 2 GAR Division 4 § 11107(e). Further, affiant promises, on behalf of offeror, not to violate the prohibition against gratuities and kickbacks as set forth in 2 GAR Division 4 § 11107(e).

3. To the best of affiant's knowledge, neither affiant, nor any of the offerors officers, representatives, agents, subcontractors, or employees have offered, given or agreed to give, any government of Guam employee or former government employee, any payment, gift, kickback, gratuity or offer of employment in connection with the offerors proposal.

4. I make these statements on behalf of myself as a representative of the offeror, and on behalf of the offerors officers, representatives, agents, subcontractors, and employees.

Signature of one of the following:

Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me this _____ day of _____, 202__.

NOTARY PUBLIC

My commission expires _____, _____.

Special Provisions

Restriction against Sex Offenders Employed by service providers to Government of Guam from working on Government Property.

If a contract for services is awarded to the bidder or offeror, then the service provider must warranty that no person in its employment who has been convicted of a sex offense under the provisions of chapter 25 of Title 9 of Guam code Annotated or of an offense defined in Article 2 of chapter 28 of Title 9 of the Guam Code annotated, or who has been convicted in any other jurisdiction of an offense with the same elements as heretofore defined, or who is listed on the Sex Offender Registry, shall provide services on behalf of the service provider while on government of Guam property, with the exception of public highways. If any employee of a service provider is providing services on government property and is convicted subsequent to an award of a contract, then the service provider warrants that it will notify the Government of the conviction within twenty-four (24) hours of the conviction, and will immediately remove such convicted person from providing services on government property. If the service provider is found to be in violation of any of the provisions of this paragraph, then the government will give notice to the service provider to take corrective action. The service provider shall take corrective action within twenty-four (24) hours of notice from the Government, and the service provider shall notify the Government when action has been taken. If the service providers fail to take corrective steps within twenty-four (24) hours of notice from the Government, then the Government in its sole discretion may suspend temporarily and contract for services until corrective action has been taken.

Signature of Bidder Proposer, if an individual; Partner, if a partnership; Officer, if a corporation.	Date
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Subscribed and sworn before me this _____ day of _____, 202__

NOTARY PUBLIC
My commission expires, _____, _____.

FORM E

DECLARATION RE COMPLIANCE WITH U.S. D.O.L. WAGE DETERMINATION

Procurement No: **GSA-003-22**

GUMA SERENIDAD 24 HOUR EMERGENCY - PROTECTIVE SERVICES SHELTER FOR ELDERLY 60 YEARS AND OLDER AND DISABLED ADULTS 18-59 YEARS WITH A CRISIS INTERVENTION HOTLINE

Name of Offeror Company: _____ hereby certifies under penalty of perjury:

- (1) That I am _____ (the offeror, a partner of the offeror, an officer of the offeror) making the bid or proposal in the foregoing identified procurement;
- (2) That I have read and understand the provisions of 5 GCA § 5801 and § 5802 which read:

§ 5801. Wage Determination Established.

In such cases where the government of Guam enters into contractual arrangements with a sole proprietorship, a partnership or a corporation ("contractor") for the provision of a service to the government of Guam, and in such cases where the contractor employs a person(s) whose purpose, in whole or in part, is the direct delivery of service contracted by the government of Guam, then the contractor shall pay such employee(s) in accordance with the Wage Determination for Guam and the Northern Mariana Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct delivery of contract deliverables to the government of Guam.

The Wage Determination most recently issued by the U.S. Department of Labor at the time a contract is awarded to a contractor by the government of Guam shall be used to determine wages, which shall be paid to employees pursuant to this Article. Should any contract contain a renewal clause, then at the time of renewal adjustments, there shall be made stipulations contained in that contract for applying the Wage Determination, as required by this Article, so that the Wage Determination promulgated by the U.S. Department of Labor on a date most recent to the renewal date shall apply.

§ 5802. Benefits.

In addition to the Wage Determination detailed in this Article, any contract to which this Article applies shall also contain provisions mandating health and similar benefits for employees covered by this Article, such benefits having a minimum value as detailed in the Wage Determination issued and promulgated by the U.S. Department of Labor, and shall contain provisions guaranteeing a minimum of ten (10) paid holidays per annum per employee.

- (3) That the offeror is in full compliance with 5 GCA § 5801 and § 5802, as may be applicable to the procurement referenced herein;

- (4) That I have attached the most recent wage determination applicable to Guam issued by the U.S. Department of Labor. (INSTRUCTIONS – Please attach!)

Signature

Date

"REGISTER OF WAGE DETERMINATIONS UNDER
THE SERVICE CONTRACT ACT
By direction of the Secretary of Labor

Daniel W. Simms
Director
Division of Wage Determinations

U.S. DEPARTMENT OF LABOR
EMPLOYMENT STANDARDS ADMINISTRATION
WAGE AND HOUR DIVISION
WASHINGTON D.C. 20210

Wage Determination No.: 2015-5693
Revision No.: 13
Date Of Last Revision: 8/04/2021

Note: Under Executive Order (EO) 13658 an hourly minimum wage of \$10.95 for calendar year 2021 applies to all contracts subject to the Service Contract Act for which the contract is awarded (and any solicitation was issued) on or after January 1 2015. If this contract is covered by the EO the contractor must pay all workers in any classification listed on this wage determination at least \$10.95 per hour (or the applicable wage rate listed on this wage determination if it is higher) for all hours spent performing on the contract in calendar year 2021. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

States: Guam Northern Marianas Wake Island

Area: Guam Statewide
Northern Marianas Statewide
Wake Island Statewide

****Fringe Benefits Required Follow the Occupational Listing****

OCCUPATION CODE - TITLE	FOOTNOTE	RATE
01000 - Administrative Support And Clerical Occupations		
01011 - Accounting Clerk I		13.57
01012 - Accounting Clerk II		15.23
01013 - Accounting Clerk III		17.04
01020 - Administrative Assistant		21.43
01035 - Court Reporter		17.40
01041 - Customer Service Representative I		11.51
01042 - Customer Service Representative II		12.94
01043 - Customer Service Representative III		14.12
01051 - Data Entry Operator I		12.15
01052 - Data Entry Operator II		13.25
01060 - Dispatcher Motor Vehicle		17.39
01070 - Document Preparation Clerk		13.85
01090 - Duplicating Machine Operator		13.85
01111 - General Clerk I		10.35
01112 - General Clerk II		11.29
01113 - General Clerk III		12.68
01120 - Housing Referral Assistant		19.39
01141 - Messenger Courier		11.37
01191 - Order Clerk I		12.57
01192 - Order Clerk II		13.71
01261 - Personnel Assistant (Employment) I		15.95
01262 - Personnel Assistant (Employment) II		17.85
01263 - Personnel Assistant (Employment) III		19.89
01270 - Production Control Clerk		21.78
01290 - Rental Clerk		11.10
01300 - Scheduler Maintenance		15.55
01311 - Secretary I		15.55
01312 - Secretary II		17.40
01313 - Secretary III		19.39

01320	- Service Order Dispatcher	15.40
01410	- Supply Technician	21.43
01420	- Survey Worker	16.96
01460	- Switchboard Operator/Receptionist	10.36
01531	- Travel Clerk I	13.01
01532	- Travel Clerk II	14.12
01533	- Travel Clerk III	15.09
01611	- Word Processor I	14.53
01612	- Word Processor II	16.31
01613	- Word Processor III	18.26
05000	- Automotive Service Occupations	
05005	- Automobile Body Repairer Fiberglass	15.46
05010	- Automotive Electrician	14.52
05040	- Automotive Glass Installer	13.58
05070	- Automotive Worker	13.58
05110	- Mobile Equipment Servicer	11.65
05130	- Motor Equipment Metal Mechanic	15.46
05160	- Motor Equipment Metal Worker	13.58
05190	- Motor Vehicle Mechanic	15.46
05220	- Motor Vehicle Mechanic Helper	10.66
05250	- Motor Vehicle Upholstery Worker	12.64
05280	- Motor Vehicle Wrecker	13.58
05310	- Painter Automotive	14.52
05340	- Radiator Repair Specialist	13.58
05370	- Tire Repairer	12.67
05400	- Transmission Repair Specialist	15.46
07000	- Food Preparation And Service Occupations	
07010	- Baker	10.47
07041	- Cook I	13.26
07042	- Cook II	15.46
07070	- Dishwasher	9.31
07130	- Food Service Worker	9.45
07210	- Meat Cutter	12.13
07260	- Waiter/Waitress	9.27
09000	- Furniture Maintenance And Repair Occupations	
09010	- Electrostatic Spray Painter	18.04
09040	- Furniture Handler	10.95
09080	- Furniture Refinisher	18.04
09090	- Furniture Refinisher Helper	13.27
09110	- Furniture Repairer Minor	15.70
09130	- Upholsterer	18.04
11000	- General Services And Support Occupations	
11030	- Cleaner Vehicles	9.35
11060	- Elevator Operator	9.54
11090	- Gardener	13.00
11122	- Housekeeping Aide	9.54
11150	- Janitor	9.54
11210	- Laborer Grounds Maintenance	9.82
11240	- Maid or Houseman	9.32
11260	- Pruner	8.79
11270	- Tractor Operator	11.90
11330	- Trail Maintenance Worker	9.82
11360	- Window Cleaner	10.66
12000	- Health Occupations	
12010	- Ambulance Driver	18.23
12011	- Breath Alcohol Technician	18.23
12012	- Certified Occupational Therapist Assistant	25.01
12015	- Certified Physical Therapist Assistant	25.01
12020	- Dental Assistant	16.32
12025	- Dental Hygienist	36.12

12030 - EKG Technician	25.99
12035 - Electroneurodiagnostic Technologist	25.99
12040 - Emergency Medical Technician	18.23
12071 - Licensed Practical Nurse I	16.30
12072 - Licensed Practical Nurse II	18.23
12073 - Licensed Practical Nurse III	20.32
12100 - Medical Assistant	12.26
12130 - Medical Laboratory Technician	18.82
12160 - Medical Record Clerk	13.61
12190 - Medical Record Technician	17.77
12195 - Medical Transcriptionist	16.30
12210 - Nuclear Medicine Technologist	40.06
12221 - Nursing Assistant I	11.34
12222 - Nursing Assistant II	12.75
12223 - Nursing Assistant III	13.91
12224 - Nursing Assistant IV	15.61
12235 - Optical Dispenser	18.23
12236 - Optical Technician	16.30
12250 - Pharmacy Technician	15.49
12280 - Phlebotomist	16.30
12305 - Radiologic Technologist	25.33
12311 - Registered Nurse I	23.18
12312 - Registered Nurse II	28.36
12313 - Registered Nurse II Specialist	28.36
12314 - Registered Nurse III	34.32
12315 - Registered Nurse III Anesthetist	34.32
12316 - Registered Nurse IV	41.13
12317 - Scheduler (Drug and Alcohol Testing)	22.58
12320 - Substance Abuse Treatment Counselor	22.58
13000 - Information And Arts Occupations	
13011 - Exhibits Specialist I	21.20
13012 - Exhibits Specialist II	26.27
13013 - Exhibits Specialist III	32.13
13041 - Illustrator I	21.20
13042 - Illustrator II	26.27
13043 - Illustrator III	32.13
13047 - Librarian	29.09
13050 - Library Aide/Clerk	16.88
13054 - Library Information Technology Systems Administrator	26.27
13058 - Library Technician	16.64
13061 - Media Specialist I	18.96
13062 - Media Specialist II	21.20
13063 - Media Specialist III	23.63
13071 - Photographer I	18.96
13072 - Photographer II	21.20
13073 - Photographer III	26.27
13074 - Photographer IV	32.13
13075 - Photographer V	38.88
13090 - Technical Order Library Clerk	21.20
13110 - Video Teleconference Technician	18.96
14000 - Information Technology Occupations	
14041 - Computer Operator I	15.71
14042 - Computer Operator II	17.22
14043 - Computer Operator III	19.19
14044 - Computer Operator IV	21.33
14045 - Computer Operator V	23.62
14071 - Computer Programmer I	(see 1) 15.73
14072 - Computer Programmer II	(see 1) 19.50
14073 - Computer Programmer III	(see 1) 23.84

14074	- Computer Programmer IV	(see 1)	
14101	- Computer Systems Analyst I	(see 1)	24.23
14102	- Computer Systems Analyst II	(see 1)	
14103	- Computer Systems Analyst III	(see 1)	
14150	- Peripheral Equipment Operator		15.71
14160	- Personal Computer Support Technician		21.33
14170	- System Support Specialist		21.24
15000	- Instructional Occupations		
15010	- Aircrew Training Devices Instructor (Non-Rated)		24.23
15020	- Aircrew Training Devices Instructor (Rated)		29.32
15030	- Air Crew Training Devices Instructor (Pilot)		34.91
15050	- Computer Based Training Specialist / Instructor		24.23
15060	- Educational Technologist		27.61
15070	- Flight Instructor (Pilot)		34.91
15080	- Graphic Artist		20.47
15085	- Maintenance Test Pilot Fixed Jet/Prop		34.91
15086	- Maintenance Test Pilot Rotary Wing		34.91
15088	- Non-Maintenance Test/Co-Pilot		34.91
15090	- Technical Instructor		17.67
15095	- Technical Instructor/Course Developer		23.78
15110	- Test Proctor		15.70
15120	- Tutor		15.70
16000	- Laundry Dry-Cleaning Pressing And Related Occupations		
16010	- Assembler		10.12
16030	- Counter Attendant		10.12
16040	- Dry Cleaner		11.56
16070	- Finisher Flatwork Machine		10.12
16090	- Presser Hand		10.12
16110	- Presser Machine Drycleaning		10.12
16130	- Presser Machine Shirts		10.12
16160	- Presser Machine Wearing Apparel Laundry		10.12
16190	- Sewing Machine Operator		12.04
16220	- Tailor		12.52
16250	- Washer Machine		10.60
19000	- Machine Tool Operation And Repair Occupations		
19010	- Machine-Tool Operator (Tool Room)		19.46
19040	- Tool And Die Maker		24.46
21000	- Materials Handling And Packing Occupations		
21020	- Forklift Operator		13.96
21030	- Material Coordinator		21.78
21040	- Material Expediter		21.78
21050	- Material Handling Laborer		11.37
21071	- Order Filler		9.76
21080	- Production Line Worker (Food Processing)		13.96
21110	- Shipping Packer		17.12
21130	- Shipping/Receiving Clerk		17.12
21140	- Store Worker I		15.22
21150	- Stock Clerk		21.40
21210	- Tools And Parts Attendant		13.96
21410	- Warehouse Specialist		13.96
23000	- Mechanics And Maintenance And Repair Occupations		
23010	- Aerospace Structural Welder		25.04
23019	- Aircraft Logs and Records Technician		19.47
23021	- Aircraft Mechanic I		23.84
23022	- Aircraft Mechanic II		25.04
23023	- Aircraft Mechanic III		26.30
23040	- Aircraft Mechanic Helper		16.58
23050	- Aircraft Painter		22.39
23060	- Aircraft Servicer		19.47
23070	- Aircraft Survival Flight Equipment Technician		22.39

23080 - Aircraft Worker	21.03
23091 - Aircrew Life Support Equipment (ALSE) Mechanic I	21.03
23092 - Aircrew Life Support Equipment (ALSE) Mechanic II	23.84
23110 - Appliance Mechanic	19.46
23120 - Bicycle Repairer	15.61
23125 - Cable Splicer	19.59
23130 - Carpenter Maintenance	16.07
23140 - Carpet Layer	18.20
23160 - Electrician Maintenance	18.05
23181 - Electronics Technician Maintenance I	18.20
23182 - Electronics Technician Maintenance II	19.46
23183 - Electronics Technician Maintenance III	20.72
23260 - Fabric Worker	16.94
23290 - Fire Alarm System Mechanic	16.77
23310 - Fire Extinguisher Repairer	15.61
23311 - Fuel Distribution System Mechanic	20.72
23312 - Fuel Distribution System Operator	15.61
23370 - General Maintenance Worker	12.01
23380 - Ground Support Equipment Mechanic	23.84
23381 - Ground Support Equipment Servicer	19.47
23382 - Ground Support Equipment Worker	21.03
23391 - Gunsmith I	15.61
23392 - Gunsmith II	18.20
23393 - Gunsmith III	20.72
23410 - Heating Ventilation And Air-Conditioning Mechanic	17.50
23411 - Heating Ventilation And Air Conditioning Mechanic (Research Facility)	18.61
23430 - Heavy Equipment Mechanic	19.27
23440 - Heavy Equipment Operator	17.76
23460 - Instrument Mechanic	20.72
23465 - Laboratory/Shelter Mechanic	19.46
23470 - Laborer	11.37
23510 - Locksmith	19.46
23530 - Machinery Maintenance Mechanic	23.13
23550 - Machinist Maintenance	20.72
23580 - Maintenance Trades Helper	10.67
23591 - Metrology Technician I	20.72
23592 - Metrology Technician II	22.03
23593 - Metrology Technician III	23.33
23640 - Millwright	20.72
23710 - Office Appliance Repairer	19.46
23760 - Painter Maintenance	14.08
23790 - Pipefitter Maintenance	18.39
23810 - Plumber Maintenance	17.27
23820 - Pneudraulic Systems Mechanic	20.72
23850 - Rigger	20.72
23870 - Scale Mechanic	18.20
23890 - Sheet-Metal Worker Maintenance	17.35
23910 - Small Engine Mechanic	18.20
23931 - Telecommunications Mechanic I	19.76
23932 - Telecommunications Mechanic II	21.01
23950 - Telephone Lineman	18.24
23960 - Welder Combination Maintenance	18.31
23965 - Well Driller	21.13
23970 - Woodcraft Worker	20.71
23980 - Woodworker	15.61
24000 - Personal Needs Occupations	
24550 - Case Manager	15.01
24570 - Child Care Attendant	10.09

24580 - Child Care Center Clerk	13.25
24610 - Chore Aide	12.78
24620 - Family Readiness And Support Services Coordinator	15.01
24630 - Homemaker	16.12
25000 - Plant And System Operations Occupations	
25010 - Boiler Tender	20.72
25040 - Sewage Plant Operator	21.59
25070 - Stationary Engineer	20.72
25190 - Ventilation Equipment Tender	14.29
25210 - Water Treatment Plant Operator	21.59
27000 - Protective Service Occupations	
27004 - Alarm Monitor	10.90
27007 - Baggage Inspector	9.48
27008 - Corrections Officer	12.05
27010 - Court Security Officer	12.05
27030 - Detection Dog Handler	10.90
27040 - Detention Officer	12.05
27070 - Firefighter	12.05
27101 - Guard I	9.48
27102 - Guard II	10.90
27131 - Police Officer I	12.05
27132 - Police Officer II	13.40
28000 - Recreation Occupations	
28041 - Carnival Equipment Operator	13.24
28042 - Carnival Equipment Repairer	14.46
28043 - Carnival Worker	9.78
28210 - Gate Attendant/Gate Tender	13.18
28310 - Lifeguard	11.01
28350 - Park Attendant (Aide)	14.74
28510 - Recreation Aide/Health Facility Attendant	11.84
28515 - Recreation Specialist	18.26
28630 - Sports Official	11.74
28690 - Swimming Pool Operator	17.71
29000 - Stevedoring/Longshoremen Occupational Services	
29010 - Blocker And Bracer	25.98
29020 - Hatch Tender	25.98
29030 - Line Handler	25.98
29041 - Stevedore I	24.18
29042 - Stevedore II	27.79
30000 - Technical Occupations	
30010 - Air Traffic Control Specialist Center (HFO) (see 2)	40.29
30011 - Air Traffic Control Specialist Station (HFO) (see 2)	27.78
30012 - Air Traffic Control Specialist Terminal (HFO) (see 2)	30.59
30021 - Archeological Technician I	17.49
30022 - Archeological Technician II	19.56
30023 - Archeological Technician III	24.21
30030 - Cartographic Technician	23.18
30040 - Civil Engineering Technician	23.08
30051 - Cryogenic Technician I	25.57
30052 - Cryogenic Technician II	28.24
30061 - Drafter/CAD Operator I	17.49
30062 - Drafter/CAD Operator II	19.56
30063 - Drafter/CAD Operator III	20.77
30064 - Drafter/CAD Operator IV	25.57
30081 - Engineering Technician I	14.84
30082 - Engineering Technician II	16.66
30083 - Engineering Technician III	18.64
30084 - Engineering Technician IV	23.08
30085 - Engineering Technician V	28.24
30086 - Engineering Technician VI	34.16

30090 - Environmental Technician	23.08
30095 - Evidence Control Specialist	23.08
30210 - Laboratory Technician	20.77
30221 - Latent Fingerprint Technician I	25.57
30222 - Latent Fingerprint Technician II	28.24
30240 - Mathematical Technician	23.34
30361 - Paralegal/Legal Assistant I	19.54
30362 - Paralegal/Legal Assistant II	24.21
30363 - Paralegal/Legal Assistant III	29.61
30364 - Paralegal/Legal Assistant IV	35.83
30375 - Petroleum Supply Specialist	28.24
30390 - Photo-Optics Technician	21.93
30395 - Radiation Control Technician	28.24
30461 - Technical Writer I	23.08
30462 - Technical Writer II	28.24
30463 - Technical Writer III	34.16
30491 - Unexploded Ordnance (UXO) Technician I	25.60
30492 - Unexploded Ordnance (UXO) Technician II	30.98
30493 - Unexploded Ordnance (UXO) Technician III	37.13
30494 - Unexploded (UXO) Safety Escort	25.60
30495 - Unexploded (UXO) Sweep Personnel	25.60
30501 - Weather Forecaster I	25.57
30502 - Weather Forecaster II	31.09
30620 - Weather Observer Combined Upper Air Or (see 2)	20.77
Surface Programs	
30621 - Weather Observer Senior (see 2)	23.08
31000 - Transportation/Mobile Equipment Operation Occupations	
31010 - Airplane Pilot	30.98
31020 - Bus Aide	8.15
31030 - Bus Driver	10.66
31043 - Driver Courier	9.69
31260 - Parking and Lot Attendant	9.91
31290 - Shuttle Bus Driver	11.65
31310 - Taxi Driver	11.41
31361 - Truckdriver Light	10.59
31362 - Truckdriver Medium	11.61
31363 - Truckdriver Heavy	14.64
31364 - Truckdriver Tractor-Trailer	14.64
99000 - Miscellaneous Occupations	
99020 - Cabin Safety Specialist	15.10
99030 - Cashier	9.63
99050 - Desk Clerk	9.70
99095 - Embalmer	25.60
99130 - Flight Follower	25.60
99251 - Laboratory Animal Caretaker I	23.38
99252 - Laboratory Animal Caretaker II	25.54
99260 - Marketing Analyst	21.54
99310 - Mortician	25.60
99410 - Pest Controller	14.61
99510 - Photofinishing Worker	13.45
99710 - Recycling Laborer	17.32
99711 - Recycling Specialist	23.38
99730 - Refuse Collector	16.40
99810 - Sales Clerk	9.87
99820 - School Crossing Guard	17.27
99830 - Survey Party Chief	23.01
99831 - Surveying Aide	13.08
99832 - Surveying Technician	17.00
99840 - Vending Machine Attendant	23.38
99841 - Vending Machine Repairer	29.78
99842 - Vending Machine Repairer Helper	23.38

Note: Executive Order (EO) 13706 Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Service Contract Act for which the contract is awarded (and any solicitation was issued) on or after January 1 2017.

If this contract is covered by the EO the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness injury or other health-related needs including preventive care; to assist a family member (or person who is like family to the employee) who is ill injured or has other health-related needs including preventive care; or for reasons resulting from or to assist a family member (or person who is like family to the employee) who is the victim of domestic violence sexual assault or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

ALL OCCUPATIONS LISTED ABOVE RECEIVE THE FOLLOWING BENEFITS:

HEALTH & WELFARE: \$4.60 per hour up to 40 hours per week or \$184.00 per week or \$797.33 per month

HEALTH & WELFARE EO 13706: \$4.23 per hour up to 40 hours per week or \$169.20 per week or \$733.20 per month*

*This rate is to be used only when compensating employees for performance on an SCA-covered contract also covered by EO 13706 Establishing Paid Sick Leave for Federal Contractors. A contractor may not receive credit toward its SCA obligations for any paid sick leave provided pursuant to EO 13706.

VACATION: 2 weeks paid vacation after 1 year of service with a contractor or successor; and 4 weeks after 3 years. Length of service includes the whole span of continuous service with the present contractor or successor wherever employed and with the predecessor contractors in the performance of similar work at the same Federal facility. (Reg. 29 CFR 4.173)

HOLIDAYS: A minimum of ten paid holidays per year: New Year's Day Martin Luther King Jr.'s Birthday Washington's Birthday Memorial Day Independence Day Labor Day Columbus Day Veterans' Day Thanksgiving Day and Christmas Day. (A contractor may substitute for any of the named holidays another day off with pay in accordance with a plan communicated to the employees involved.) (See 29 CFR 4.174)

THE OCCUPATIONS WHICH HAVE NUMBERED FOOTNOTES IN PARENTHESES RECEIVE THE FOLLOWING:

1) COMPUTER EMPLOYEES: Under the SCA at section 8(b) this wage determination does not apply to any employee who individually qualifies as a bona fide executive administrative or professional employee as defined in 29 C.F.R. Part 541.

Because most Computer System Analysts and Computer Programmers who are compensated at a rate not less than \$27.63 (or on a salary or fee basis at a rate not less than \$455 per week) an hour would likely qualify as exempt computer professionals (29 C.F.R. 541.400) wage rates may not be listed on this wage determination for all occupations within those job families. In addition because this wage determination may not list a wage rate for some or all occupations within those job families if the survey data indicates that the prevailing wage rate for the occupation equals or exceeds \$27.63 per hour conformances may be necessary for certain nonexempt Employees.

For example if an individual employee is nonexempt but nevertheless performs duties within the scope of one of the Computer Systems Analyst or Computer Programmer occupations for which this wage determination does not specify an SCA wage rate then the wage rate for that employee must be conformed in accordance with the conformance procedures described in the conformance note included on this wage determination.

Additionally because job titles vary widely and change quickly in the computer industry job titles are not determinative of the application of the computer professional exemption. Therefore the exemption applies only to computer employees who satisfy the compensation requirements and whose primary duty consists of:

- (1) The application of systems analysis techniques and procedures including consulting with users to determine hardware software or system functional specifications;
- (2) The design development documentation analysis creation testing or modification of computer systems or programs including prototypes based on and related to user or system design specifications;
- (3) The design documentation testing creation or modification of computer programs related to machine operating systems; or
- (4) A combination of the aforementioned duties the performance of which requires the same level of skills. (29 C.F.R. 541.400).

2) AIR TRAFFIC CONTROLLERS AND WEATHER OBSERVERS - NIGHT PAY & SUNDAY PAY: If you work at night as part of a regular tour of duty you will earn a night differential and receive an additional 10% of basic pay for any hours worked between 6pm and 6am. If you are a full-time employed (40 hours a week) and Sunday is part of your regularly scheduled workweek you are paid at your rate of basic pay plus a Sunday premium of 25% of your basic rate for each hour of Sunday work which is not overtime (i.e. occasional work on Sunday outside the normal tour of duty is considered overtime work).

**** HAZARDOUS PAY DIFFERENTIAL ****

An 8 percent differential is applicable to employees employed in a position that represents a high degree of hazard when working with or in close proximity to ordnance explosives and incendiary materials. This includes work such as screening blending dying mixing and pressing of sensitive ordnance explosives and pyrotechnic compositions such as lead azide black powder and photoflash powder.

All dry-house activities involving propellants or explosives.
Demilitarization modification renovation demolition and maintenance operations on sensitive ordnance explosives and incendiary materials.
All operations involving re-grading and cleaning of artillery ranges.

A 4 percent differential is applicable to employees employed in a position that represents a low degree of hazard when working with or in close proximity to ordnance (or employees possibly adjacent to) explosives and incendiary materials which involves potential injury such as laceration of hands face or arms of the employee engaged in the operation irritation of the skin minor burns and the like; minimal damage to immediate or adjacent work area or equipment being used. All operations involving unloading storage and hauling of ordnance explosive and incendiary ordnance material other than small arms ammunition. These differentials are only applicable to work that has been specifically designated by the agency for ordnance explosives and incendiary material differential pay.

**** UNIFORM ALLOWANCE ****

If employees are required to wear uniforms in the performance of this Contract either by the terms of the Government contract by the employer by the state or local law etc.) the cost of furnishing such uniforms and maintaining (by laundering or dry cleaning) such uniforms is an expense that may not be borne by an employee where such cost reduces the hourly rate below that required by the wage determination. The Department of Labor will accept payment in accordance with the following standards as compliance:

The contractor or subcontractor is required to furnish all employees with an adequate number of uniforms without cost or to reimburse employees for the actual cost of the uniforms. In addition where uniform cleaning and maintenance is made the responsibility of the employee all contractors and subcontractors subject to this wage determination shall (in the absence of a bona fide collective bargaining agreement providing for a different amount or the furnishing of contrary affirmative proof as to the actual cost) reimburse all employees for such cleaning and maintenance at a rate of \$3.35 per week (or \$.67 cents per day). However in those instances where the uniforms furnished are made of "wash and wear" materials may be routinely washed and dried with other personal garments and do not require any special treatment such as dry cleaning daily washing or commercial laundering in order to meet the cleanliness or appearance standards set by the terms of the Government contract by the contractor by law or by the nature of the work there is no requirement that employees be reimbursed for uniform maintenance costs.

**** SERVICE CONTRACT ACT DIRECTORY OF OCCUPATIONS ****

The duties of employees under job titles listed are those described in the "Service Contract Act Directory of Occupations" Fifth Edition (Revision 1) dated September 2015 unless otherwise indicated.

**** REQUEST FOR AUTHORIZATION OF ADDITIONAL CLASSIFICATION AND WAGE RATE
Standard
Form 1444 (SF-1444) ****

Conformance Process:

The contracting officer shall require that any class of service employee which is not listed herein and which is to be employed under the contract (i.e. the work to be performed is not performed by any classification listed in the wage determination) be classified by the contractor so as to provide a reasonable relationship (i.e. appropriate level of skill comparison) between such unlisted classifications and the classifications listed in the wage determination (See 29 CFR 4.6(b)(2)(i)).

Such conforming procedures shall be initiated by the contractor prior to the performance of contract work by such unlisted class(es) of employees (See 29 CFR 4.6(b)(2)(ii)). The Wage and Hour Division shall make a final determination of conformed classification wage rate and/or fringe benefits which shall be paid to all employees performing in the classification from the first day of work on which contract work is performed by them in the classification. Failure to pay such unlisted employees the compensation agreed upon by the interested parties and/or fully determined by the Wage and Hour Division retroactive to the date such class of employees commenced contract work shall be a violation of the Act and this contract. (See 29 CFR 4.6(b)(2)(v)). When multiple wage determinations are included in a contract a separate SF-1444 should be prepared for each wage determination to which a class(es) is to be conformed.

The process for preparing a conformance request is as follows:

1) When preparing the bid the contractor identifies the need for a conformed occupation(s) and computes a proposed rate(s).

2) After contract award the contractor prepares a written report listing in order the proposed classification title(s) a Federal grade equivalency (FGE) for each proposed classification(s) job description(s) and rationale for proposed wage rate(s) including information regarding the agreement or disagreement of the authorized representative of the employees involved or where there is no authorized representative the employees themselves.

This report should be submitted to the contracting officer no later than 30 days after such unlisted class(es) of employees performs any contract work.

3) The contracting officer reviews the proposed action and promptly submits a report of the action together with the agency's recommendations and pertinent information including the position of the contractor and the employees to the U.S. Department of Labor Wage and Hour Division for review (See 29 CFR 4.6(b)(2)(ii)).

4) Within 30 days of receipt the Wage and Hour Division approves modifies or disapproves the action via transmittal to the agency contracting officer or notifies the contracting officer that additional time will be required to process the request.

5) The contracting officer transmits the Wage and Hour Division's decision to the contractor.

6) Each affected employee shall be furnished by the contractor with a written copy of such determination or it shall be posted as a part of the wage determination (See 29 CFR 4.6(b)(2)(iii)).

Information required by the Regulations must be submitted on SF-1444 or bond paper.

When preparing a conformance request the "Service Contract Act Directory of Occupations" should be used to compare job definitions to ensure that duties requested are not performed by a classification already listed in the wage determination. Remember it is not the job title but the required tasks that determine whether a class is included in an established wage determination. Conformances may not be used to artificially split combine or subdivide classifications listed in the wage determination (See 29 CFR 4.152(c)(1))."

AFFIDAVIT re NON-COLLUSION

CITY OF _____)
) ss.
ISLAND OF GUAM)

_____[state name of affiant signing below], being first duly sworn,
deposes and says that:

1. The name of the offering company or individual is *[state name of company]*

2. The bid for the solicitation identified above is genuine and not collusive or a sham. The offeror has not colluded, conspired, connived or agreed, directly or indirectly, with any other offeror or person, to put in a sham bid or to refrain from making an offer. The offeror has not in any manner, directly or indirectly, sought by an agreement or collusion, or communication or conference, with any person to fix the bid price of offeror or of any other offeror, or to fix any overhead, profit or cost element of said bid price, or of that of any other offeror, or to secure any advantage against the government of Guam or any other offeror, or to secure any advantage against the government of Guam or any person interested in the bid contract. All statements in this affidavit and in the proposal are true to the best of the knowledge of the undersigned. This statement is made pursuant to 2 GAR Division 4 § 3126(b).

3. I make this statement on behalf of myself as a representative of the offeror, and on behalf of the offeror's officers, representatives, agents, subcontractors, and employees.

Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me this _____ day of _____, 202__.

NOTARY PUBLIC
My commission expires, _____, _____.

AFFIDAVIT DISCLOSING OWNERSHIP, INFLUENCE, COMMISSIONS AND CONFLICTS OF INTEREST

(Required by 5 GCA § 5233 as amended by P.L. 36-13 (4/9/2021))

CITY OF _____)
) ss.
ISLAND OF GUAM)

Preface. As a condition of submitting a Bid/Offer/Proposal or responding to any method of source selection under Guam’s Procurement Law for the purpose of entering into a contract with the government of Guam, this Affidavit requires all Bidders/Offerors/Prospective Contractors to make disclosures of ownership, influence, commissions, gratuities, kickbacks, and conflicts of interest occurring **during the 365 calendar days preceding the publication of this solicitation and until award of a contract**. This includes the duty to disclose **any changes** to the facts disclosed herein throughout the solicitation process; and if the entity submitting this Affidavit is awarded a contract, the duty to disclose **any changes** to the facts disclosed herein **continues throughout the life of the contract, including any extensions or renewals**.

A. I, the undersigned, being first duly sworn, depose and say that I am an authorized representative of the Bidder/Offeror/Prospective Contractor and that (please check and fill out all that apply):

[] The Bidder/Offeror/Prospective Contractor is an individual with a business license, and all decisions are by, and all profit is for, that same individual, with principal place of business street address being: _____

[] The Bidder/Offeror/Prospective Contractor is a business or artificial person (as defined in 1 GCA § 715 or 5 GCA §§ 5030(n) or 5233(b)), and is a sole proprietorship owned entirely (100%) by _____, with principal place of business street address being: _____

[] The Bidder/Offeror/Prospective Contractor is a business or artificial person (as defined in 1 GCA § 715 or 5 GCA §§ 5030(n) or 5233(b)), and is owned by the following multiple individuals. Note: owners of more than 10% are statutorily required to be listed below, but other owners of smaller percentage are encouraged to be listed as well.

Name of Owner	Principal Place of Business Street Address	% of Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

[] One or more of the more-than-10% owners listed above is a business or artificial person. Any more-than-25% owners of such a business or artificial person are listed below per 5 GCA § 5233. Note: any less-than-25% owners of such a business or artificial person is encouraged to also be listed below.

Name of >10% Owner Business or Artificial Person:

Names of owners of the >10% Owner Business or Artificial Person ("Second Tier Owner")	Owner’s Principal Place of Business Street Address	% of Interest

Name of other >10% Owner Business or Artificial Person:

Names of owners of the >10% Owner Business or Artificial Person ("Second Tier Owner")	Owner's Principal Place of Business Street Address	% of Interest

B. If any Second Tier Owner identified above is an artificial person, the natural or artificial owners of such Second Tier Owner who have held more than 49% of the shares or interest in the Bidder/Offleror/Prospective Contractor (Third Tier Owners) are as follows [if none, please so state]:

Second Tier Owner Name_____

Name of Third Tier Owner	Principal Place of Business Street Address	% of Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____

C. If the name of no natural person has been identified as an owner, or a Second or Third Tier Owner of the Bidder/Offleror/Prospective Contractor, please identify the name, position, address, and contact information of the natural person having the authority and responsibility for the Bid/Offer/Proposal/Prospective Contract, and the name of any natural person who has the authority and power to remove and replace the designated responsible person:

Name of Natural Person	Position	Street Address of Principal Place of Business	Phone Number, Email Address, and other Contact Information

D. Further, I say that the persons who have received or are entitled to receive a commission, gratuity, contingent fee or other compensation to solicit, secure, or assist in obtaining business related to the Bid/Offer/Proposal/Prospective Contract for which this Affidavit is submitted are as follows (if none, please so state):

Name	Principal Place of Business Street Address	Amount of Compensation
_____	_____	_____
_____	_____	_____

E. Further, I say that the persons who have directly or indirectly participated in this solicitation and who are also employees of the government of Guam or the government of the United States, if federal funds are to be used in the payment of the contract related to the Bid/Offer/Proposal/Prospective Contract for which this Affidavit is submitted, are as follows (if none, please so state):

Name	Principal Place of Business Street Address
_____	_____
_____	_____

F. Regardless of any ownership interest, the following individuals have the power to control the performance of the contract or to control the Bidder/Offleror/Prospective Contractor, directly or indirectly:

Name	Principal Place of Business Street Address
_____	_____
_____	_____

- G. Until award of the contract, and throughout the term of any contract awarded to the Bidder/Offeror/Prospective Contractor represented herein, I agree to promptly make any disclosures not made previously and update changes in ownership, identities of owners and other required information, interests, compensation or conflicts of the persons required to be disclosed. I understand that failure to comply with this requirement shall constitute a material breach of contract.
- H. I hereby declare under penalty of perjury under the laws of Guam that the foregoing is true and correct.

Executed on: _____(date)

Signature of one of the following:
Bidder/Offeror/Prospective Contractor, if a licensed individual
Owner of sole proprietorship Bidder/Offeror/Prospective Contractor
Partner, if the Bidder/Offeror/Prospective Contractor is a partnership
Officer, if the Bidder/Offeror/Prospective Contractor is a corporation

Subscribed and sworn to before me
This _____ day of _____, 20_____.

Notary Public
My commission expires: _____

AFFIDAVIT re CONTINGENT FEES

CITY OF _____)
) ss.
ISLAND OF GUAM)

_____ [state name of affiant signing below], being first sworn, deposes
and says that:

1. The name of the offering company or individual is [state name of company]

2. As a part of the offering company's bid or proposal, to the best of my knowledge, the offering company has not retained any person or agency on a percentage, commission, or other contingent arrangement to secure this contract. This statement is made pursuant to 2 GAR Division 4 § 11108(f).

3. As a part of the offering company's bid or proposal, to the best of my knowledge, the offering company has not retained a person to solicit or secure a contract with the government of Guam upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies for the purpose of securing business. This statement is made pursuant to 2 GAR Division 4 § 11108(f).

4. I make these statements on behalf of myself as a representative of the offeror, and on behalf of the offeror's officers, representatives, agents, subcontractors, and employees.

Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me this ____ day of _____, 202__.

NOTARY PUBLIC

My commission expires, _____

GOVERNMENT OF GUAM

GENERAL SERVICES AGENCY
148 Route 1, Marine Corp. Drive
Piti, Guam 96915

BID BOND
NO. GSA-003-22

KNOW ALL MEN BY THESE PRESENTS that _____, as Principal hereinafter called the Principal, and (Bonding Company), _____ A duly admitted insurer under the laws of the Territory of Guam, as Surety, hereinafter called the Surety are Held firmly bound unto the Territory of Guam for the sum of _____ Dollars (\$ _____), for Payment of which sum will and truly to be made, the said Principal and the said Surety bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for (identify project by number and brief description)

NOW, THEREFORE, if the Territory of Guam shall accept the bid of the Principal and the Principal shall enter into a Contract with the Territory of Guam in accordance with the terms of such bid, and give such bond or bonds as may be specified in bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Territory of Guam the difference not to exceed the penalty hereof between the amounts specified in said bid and such larger amount for which the Territory of Guam may in good faith contract with another party to perform work covered by said bid or an appropriate liquidated amount as specified in the Invitation for Bids then this obligation shall be null and void, otherwise to remain full force and effect.

Signed and sealed this _____ day of _____ 202__.

(PRINCIPAL) (SEAL)

(WITNESS)

(TITLE)

(MAJOR OFFICER OF SURETY)

(TITLE)

(MAJOR OFFICER OF SURETY)

(TITLE)

(RESIDENT GENERAL AGENT)

INSTRUCTION TO PROVIDERS:

NOTICE to all Insurance and Bonding Institutions:

The Bond requires the signatures of the Vendor, two (2) major Officers of the Surety and Resident General Agent, if the Surety is a foreign or alien surety.

When the form is submitted to General Services Agency, it should be accompanied with copies of The following:

1. Current Certificate of Authority to do business on Guam issued by the Department of Revenue and Taxation.
2. Power of Attorney issued by the Surety to the Resident General Agent.
3. Power of Attorney issued by two (2) major officers of the Surety to whoever is signing on their behalf.

Bonds, submitted as Bid Guarantee, without signatures and supporting documents are invalid and Bids will be rejected.

**GOVERNMENT OF GUAM
GENERAL TERMS AND CONDITIONS**

SEALED BID SOLICITATION AND AWARD

Only those Boxes checked below are applicable to this bid.

- [X] 1. **AUTHORITY:** This solicitation is issued subject to all the provision of the Guam Procurement Act (5GCA, Chapter 5) and the Guam Procurement Regulations (copies of both are available at the Office of the Complier of laws, Department of Law, copies available for inspection at General Services Agency). It requires all parties involved in the Preparation, negotiation, performance, or administration of contracts to act in good faith.
- [X] 2. **GENERAL INTENTION:** Unless otherwise specified, it is the declared and acknowledged intention and meaning of these General Terms and conditions for the bidder to provide the Government of Guam (Government) with specified services or with materials, supplies or equipment completely assembled and ready for use.
- [X] 3. **TAXES:** Bidders are cautioned that they are subject to Guam Income Taxes as well as all other taxes on Guam Transactions. Specific information on taxes may be obtained from the Director of Revenue and Taxation.
- [X] 4. **LICENSING:** Bidders are cautioned that the Government will not consider for award any offer submitted by a bidder who has not complied with the Guam Licensing Law. Specific information on licenses may be obtained from the Director of Revenue and Taxation.
- [X] 5. **LOCAL PROCUREMENT PREFERENCE:** All procurement of supplies and services where possible, will be made from among businesses licensed to do business on Guam in accordance with section 5008 of the Guam Procurement Act (5GCA, Chapter 5) and Section 1-104 of the Guam Procurement Regulations.
- [X] 6. **COMPLIANCE WITH SPECIFICATIONS AND OTHER SOLICITATION REQUIREMENTS:**
Bidders shall comply with all specifications and other requirements of the Solicitation.
- [] 7. **“ALL OR NONE” BIDS:** NOTE: By checking this item, the Government is requesting all of the bid items to be bided or none at all.
The Government will not award on an itemized basis.
- [X] 8. **INDEPENDENT PRICE DETERMINATION:** The bidder, upon signing the Invitation for Bid, certifies that the prices in his bid were derived at without collusion, and acknowledge that collusion and anti-competitive practices are prohibited by law. Violations will be subject to the provision of Section 5651 of that of the Guam Procurement Act. Other existing civil, criminal or administrative remedies are not impaired and may be in addition to the remedies in Section 5651 of the Government code.
- [X] 9. **BIDDER’S PRICE:** The Government will consider not more than two (2) (Basic and Alternate) item prices and the bidder shall explain fully each price if supplies, materials, equipment, and/or specified services offered comply with specifications and the products origin. Where basic or alternate bid meets the minimum required specification, cost and other factors will be considered. Failure to explain this requirement will result in rejection of the bid.
- [X] 10. **BID ENVELOPE:** Envelope shall be sealed and marked with the bidder’s name, Bid number, time, date and place of Bid Opening.
- [X] 11. **BID GUARANTEE REQUIREMENT:** Bidder is required to submit a Bid Guarantee Bond or standby irrevocable Letter of Credit or Certified Check or Cashier’s Check in the same bid envelope to be held by the Government pending award. The Bid Guarantee Bond, Letter of Credit, Certified Check or Cashier’s Check must be issued by any local surety or banking institution licensed to do business on Guam and made payable to the Treasure of Guam in the amount of **fifteen percent (15%) of his highest total bid offer**. The Bid Bond must be submitted on Government Standard Form BB-1 (copy enclosed). Personal Checks will not be accepted as Bid Guarantee. If a successful Bidder (contractor) withdraws from the bid or fails to enter into contract within the prescribed time, such Bid guarantee will be forfeited to the Government of Guam. Bids will be disqualified if not accompanied by Bid Bond, Letter of Credit, Certified Check or Cashier’s check. Bidder must include in his/her bid, valid copies of a Power of Attorney from the Surety and a Certificate of Authority from the Government of Guam to show proof that the surety company named on the bond instrument is authorized by the Government of Guam and qualified to do business on Guam. For detailed information on bonding matters, contact the Department of Revenue and Taxation. Failure to submit a valid Power of Attorney and Certificate of Authority on the surety is cause for rejection of bid. **Pursuant to 5 GCA § 5212, all competitive sealed bidding for the procurement of supplies or services exceeding \$25,000.00 a 15% Bid Security of the total bid price must accompany the bid package. The bid bond, Letter of Credit, Certified Check or Cashier’s Check will serve as Bid Security for this procurement.**
- [X] 12. **PERFORMANCE GUARANTEE:** Bidders who are awarded a contract under this solicitation, guarantee that goods will be delivered or required services performed within the time specified. Failure to perform the contract in a satisfactory manner may be cause for suspension or debarment from doing business with the Government of Guam. In addition, the Government will hold the Vendor liable and will enforce the requirements as set forth in Section 41 of these General Terms and Conditions.
- [X] 13. **SURETY BONDS:** Bid and Bid Bonds coverage must be signed or countersigned in Guam by a foreign or alien surety’s resident general agent. The surety must be an Insurance Company, authorized by the government of Guam and qualified to do business in Guam. Bids will be disqualified if the Surety Company does not have a valid Certificate of Authority from the Government of Guam to conduct business in Guam.
- [X] 14. **COMPETENCY OF BIDDERS:** Bids will be considered only from such bidders who, in the opinion of the Government, can show evidence of their ability, experience, equipment, and facilities to render satisfactory service.
- [X] 15. **DETERMINATION OF RESPONSIBILITY OF BIDDERS:** The Chief Procurement Officer reserves the right for securing from bidders information to determine whether or not they are responsible and to inspect plant site, place of business; and supplies and services as necessary to determine their responsibility in accordance with Section 16 of these General Terms and Conditions.
(2 GAR, Div. 4 § 3116)

[X] 16. **STANDARD FOR DETERMINATION OF LOWEST RESPONSIBLE BIDDER:**

In determining the lowest responsible offer, the Chief Procurement Officer shall be guided by the following:

- a) Price of items offered.
- b) The ability, capacity, and skill of the Bidder to perform.
- c) Whether the Bidder can perform promptly or within the specified time.
- d) The quality of performance of the Bidder with regards to awards previously made to him.
- e) The previous and existing compliance by the Bidder with laws and regulations relative to procurement.
- f) The sufficiency of the financial resources and ability of the Bidder to perform.
- g) The ability of the bidder to provide future maintenance and services for the subject of the award.
- h) The compliance with all of the conditions to the Solicitation.

[X] 17. **TIE BIDS:** If the bids are for the same unit price or total amount in the whole or in part, the Chief Procurement Officer will determine award based on 2 GAR, Div. 4, § 3109(o) (2) or to reject all such bids.

[X] 18. **BRAND NAMES:** Any reference in the Solicitation to manufacturer's Brand Names and number is due to lack of a satisfactory specification of commodity description. Such preference is intended to be descriptive, but not restrictive and for the sole purpose of indicating prospective bidders a description of the article or services that will be satisfactory. Bids on comparable items will be considered provided the bidder clearly states in his bid the exact articles he is offering and how it differs from the original specification.

[] 19. **DESCRIPTIVE LITERATURE:** Descriptive literature(s) as specified in this solicitation must be furnished as a part of the bid and must be received at the date and time set for opening Bids. The literature furnished must clearly identify the item(s) in the Bid. The descriptive literature is required to establish, for the purpose of evaluation and award, details of the product(s) the bidder proposes to furnish including design, materials, components, performance characteristics, methods of manufacture, construction, assembly or other characteristics which are considered appropriate. Rejection of the Bid will be required if the descriptive literature(s) do not show that the product(s) offered conform(s) to the specifications and other requirements of this solicitation. Failure to furnish the descriptive literature(s) by the time specified in the Solicitation will require rejection of the bid.

[] 20. **SAMPLES:** Sample(s) of item(s) as specified in this solicitation must be furnished as a part of the bid and must be received at the date and time set for opening Bids. The sample(s) should represent exactly what the bidder proposes to furnish and will be used to determine if the item(s) offered complies with the specifications. Rejection of the Bid will be required if the sample(s) do not show that the product(s) offered conform(s) to the specifications and other requirements of this solicitation. Failure to furnish the sample(s) by the time specified in the Solicitation will require rejection of the Bid.

[] 21. **LABORATORY TEST:** Successful bidder is required to accompany delivery of his goods with a Laboratory Test Report indicating that the product he is furnishing the Government meets with the specifications. This report is on the bidder's account and must be from a certified Testing Association.

[X] 22. **AWARD, CANCELLATION, & REJECTION:** Award shall be made to the lowest responsible and responsive bidder, whose bid is determined to be the most advantageous to the Government, taking into consideration the evaluation factors set forth in this solicitation. No other factors or criteria shall be used in the evaluation. The right is reserved as the interest of the Government may require to waive any minor irregularity in bid received. The Chief Procurement Officer shall have the authority to award, cancel, or reject bids, in whole or in part for any one or more items if he determines it is in the public interest. Award issued to the lowest responsible bidder within the specified time for acceptance as indicated in the solicitation, results in a bidding contract without further action by either party. In case of an error in the extension of prices, unit price will govern. It is the policy of the Government to award contracts to qualified local bidders. The Government reserves the right to increase or decrease the quantity of the items for award and make additional awards for the same type items and the vendor agrees to such modifications and additional awards based on the bid prices for a period of thirty (30) days after original award. No award shall be made under this solicitation which shall require advance payment or irrevocable letter of credit from the government (2 GAR, Div.4 §1103).

[] 23. **MARKING:** Each outside container shall be marked with the Purchase Order number, item number, brief item description and quantity. Letter marking shall not be less than 3/4" in height.

[] 24. **SCHEDULE FOR DELIVERY:** Successful bidder shall notify the Department of Public Works/General Services Agency, Telephone Nos. 646-3139 DPW / GSA 475-1707 or 475-713, at least twenty-four (24) hours before delivery of any item under this solicitation.

[] 25. **BILL OF SALE:** Successful supplier shall render Bills of Sale for each item delivered under this contract. Failure to comply with this requirement will result in rejection of delivery. The Bill of Sale must accompany the items delivered but will not be considered as an invoice for payment. Supplier shall bill the Government in accordance with billing instructions as indicated on the Purchase Order.

[] 26. **MANUFACTURER'S CERTIFICATE:** Successful bidder is required, upon delivery of any item under this contract, to furnish a certificate from the manufacturer indication that the goods meet the specifications. Failure to comply with this request will result in rejection of delivery payment. Supplier shall bill the Government in accordance with billing instructions as indicated on the Purchase Order.

[X] 27. **INSPECTION:** All supplies, materials, equipment, or services delivered under this contract shall be subject to the inspection and/or test conducted by the Government at destination. If in any case the supplies, materials, equipment, or services are found to be defective in material, workmanship, performance, or otherwise do not conform with the specifications, the Government shall have the right to reject the items or require that they be corrected. The number of days required for correction will be determined by the Government.

[] 28. **MOTOR VEHICLE SAFETY REQUIREMENTS:** The Government will only consider Bids on motor vehicles which comply with the requirements of the National Traffic and Motor Vehicle safety Act of 1966 (Public Law 89-563) and Clean Air Act as amended (Public Law 88-206), that are applicable to Guam. Bidders shall state if the equipment offered comply with these aforementioned Federal Laws.

- [X] 29. **SAFETY INSPECTION:** All motor vehicles delivered under this contract must pass the Government of Guam Vehicle Inspection before delivery at destination.
- [] 30. **GUARANTEE:**
- a. Guarantee of Vehicle Type of Equipment:**
The successful bidder shall guarantee vehicular type of equipment offered against defective parts, workmanship, and performance, for a period of not less than one (1) year after date of receipt of equipment. Bidder shall also provide service to the equipment for at least one (1) year. Service to be provided shall include, but will not be limited to tune ups (change of spark plugs, contact points and condensers) and lubrication (change of engine and transmission oil). All parts and labor shall be at the expense of the bidder. All parts found defective and not caused by misuse, negligence or accident within the guarantee period shall be repaired, replaced, or adjusted within six (6) working days after notice from the Government and without cost to the Government. Vehicular type of equipment as used in this context shall include equipment used for transportation as differentiated from tractors, backhoes, etc.
- (b) Guarantee of Other Type of Equipment:**
The successful bidder shall guarantee all other types of equipment offered, except those mentioned in 30a, above, against defective parts, workmanship, and performance for a period of not less than three (3) months after date of receipt of equipment. Bidder shall also provide service to the equipment for at least three (3) months. All parts found defective within that period shall be repaired or replaced by the Contractor without cost to the Government. Repairs, adjustments or replacements of defective parts shall be completed by the contractor within six (6) working days after notice from the Government.
- (c) Compliance with this Section is a condition of this Bid.**
- [X] 31. **REPRESENTATION REGARDING ETHICS IN PUBLIC PROCUREMENT:** The bidder or contractor represents that it has not knowingly influenced and promises that it will not knowingly influence a Government employee to breach any of the ethical standards and represents that it has not violated, is not violating, and promises that it will not violate the prohibition against gratuities and kickbacks set forth on Chapter 11 (Ethics in Public Contracting) of the Guam Procurement Act and in Chapter 11 of the Guam Procurement Regulations.
- [X] 32. **REPRESENTATION REGARDING CONTINGENT FEES:** The contractor represents that it has not retained a person to solicit or secure a Government contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies for the purpose of securing business (GPR Section 11-207).
- [X] 33. **EQUAL EMPLOYMENT OPPORTUNITY:** Contractors shall not discriminate against any employee or applicant of employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that employees are treated equally during employment without regards to their race, color, religion, sex, or national origin.
- [X] 34. **COMPLIANCE WITH LAWS:** Bidders awarded a contract under this Solicitation shall comply with the applicable standard, provisions, and stipulations of all pertinent Federal and/or local laws, rules, and regulations relative to the performance of this contract and the furnishing of goods.
- [] 35. **CHANGE ORDER:** Any order issued relative to awards made under this solicitation will be subject to and in accordance with the provisions of Section 6-101-03.1 of the Guam Procurement Regulations.
- [X] 36. **STOP WORK ORDER:** Any stop work order issued relative to awards made under this solicitation will be subject to and in accordance with the provisions of Section 6-101-04.1 of the Guam Procurement Regulations.
- [X] 37. **CANCELLATION OF INVITATION FOR BIDS OR REQUEST FOR PROPOSALS:** Any Invitation for Bid may be cancelled, or any or all bids or proposals may be rejected in whole or in part as may be specified in the solicitation, when it is in the best interests of the Territory in accordance with regulations promulgated by the Policy Office. The reasons therefor shall be made part of the contract file.
- [X] 38. **TIME FOR COMPLETION:** It is hereby understood and mutually agreed by and between the contractor and the Government that the time for delivery to final destination or the timely performance of certain services is an essential condition of this contract. If the contractor refuses or fails to perform any of the provisions of this contract within the time specified in the Purchase Order (from the date Purchase Order is acknowledged by vendor), then the contractor is in default. Defaults will be treated subject to and in accordance with the provisions of 2 GAR, Div. 4 § 6101(8)
- [X] 39. **JUSTIFICATION OF DELAY:** Bidders who are awarded contracts under this Solicitation, guarantee that the goods will be delivered to their destination or required services rendered within the time specified. If the bidder is not able to meet the specified delivery date, he is required to notify the Chief Procurement Officer of such delay. Notification shall be in writing and shall be received by the Chief Procurement Officer at least twenty-four (24) hours before the specified delivery date. Notification of delay shall include an explanation of the causes and reasons for the delay including statement(s) from supplier or shipping company causing the delay. The Government reserves the right to reject delay justification if, in the opinion of the Chief Procurement Officer, such justification is not adequate.

- [X] 40. **SERVICE-DISABLED VETERAN OWNED BUSINESS PREFERENCE:** Bidding is subject to the policy in favor of Service-Disabled Veteran Owned Business as defined in 5 GCA sections 5011 and 5012.
- [X] 41. **LIQUIDATED DAMAGES:** When the contractor is given notice of delay or nonperformance as specified in Paragraph 1 (Default) of the Termination for Default Clause of this contract and fails to cure in the time specified, the contractor shall be liable for damages for delay in the amount of one-fourth of one percent (1%) of outstanding order per calendar day from date set for cure until either the territory reasonable obtains similar supplies or services if the contractor is terminated for default, or until the contractor provides the supplies or services if the contractor is not terminated for default. To the extent that the contractor's delay or non-performance is excused under Paragraph 15 (Excuse for Nonperformance or Delayed Performance) of the Termination for Default Clause of this contract, liquidated damages shall not be due the territory. The contractor remains liable for damages caused other than by delay. **2 GAR, Div. 4 §6101(d).**
- [X] 42. **PHYSICAL LIABILITY:** If it becomes necessary for the Vendor, either as principal, agent or employee, to enter upon the premises or property of the Government of Guam in order to construct, erect, inspect, make delivery or remove property hereunder, the Vendor hereby covenants and agrees to take, use, provide and make all proper, necessary and sufficient precautions, safeguards and protections against the occurrence of any accidents, injuries or damages to any person or property during the progress of the work herein covered, and to be responsible for, and to indemnify and save harmless the Government of Guam from the payment of all sums of money by reason of all or any such accidents, injuries or damages that may occur upon or about such work, and fines, penalties and loss incurred for or by reasons of the violations of any territorial ordinance, regulations, or the laws of Guam or the United States, while the work is in progress. Contractor will carry insurance to indemnify the Government of Guam against any claim for loss, damage or injury to property or persons arising out of the performance of the Contractor or his employees and agents of the services covered by the contract and the use, misuse or failure of any equipment used by the contractor or his employees or agents, and shall provide certificates of such insurance to the Government of Guam when required.
- [X] 43. Contract will be cancelled if funds not appropriated or insufficient, and that government will timely inform contractor. 2 GAR, Div.4 §3121(e) (1) (C) and 2 GAR, Div.4 § 3121(e)(1)(D).
- [] 44. If cancelled, contractor will be reimbursed unamortized reasonably incurred non-recurring costs. 2 GAR, Div.4 § 3121(e) (1) (G).
- [X] 45. **CONTACT FOR CONTRACT ADMINISTRATION:** If your firm receives a contract as a result of this Solicitation, please designate a person whom we may contact for prompt administration.

Name: _____	Title: _____
Address: _____	Telephone: _____

GOVERNMENT OF GUAM

SEALED BID SOLICITATION INSTRUCTIONS

1. **BID FORMS:** Each bidder shall be provided with one (1) Solicitation form. Additional copies may be provided upon request. Bidders requesting additional copies of said forms will be charged per page in accordance with 5 GCA § 10203 of the Government Code of Guam. All payments for this purpose shall be by cash, certified check or money order and shall be made payable to the General Services Agency (EO 86-24).
2. **PREPARATIONS OF BIDS:**
 - a) Bidders are required to examine the drawings, specifications, schedule, and all instructions. Failure to do so will be at bidder's risk.
 - b) Each bidder shall furnish the information required by the Solicitation. The bidder shall sign the solicitation and print or type his name on the Schedule. Erasures or other changes must be initialed by the person signing the bid. Bids signed by an agent are to be accompanied by evidence of this authority unless such evidence has been previously furnished to the issuing office.
 - c) Unit price for each unit offered shall be shown and such price shall include packing unless otherwise specified. A total shall be entered in the amount column of the Schedule for each item offered. In case of discrepancies between a unit price and extended price, the unit price will be presumed to be correct.
 - d) Bids for supplies or services other than those specified will not be considered. Time, if stated as a number of days, means calendar days and will include Saturdays, Sundays, and holidays beginning the day after the issuance of a Notice to Proceed. Time stated ending on a Saturday, Sunday or Government of Guam legal holiday will end at the close of the next business day.
 - e) No Entitlement To Preparation Costs – the bidder expressly waives any right it may have against the government for any expenses incurred in connection with the preparation of its bid.
3. **EXPLANATION TO BIDDERS:** Any explanation desired by a bidder regarding the meaning or interpretation of the Solicitation, drawings, specifications, etc., must be submitted in writing and with sufficient time allowed for a written reply to reach all bidders before the submission of their bids. **Oral explanations or instructions given before the award of the contract will not be binding until or unless such statements are memorialized in writing and distributed to registered prospective bidders.** Any information given to a prospective bidder concerning a Solicitation will be furnished to all prospective bidders in writing as an amendment to the Solicitation if such information would be prejudicial to informed bidders.
4. **PRE-OPENING MODIFICATION OR WITHDRAWAL OF BIDS:** Bids may be modified or withdrawn by written notice received in the Government designated in the Invitation for Bid (IFB) prior to the due date. A telegraphic modification or withdrawal received by telephone from the receiving telegraph company prior to the time and date of set for submission/opening will be effective if the telegraph company confirms the telephone message by sending a written copy of the telegram showing that the message was received at such office prior to the due date.
5. **ACKNOWLEDGEMENT OF AMENDMENTS TO SOLICITATIONS:** Receipt of an amendment to a Solicitation by a bidder must be acknowledged by signing an acknowledgement of receipt of the amendment. Such acknowledgement must be received prior to the hour and date specified for receipt of bids.
5. **SUBMISSION OF BIDS:**
 - a) Bids and modifications thereof shall be enclosed in sealed envelopes and addressed to the office specified in the Solicitation. The bidder shall show the hour and date specified in the Solicitation for receipt, the Solicitation number, and the name and address of the bidder on the face of the envelope.
 - b) Telegraphic bids will not be considered unless authorized by the Solicitation. However, bids may be modified or withdrawn by written or telegraphic notice, provided such notice is received prior to the hour and date specified for receipt (see paragraph 6 of these instructions).
 - c) Samples of items, when required, must be submitted within the time specified, unless otherwise specified by the Government, at no expense to the Government. If not destroyed by testing, samples will be returned at bidder's request and expense, unless otherwise specified by the Solicitation.
 - d) Samples or descriptive literature should not be submitted unless it is required on this solicitation. Regardless of any Attempt by a bidder to condition the bid, unsolicited samples or descriptive literature will not be examined or tested at the bidder's risk, and will not be deemed to vary any of the provisions of this Solicitation.
6. **FAILURE TO SUBMIT BID:** If no bid is to be submitted, do not return the solicitation unless otherwise specified. A letter or postcard shall be sent to the issuing office advising whether future Solicitations for the type of supplies or services covered by this Solicitation are desired.
7. **LATE BID, LATE WITHDRAWALS, AND LATE MODIFICATIONS:**
 - a) Definition: Any bid received after the time and date set for receipt of bids is late. Any withdrawal or modification of a bid received after the time and date set for opening of bids at the place designated for opening is late (Guam Procurement Regulations 2 GAR, Div.4 §3109(k)).
 - b) Treatment: No late bid, late modification, or late withdrawal will be considered unless received before contract award, and the bid, modification, or withdrawal would have been timely but for the action or inaction of territorial personnel directly serving the procurement activity.

8. **CANCELLATION OR REVISION OF BID:** This IFB may be canceled, or any and all bids may be rejected in whole or in part as may be pursuant to GAR § 3115, when it is in the best interest of the Government. Additionally, in accordance with GAR § 9105, if prior to award it is determined that a solicitation or proposed award of a contract is in violation of the law, then the solicitation or proposed award shall be canceled or revised to comply with the law. The reasons therefore shall be made part of the contract file.
9. **REJECTION OF BIDS:** Any bid submitted in response to this IFB may be rejected in whole or in part with it is in the best interest of the Government, in accordance with GAR § 3115(e). Reasons for rejecting bids include but are not limited to: (1) The business that submitted the bids is non-responsive as determined under GAR § 3116; (2) The bid ultimately fails to meet the announced requirements of the Government in some material respect; or (3) The bid price is clearly unreasonable. Upon request, unsuccessful bidders shall be advised of the reasons for rejection.

When bids are rejected, or a solicitation canceled after bids are received, the bids which have been opened shall be retained in the procurement file, or if unopened, returned to the bidders upon request, or otherwise disposed of pursuant to GAR § 3115(g).

10. **TERMINATION OF CONTRACT:** 1. TERMINATION OF CONVENIENCE PURSUANT TO GAR § 6101(10)

- (a) Termination: The Government, when the interest of the Government so requires, may terminate this contract in whole or in part, for the Convenience of the Government. The Purchasing Agency shall give written notice of the termination to the contractor specifying the part of the contract terminated and when termination becomes effective.
- (b) Contractor's Obligations: The contractor shall incur no further obligations in connection with the terminated work and on the date set in the notice of termination the contractor will stop work to the extent specified.
- (c) Condition of Termination: Notwithstanding the foregoing, the cessation of services for people requiring services shall be contingent upon the Government obtaining a substitute provider for the services and the contractor shall cooperate by taking all reasonable and necessary steps to ensure that services are not interrupted and transferred to the succeeding provider. The contractor shall issue a written memorandum detailing the status of the contractor's ongoing services initiating termination or any fault of either party.

11. **TERMINATION FOR DEFAULT:** 1. TERMINATION FOR DEFAULT CLAUSE GAR § 6101(08)(a)

- (a) Default. If the contractor refuses or fails to perform any of the provisions of this contract with such diligence as will ensure its completion within the time specified in this contract, or any extension thereof, otherwise fails to timely satisfy the contract provisions, or commits any other substantial breach of this contract, the Procurement Officer may notify the contractor in writing of the delay or non-performance and if not cured in ten days or any longer time specified in writing by the Procurement Officer, such officer may terminate the contractor's right to proceed with the contract or such part of the contract as to which there has been delay or a failure to properly perform. In the event of termination in whole or in part the Procurement Officer may procure similar supplies or services in a manner and upon terms deemed appropriate by the Procurement Officer. The contractor shall continue performance of the contract to the extent it is not terminated and shall be liable for excess costs incurred in procuring similar goods or services.

12. **MANDATORY DISPUTES RESOLUTION CLAUSE:** In the event of a conflict between this "Mandatory Disputes Resolution Clause" and any other terms in this contract, it is the intent of the government of Guam and the contractor that the terms of this clause are to be given precedence.

(1) Disputes – Contractual Controversies. The government of Guam and the contractor agree to attempt resolution of all controversies which arise under, or are by virtue of, this contract through mutual agreement. If the controversy is not resolved by mutual agreement, then the contractor shall request the head of the purchasing agency, or their designee, in writing to issue a final decision within sixty days after receipt of the written request in keeping with 5 GCA § 5427(c). The head of the purchasing agency or their designee shall immediately furnish a copy of the decision to the contractor, by certified mail with a return receipt requested, or by any other method that provides evidence of receipt.

(2) Absence of a Written Decision within Sixty Days. If the head of the purchasing agency, or their designee does not issue a written decision within sixty days after written request for a final decision, or within such longer period as may be agreed upon by the parties, then the contractor may proceed as though the head of the purchasing agency, or their designee had issued a decision adverse to the contractor.

(3) Appeals to the Office of Public Accountability. The head of the purchasing agency, or their designee's decision shall be final and conclusive, unless fraudulent or unless the contractor appeals the decision administratively to the Public Auditor in accordance with 5 GCA § 5706.

(4) Disputes – Money Owed To or By the Government of Guam. This subsection applies to appeals of the government of Guam's decision on a dispute. For money owed by or to the government of Guam under this contract, the contractor shall appeal the decision in accordance with the "Governments Claims Act", 5 GCA § 6101 et. Seq., by initially filing a claim with the Office of the Attorney General no later than eighteen months after the decision is rendered by the government of Guam or from the date when a decision should have been rendered. For all other claims by or against the government of Guam arising under this contract, the Office of the Public Auditor has jurisdiction over the appeal from the decision of the government of Guam. Appeals to the Office of the Public Auditor must be made within sixty days of government of Guam's decision or from the date the decision should have been made.

(5) Exhaustion of Administrative Remedies. The contractor shall exhaust all administrative remedies before filing an action in the Superior Court of Guam in accordance with applicable laws.

(6) Performance of Contract Pending Final Resolution by the Court. The contractor shall comply with the government of Guam's decision and proceed diligently with performance of this contract pending final resolution by the Superior Court of Guam of any controversy arising under, or by virtue of, this contract, except where the contractor claims a material breach of this contract by the government of Guam. However, if the head of the purchasing agency determines in writing that continuation of services under this contract is essential to the public's health or safety, then the contractor shall proceed diligently with performance of the contract notwithstanding any claim of material breach by the government of Guam.

13. **CONTRACT REMEDIES:** Remedies pursuant to 2 GAR § 9101. Any dispute arising under or out of this contract is subject to the provisions of Chapter 9 (Legal and Contractual Remedies) of Guam Procurement Regulations (GAR chapter 9)

DISCOUNTS:

- a) Notwithstanding the fact that prompt payment discounts may be offered, such offer will not be considered in evaluating bids for award unless otherwise specified in the Solicitation. However, offered discounts will be taken if payment is made within the discount period, even though not considered in the evaluation of bids.
- b) In connection with any discount offered, time will be computed from date of delivery and acceptance of the supplies to the destination as indicated in the purchase order or contract. Payment is deemed to be made for the purpose of earning the discount on the date of mailing of the Government check.
14. **GOVERNMENT FURNISHED PROPERTY:** No material, labor or facilities will be furnished by the Government unless otherwise provided for in the Solicitation.
15. **SELLER' INVOICES:** Invoices shall be prepared and submitted in quadruplicate (one copy shall be marked "original") unless otherwise specified. Invoices shall be "certified true and correct" and shall contain the following information: Contract and order number (if any), item numbers, description of supplies or services, sizes, quantities, unit prices, and extended total. Bill of lading number and weight of shipment will be shown for shipments made on Government bills of lading.
16. **RECEIPT, OPENING AND RECORDING OF BIDS:** Bids and modifications shall be publicly opened in the presence of one or more witnesses, at the time, date, and place designated in the Invitation for Bids. The name of each bidder, the bid price, and such other information as is deemed appropriate by the Procurement Officer, shall be read aloud and recorded, or otherwise made available. The names and addresses of required witnesses shall be recorded at the opening. The opened bids shall be available for public inspection except to the extent the bidder designates trade secrets or other proprietary data to be confidential as set forth in accordance with Section 12, below. Material so designated shall accompany the bid and shall be readily separable from the bid in order to facilitate public inspection of the non-confidential portion of the bid. Prices, makes and models or catalogue numbers of the items offered, deliveries, and terms of payment shall be publicly available at the time of bid opening regardless of any designation to the contrary (Guam Procurement Regulations 2 GAR, Div.4 §3109(k)).
17. **CONFIDENTIAL DATA:** If a bidder considers any information submitted in its bid to be confidential, the bidder must identify in writing to the Government those portions which it considers confidential, and must request in writing that those portions be kept confidential. Only trade secrets and proprietary data will be considered confidential. If there is a request for confidentiality, the Government will render a decision on the request as soon as practicable after bids are opened. The Government will advise any bidder requesting confidentiality, of the Government's decision in writing. If the Government does not agree with a bidder's request, then the Government will inform the bidder that it may lodge a protest regarding any part of the Government's decision by following the procedure for protests outlined in Chapter 9 of the Guam Procurement Regulations.
18. **PROHIBITION AGAINST GRATUITIES AND KICKBACKS:** With respect to this procurement and any other contract that bidder may have or wish to enter into with the Government, the bidder represents that he/she has not violated, is not violating, and promises that he will not violate the prohibition against gratuities and kickbacks set forth in the Guam Procurement Regulations - GAR § 11170(e)
19. **STATEMENT OF QUALIFICATIONS:** The ability capacity and skill of the Bidders to perform; Whether the bidder can perform promptly or within the specified time; The quality of performance of the Bidder with regards to awards previously made to him; The sufficiency of the financial resources and ability of the bidders to perform; And the compliance with all of the conditions to the solicitation.
20. **WAGE AND BENEFIT COMPLIANCE-CONTRACTORS PROVIDING SERVICES:**
- (a) Contractor with regard to all person its employs whose purpose in whole or in part is the direct delivery of services contracted for with the Government of Guam in this procurement, shall pay such employees in accordance with the Wage Determination for Guam and the Northern Marianas Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct deliverance of deliverables to the government of Guam. 5 GCA § 5801 Contractor shall be responsible for flowing down this obligation to its subcontractors.
- (b) The Wage Determination most recently issued by the U.S. Department of Labor at the time this Agreement was awarded to Contractor shall be used to determine wages and benefits which shall be paid to employees pursuant to this clause. 5 GCA § 5801
- (c) Should any contract contain a renewal clause, then at the time of renewal adjustments there shall be stipulations contained in that contract for applying the Wage Determination, so that the Wage Determination promulgated by the U.S Department of Labor on a date most recent to the renewal date shall apply. 5 GCA § 5801
- (d) In addition to the Wage Determination detailed above, health and similar benefits for employees having a minimum value as detailed in the wage Determination issued and promulgated by the U.S. Department of Labor shall apply. Contractor shall pay a minimum of ten (10) paid holidays per annum per employee. 5 GCA § 5802
- Any violation of Contractor or its subcontractors obligations of this section shall be investigated by the Guam Department of Labor and may include a monetary penalty assessment by the Guam Department of Labor of no less than One Hundred Dollars (\$100.00) per day, and no more than One Thousand Dollars (\$1,000.00) per day, until such time as a violation has been corrected, as well as the payment of all back wages and benefits due. 5 GCA § 5803
- (f) In addition to any and all other breach of contract actions the Government of Guam may have under this procurement, in the event there is a violation in the process set forth in subsection (e) above, Contractor may be placed on probationary status by the Chief Procurement Officer of the General Service Agency, or its successor, for a period of one (1) year.

During the probationary status, a Contractor shall not be awarded any contract by any instrumentality of the Government of Guam. A Contractor who has been placed on probationary status, or has been assessed a monetary penalty pursuant to 5 G.C.A. Article 13 Title 5 may appeal such penalty or probationary status to the Superior Court of Guam. 5 GCA § 5804

(g) Contractor along with all proposed offerors and submitter under this procurement were required to submit a Declaration of Compliance with Wage Determination laws as part of this procurement with a copy of the most recent Wage Determination for Guam and the Northern Marianas Islands issued and promulgated by the U.S. Department of Labor. 5 GCA §5805

(h) The applicable USDOL Wage Determination Rate Revision (as defined by subsections (b) and (c)) is to this Agreement. Contractor agrees to provide upon written request by the Government of Guam written certification of its compliance with its obligations as part of each invoice, along with the names of any employees, their positions, and detailed wage and benefits paid in keeping with this section. Additionally upon request by Government of Guam the Contractor shall submit source documents as to those individuals provide direct services in part or whole under this Agreement and its payments to them of such wages and benefits.

21. **ETHICAL STANDARDS:** With respect to this procurement and any other contract that the Contractor may have, or wish to enter into, with Any government of Guam agency, the Contractor represents that it has not knowingly influenced, and promises that it will not knowingly influence, any government employee to breach any of the ethical standards set forth in the Guam Procurement Law and in any of the Guam Procurement Regulations. – GAR § 11103(b)
22. **PROHIBITION AGAINST CONTINGENT FEES:** The Contractor represents that he has not retained any person or agency upon an Agreement or understanding for a percentage, commission, brokerage, or other contingent arrangement, except for retention of bona fide employees or bona fide established commercial selling agencies, to solicit or secure this Agreement or any other contract with the government of Guam or its agencies. GAR § 11108(f)
23. **CONTRACTOR'S WARRANTY AS TO EMPLOYEES AND SEX OFFENSES.** *Reference 5 GCA 5253 (b):* Contractor warrants that no person providing services on behalf of the Contractor has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 of GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA, or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry.

Contractor warrants that if any person providing services on behalf of Contractor is convicted of a sex offense under the provisions of Chapter 25 of Title 9 GCA, or an offense as defined in Article 2 of Chapter 28, Title 9 GCA, or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry, that such person will be immediately removed from working at said agency and that the administrator of said agency be informed of such within twenty-four (24) hours of such conviction. Any contractor found in violation of this section, after notice from the Government of Guam, after notice from the contracting authority of such violation, shall within twenty-four (24) hours, take corrective action and shall report such action to the contracting authority. Failure to take corrective action with the stipulated period may result in the temporary suspension of the contract at the discretion of the Government of Guam.
24. **POLICY IN FAVOR OF SERVICE-DISABLED VETERAN OWNED BUSINESSES:** P.L. 31-115 (September 20, 2011) 5 GCA § 5011 and § 5012 In the procurement of any supply or service, (except for professional services), if such supply or service is offered by a Service-Disabled Veteran Owned Business "SDVOB", as defined in 5 GCA § 5012, that is at least fifty one percent (51%) owned by service-disabled veteran(s), and if the supply or service is available within the period that is required for the procurement, and the price for the supply or service does not exceed one hundred five percent (105%) of the lowest bidder price, a preference shall be given to that SDVOB by the Government of Guam, and the supply or service shall be purchased from said SDVOB. This shall be in addition to any other procurement benefit the SDVOB may qualify for under Guam law. A business concern is a qualified SDVOB if: (a) the business concern is licensed to do business on 7Guam; (b) the business concern maintains its headquarters on Guam; (c) the business concern is at least fifty-one (51%) owned by a service-disabled veteran(s) who served in the active U.S. military service, was discharged or released under honorable conditions and whose disability is service-connected as demonstrated by a DD214, and certified by an award letter from the U.S. Department of Veterans Affairs; the DD214 and Disability award letter from U.S. Department of Veterans Affairs are submitted to the Government of Guam procuring agency for every service offered; and the service disabled veteran(s) owner(s) of the business concern has filed individual tax returns on Guam for a period of at least three (3) consecutive years.
25. **CERTIFICATION OF INDEPENDENT PRICE DETERMINATION:** The undersigned Bidder certifies that the bid price submitted was Independently arrived at without collusion – GAR § 3126
26. **LICENSING OR CERTIFICATE(S) OF EXEMPTIONS:** Bidders are cautioned that the Government will not consider for award any offer submitted by a bidder who cannot comply with the Guam Licensing Law. Specific information on license or exemptions may be obtained from the Director of Revenue and Taxation.
27. **EQUAL EMPLOYMENT OPPORTUNITY:** Bidder shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that employees are treated equally during employment without regards to their race, color, religion, sex, or national origin.
28. **DISCLOSURES OF MAJOR SHAREHOLDERS:** (5 GCA § 5233)
As a condition of submitted a bid, any partnership, sole proprietorship or corporation doing business with the Government of Guam shall submit an affidavit executed under oath that lists the name and address of any person who has held more than ten percent (10%) of the outstanding interest or shares in said month period immediately preceding submission of proposal.

BACKGROUND INFORMATION:

The Department of Public Health and Social Services, Division of Senior Citizens (hereinafter referred to as "DPH&SS, DSC") is procuring Protective Services Shelter for the Guma Serenidad to provide a Comprehensive Management, Operations and Maintenance of a Protective Services Shelter for Seniors age 60 and older and Adults with a Disability, age 18-59 to include but not limited to Case Investigation and Intervention, Crisis Intervention Hotline (CIH) and Temporary Emergency Shelter (TES) of which CIH and TES operate twenty-four (24) hours, seven (7) days a week for victims or alleged victims of abuse.

SCOPE OF SERVICES: PROGRAM SPECIFICATIONS

The Guam State Office on Aging under the Department of Public Health and Social Services, Division of Senior Citizens (DPH&SS, DSC), is responsible for coordinating activities related to older persons on Guam as required under the Older Americans Act, and through Guam Public Law 14-139. A copy of the 2020-2023 Guam Four Year State Plan on Aging is available at the Guam Department of Public Health and Social Services URL website at dphss.guam.gov. In addition, and where this IFB stems from, the DPH&SS, DSC is also responsible for the protection and advocacy of elders and adults with a disability, as prescribed in Public Law 31-278. Further, where applicable, the DPH&SS, DSC also complies with provisions of the Americans with Disabilities Act Amendments Act (ADAAA).

Guam Public Law 31-278, established within the DPH&SS, DSC the Bureau of Adult Protective Services (BAPS). The BAPS is mandated to: receive and investigate all referrals of alleged abuse against an elderly or adult with a disability; develop a coordinated system of protective services to prevent further abuse and to provide or arrange for formal support intervention services to address the abusive situation; provide temporary emergency shelter care; provide a 24-hour Crisis Intervention Hotline, and provide education and outreach to heighten community awareness in our efforts to prevent and/or stop abuse, neglect, and exploitation of the elderly and adults with a disability.

This procurement is for Guma Serenidad, a protective services program for seniors age 60 and older and adults with a disability ages 18-59 which will provide Case Investigations and Intervention as activated and necessary, with Crisis Intervention Hotline (CIH) and Temporary Emergency Shelter (TES) being available 24 hours a day, seven (7) days a week. The provision of Temporary Emergency Shelter (TES) is authorized up to 6 (six) clients per service day and is to be provided to clients who are in immediate or imminent danger as a direct result of an adult protective issue. The Crisis Intervention Hotline (CIH) will be equipped with telecommunication devices for the deaf (TDD) to receive referrals of abuse and/or neglect and conduct intake services, client assessments, investigations, intervention, and aftercare services.

The target population to serve, as identified in Public Law 31-278, are seniors, age sixty (60) years of age and older, and adults, age eighteen (18) to fifty-nine (59) years with a disability and are victims or alleged victims of abuse and are in imminent danger of further abuse who:

- 1) Has a physical or mental impairment which substantially limits one (1) or more major life activities such as, but not limited to, caring for one's self, performing manual tasks, walking, seeing, hearing, speaking, breathing, learning and working; and
- 2) Has a history of, or has been classified as having an impairment which substantially limits one (1) or more major life activities.
- 3) The DPH&SS, DSC reserves the option to expand Temporary Emergency Protective Services Shelter to provide services for clients identified by the contracted Title III Case Management Services to receive supervised shelter services in the event shelter client numbers are zero to one client to maximize services and to prevent caregiver burnout for eligible individuals 60 years and older, as determined by Case Management Services which may lead to prevention of adult protective services abuse.

The program services being acquired are 100% locally funded and is dependent on local Government of Guam funds being appropriated, allotted, allocated and certified. If funds for this program are not secured for any reason, the Government reserves the right to cancel this procurement consistent with Guam procurement law and regulations. This Invitation for Bid (IFB) and any contract issued under it may be modified in writing at any time due to changes in Federal statutes or regulations, a material change in local law, organization, or policy changes in local or federal funding.

There shall be a monthly holdover period after the final renewal term on a month to month basis (each being a “Monthly holdover Period”), to begin immediately after the expiration of the final renewal period, provided that in no event may the parties agree to more than six (6) Monthly Holdover Periods. The Monthly Holdover Periods may be agreed to by the parties only if the Government is unable to continue the services uninterrupted under a new contract after a new solicitation and procurement is undertaken by the Government.

The term of contract and Monthly Holdover Period, are subject to the availability of funds from fiscal year to fiscal year and the Government’s determination of its best interest. In the event funds are not appropriated or otherwise made available to support continuation of performance in a subsequent fiscal period, the contract shall be canceled and the contractor shall be reimbursed for the reasonable value of any non-recurring costs incurred by not amortized in price of supplies or services delivered under the contract.

II. BID ITEM

ITEM NO.	DESCRIPTION	QTY/UOM		MONTHLY COST	PRICE EXTENSION
1.0	Guma Serenidad: Comprehensive Management, Operations and Maintenance of a Temporary Protective Services for Seniors and Adults with a Disability as per the following specifications	36	Mos.	\$_____	\$_____

General:

All bid price offers shall be irrevocable for the duration of the contract term. DPH&SS is seeking Protective Services Shelter for the Guma Serenidad to provide a Comprehensive Management, Operations and Maintenance of a Protective Services Shelter for Seniors age 60 and older and Adults with a Disability, age 18-59 to include but not limited to Case Investigation and Intervention, Crisis Intervention Hotline (CIH) and Temporary Emergency Shelter (TES) of which CIH and TES operate twenty-four (24) hours, seven (7) days a week for victims or alleged victims of abuse. Guma Serenidad Services requirements must adhere to the specifications herein. The bid shall be awarded based on total cost which must include all fees, costs, maintenance expenses and other requirements specified in the bid. The lowest responsive and responsible bid will be given the award.

Option to Cancel:

DPH&SS reserve the right to cancel this contract for the convenience of DPHSS without penalty at any time during the contract term. DPHSS may exercise this right by providing the Bidder sixty (60) days advance written notice of its intent to cancel the contract.

Commencement:

The Guma Serenida Program shall be available for DPH&SS not later than (date of execution of contract) and means that the bidder shall comply with the terms and conditions of the bid by said date. All bids shall indicate the earliest date will be available for DPH&SS. DPH&SS may exercise the option to commence Guma Serenidad services earlier.

ELEMENTS OF GUMA SERENIDAD AND CORE COMPONENTS FOR THE TEMPORARY PROTECTIVE SERVICES PROGRAM

GUMA SERENIDAD. The Bidder shall ensure the following:

- a. Ensures Case Investigations and Intervention, Crisis Intervention Hotline (CIH) services; Temporary Emergency Shelter (TES), of Which CIH and TES is provided 24 hours a day, seven days a week, for our elderly and adults with a disability who are victims or alleged victims of abuse.
- b. Shelter Location Operation. As of July 01, 2019 the Shelter is operating at the government of Guam owned facility located at 220 Chalan Despaciú Street, Wusstig Road, Dededo. The government owned facility is air-conditioned and is equipped with smoke detectors and appropriate fire extinguishers. The program utilizes on (1) leased seven (7) passenger van to transport clients to and from appointments, court hearings, and recreational outings.
- c. The Bidder is responsible to ensure a program vehicle is available, upon award of this bid to transport alleged victims to the Shelter and for social service and medical appointments; and other related appointments to include recreation activities, as appropriate. The same transport vehicle is to be utilized for referral investigation and shelter related administrative activities.
- d. Shelter Personnel Staffing. The Bidder agrees to maintain appropriate staffing:

Shelter Position Title	No. of Positions	FY 2021	FY2020	FY2019
Program Manager	1	1	1	1
Social Worker	1	1	1	1
Shelter Caregiver	9	9	9	9
Registered Nurse-Part-time	1	1	1	1

Of the nine (9) Shelter Caregivers five (5) are females and four (4) are males.

CASE INVESTIGATIONS AND INTERVENTION.

The Bidder is responsible to ensure Case Investigations and Intervention are conducted and completed by the program’s Social Worker on alleged referrals of abuse against the elderly and adults with a disability received through the Crisis Intervention Hotline after regular government of Guam working hours, on weekends and locally recognized Government of Guam holidays.

Case Investigations and Intervention	FY 2020	FY2019	FY2018	FY2017
No. Conducted by Shelter Social Worker	78	58	19	33

CRISIS INTERVENTION HOTLINE (CIH).

The Bidder is responsible to ensure the Crisis Intervention Hotline (CIH) operates within Guma Serenidad and is manned by designated staff of the program to receive referrals for alleged abuse against the elderly and adults with disabilities twenty-four (24) hours, seven (7) days a week.

No. of Crisis Hotline Calls Received are reported below which are placed in a logbook:

Crisis Intervention Hotline	FY 2020	FY2019	FY2018	FY2017
No. of Telephone Calls Received	89	58	21	33

The Bidder is responsible to maintain and report all calls received in a logbook and urgent calls received are to be reported to the Bureau of Adult Protective Services, the next working business day.

TEMPORARY EMERGENCY SHELTER (TES).

The Bidder is responsible to ensure Temporary Emergency Shelter is provided 24 hours a day, seven days a week for elderly individuals age 60 years and older and adults with a disability age 18 years to 59 years of age who are victims or alleged victims of abuse.

Temporary Emergency Shelter	FY 2020	FY2019	FY2018	FY2017
No. of Clients Admitted	6	12	3	3

EXISTING PROGRAM INVENTORY:

The following equipment is available for program use.

a. Equipment in New Condition:

1. Two (2) Filing Cabinet – Four (4) drawers, black

2. One (1) Front Wheel Walker

b. Equipment in Fair Condition:

1. Four (4) Hospital Beds – Manual Type

2. Four (4) Night Stands

3. Four (4) Rolling Tables

4. Two (2) Dresser Drawers

5. One (1) Dining Table

6. One (1) Water Dispenser

7. One (1) Refrigerator

8. One (1) Microwave

9. One (1) Electric Range

10. One (1) Coffee Table

11. One (1) Leather Chair

12. One (1) Shower Chair

13. One (1) Commode

14. One (1) Medicine Cabinet

15. One (1) Computer Station

16. Two (2) Wooden Desks

17. Three (3) Wooden Shelves

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

- 18. One (1) – Two (2) Drawer Filing Cabinet
- 19. Two (2) Document Drawers
- 20. One (1) Four (4) Drawer Filing Cabinet
- 21. One (1) Wooden Console Table – Two (2) Drawers
- 22. One (1) – Six (6) Drawer, Metal Desk with keys

GUMA SERENIDAD. This procurement is for a temporary protective services program. The Bidder is responsible for conducting Case Investigations and Interventions, managing the Crisis Intervention Hotline (CIH) and the provision of Temporary Emergency Shelter (TES) for victims of abuse who are in immediate or imminent danger. The Bidder shall comply with all local laws governing health and safety standards and meet all requirements of the Americans with Disabilities Act Amendments Act (ADAAA), Uniform Fire Code (P. L. 22-82), Clean Indoor Air Act of 1992 (P. L. 21-129), and the Environmental Health and Sanitation Permit Requirements for Institutional Facilities of Title 10 Guam Code Annotated (GCA), Part 1, Chapter 21, 25.

The Bidder shall not discriminate against any client for shelter services and or employment because of race, color, creed, national origin, ancestry, age, sex, sexual orientation, religion, genetic information, or disability.

The Bidder shall comply with all applicable provisions of federal and Guam laws prohibiting discrimination in receiving services and or employment.

- a. The Bidder shall provide shelter care services twenty-four (24) hours a day, seven (7) days a week.
- b. The Bidder shall comply that a maximum of six (6) clients be housed in Guma Serenidad facility at any given time of a day.
- c. The Bidder shall ensure temporary protective shelter services does not exceed forty-five (45) calendar days from the date of admission is provided unless documentation justifying an extension not to exceed an additional forty-five (45) days, for a total of ninety (90) days from the date of admission is warranted.
- d. The Bidder shall not discharge a client for the sole purpose of readmitting the same client due to the limited number of days a client is provided protective shelter care.
- e. Facility. The Bidder shall administer this program at the government owned facility designated by the DPH&SS, DSC. The location of the designated facility will be revealed to the Bidder upon successful award of this program.
- f. The Bidder shall ensure the following is provided or maintained:
 - 1. Chilled distilled drinking water throughout the day;

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

2. Clean, and sanitized restroom and shower/bathing facilities for male and female clients supplied at all times with soap, toilet tissue and paper hand towels;
3. Clean, and sanitized restroom and basin for program staff use;
4. Air- conditioned rooms throughout the facility with room temperature maintained at a comfortable range between 75-83° F, per American Society of Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE) Standard 55;
5. Regularly scheduled interior and exterior maintenance and Housekeeping activities;
6. Proper means for the daily disposal of refuse;
7. Adequate furnishings for clients to use for recreational, dining and sleeping activities;
8. A full equipped and current standard First Aid Kit located in an accessible area;
9. Fire Extinguishers (Type ABC) shall be located at one (1) entrance of the facility, and at the entrance of all kitchen areas and near all indoor areas where combustible materials are stored.
- Fire Extinguishers shall be inspected monthly by a Safety Officer or a designee of the organization and shall be kept fully charged at all times. Fire extinguisher tags shall be replaced annually upon servicing of the extinguisher by a certified person/company or upon damage to the unit or tag.
10. Storage areas containing combustible materials shall be properly secured. Hazardous chemicals, such as rodent poisons, and flammables shall be stored separately from food items. Storage of combustible materials shall be limited to articles essential to the operations of the program; and
11. A back-up generator and provisions for an emergency supply of water in case of a typhoon or other natural, manmade or health emergency disaster of public health significance.

CRISIS INTERVENTION HOTLINE (CIH).

The Bidder shall provide 24 hours, seven (7) days a week, Crisis Intervention Hotline (CIH) services with telecommunication devices for the deaf (TDD) at the facility.

- a. The Bidder shall use the APS Referral form when receiving referrals of suspected abuse against senior citizens and adult individuals who have a disability; 24-hours a day, seven (7) days a week. The Bidder shall ensure no referral is turned away that requires investigation or intervention services on behalf of the target population.

b. Inappropriate Shelter Request.

The Bidder is responsible to ensure Guma Serenidad operates an emergency protective services shelter and is not a homeless shelter and or a shelter for individuals requiring nursing, medical or hospice services.

- 1) The Bidder assures only the client who is alleged to be abused is to be admitted for emergency protective services shelter.
- 2) The Bidder assures if the client is a caregiver with a dependent then the dependent will have to be referred to alternate shelter services on a case by case basis.
- 3) The Bidder is responsible to maintain a current list of programs and or services providing shelter for the homeless and or individuals seeking nursing, medical or hospice services which is to be used for inappropriate referrals received.
- 4) The Bidder is responsible to provide the DPH&SS, DSC within 30-Days upon award of this contract, the listing of programs and or services providing shelter for the homeless and or individuals seeking nursing, medical or hospice services. The Bidder is also responsible to provide updates to the DPH&SS, DSC within five working days upon Bidder’s awareness and knowledge.

c. The Bidder shall ensure a telephone logbook is maintained recording all referrals received. The information entered into the logbook shall include date and time of call, name of caller (allowing for anonymous callers), APS Case Number, and a disposition section to document the referring of callers to other appropriate person or agency with staff affixing their initials to identify themselves as the staff receiving the call.

d. The Bidder shall ensure referrals received between the hours of 8:00 a.m. through 4:30 p.m. are forwarded to the DPH&SS, DSC, Bureau of Adult Protective Services (BAPS) for case investigation, Monday through Friday, except on recognized Government of Guam holidays and weekends. Referrals received after 4:30 p.m. as specified above and beyond Government of Guam hours will be managed by the Guma Serenidad staff.

e. The Bidder shall ensure referrals received after 4:30 p.m. on Monday through Friday and on recognized Government of Guam holidays and weekends that are “crisis” in nature, are responded to immediately, regardless of the time the referral is received. The Bidder shall coordinate their response with the DPH&SS, DSC, BAPS Social Service Supervisor or worker in charge to ensure a proper case response is executed.

f. The Bidder shall ensure client confidentiality is maintained at all times. Guma Serenidad program staff shall only discuss client referrals or cases with the authorized individuals or DPH&SS, DSC, BAPS staff

g. The Bidder shall provide a copy of all referrals to the DPH&SS, DSC, BAPS by 10:00 a.m. the following work day. The program’s Social Worker shall contact DPH&SS, DSC, BAPS to obtain case numbers for all APS referrals received and managed by the program.

CASE INVESTIGATIONS AND INTERVENTION SERVICES.

The Bidder shall ensure that the Social Worker assigned a referral conduct an investigation with the victim and alleged abuser to determine the nature, extent and cause or causes of the abuse, the identity of the person or persons responsible for the abuse and all other pertinent facts.

a. The Bidder shall ensure the Social Worker conducts case investigation and assessment immediately or within twenty-four (24) hours, as appropriate, if there is reasonable cause to believe the individual’s health or safety is in imminent danger from further abuse and within seven (7) days for all other reported cases.

If the assessment results in a determination that the individual has Suffered serious abuse, such determination shall be reported in a written declaration to the DPH&SS, DSC, BAPS, who will forward the declaration within forty-eight (48) hours of receipt to the Office of the Attorney General.

b. The Bidder shall ensure, when appropriate to request a law enforcement officer to accompany and assist in investigations; or in cases of sexual abuse, coordinate and consult with the Guam Behavioral Health and wellness Center, Healing Hearts Crisis Center, Sexual Assault Response Team.

c. The Bidder shall ensure a written investigative report of the interview with the victim is conducted and initial case plan completed within seven (7) calendar days of receipt of referral.

d. The Bidder shall ensure the interview with the alleged abuser is conducted and a written report completed within fourteen (14) calendar days of the referral.

e. The Bidder shall ensure the case investigation, intervention and evaluation be completed within thirty (30) days of receipt of the referral. And, within sixty (60) days of receipt of the referral, a determination shall be made whether the report of abuse is substantiated, unsubstantiated or inconclusive.

f. The Bidder shall provide the 7, 14, 30, and 60 - day reports within three (3) days after completing each report respectively to the DPH&SS, DSC, BAPS.

g. The Bidder shall ensure reports on cases terminated from the program be submitted to the DPH&SS, DSC, BAPS within forty-eight (48) hours of termination.

h. The Bidder shall ensure inappropriate referrals are maintained as part of the program files.

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

i. The Bidder shall in coordination with the DPH&SS, DSC, BAPS ensure the Guma Serenidad Social Worker be activated to assist in responding to “crisis” referrals.

j. The Bidder is required to inform the BAPS Supervisor and/or designee no later than 9:00 a.m. Monday to Friday through phone contact and/or e-mail on the status of clients in the shelter. On days for which there are no clients in the Shelter the Bidder is required to support the following:

1). Detail the Shelter Social Worker and Shelter staff to assist with BAPS activities upon notification, while ensuring adequate staff coverage in the Shelter.

2). In the event there are no admissions into the Shelter, the Bidder shall ensure the Guma Serenidad Social Worker and Program Manager serve as alternate (back-up) to receive and investigate Adult Protective Services Referrals during the Annual Senior Citizens Month activities and in the event the BAPS is short staff (down to two or less) Social Workers. The Bidder is required to provide the BAPS Supervisor and/or designee of the status of Adult Protective Services Referrals received.

ELEMENTS OF ADMISSION AND CUSTODIAL CARE OF CLIENTS

The Bidder shall ensure that upon a client’s admission into the shelter, the Social Worker shall work collaboratively with other existing caseworkers on the client’s case and supportive services.

a. Interpreters.

The Bidder is to ensure the Social Worker and or Program Manager coordinates for interpreters if a client(s) speaks no English with various Consulate Office to include Civic Engagement organizations.

The Bidder is to also ensure the Shelter maintains a current listing of Interpreters available with updated contact information to include contact numbers and email addresses, at a minimum and is responsible to provide the DPH&SS, DSC within 30-Days upon award of this contract the listing of Interpreters, at a minimum of its efforts.

b. The Bidder shall ensure clients admitted into the shelter have an Individualized Care Plan (ICP) in place:

1) The Social Worker shall develop, implement, review and, when necessary, make appropriate adjustments to the client’s ICP. The ICP shall be comprehensive and structured in such a way that will provide a variety of health, social, and related support services (i.e., cultural, educational and community) in a protective setting.

2) The program staff shall ensure adherence to the ICP.

3) Daily activities performed by each client shall be logged in each client’s case file.

<u>SPECIFICATIONS:</u>	<u>BIDDING ON COMPLY/REMARKS</u>
4) The Social Worker shall refer clients to needed services i.e., case management, legal assistance and transportation. All referred services shall be documented in the client’s case file to include follow-up inquiries to ensure services are provided.	<hr/>
5) With the consent of the client or the client’s legal representative, and when appropriate, the Social Worker shall work with the client's family or legal representative and the client’s caseworker from another service entity to discuss, coordinate and implement the ICP.	<hr/>
6) Program staff shall, at a minimum, transport clients admitted at the facility to their various appointments ranging from court hearings, medical appointments, and/or social and recreational outings.	<hr/>
7) The transporting of clients should be provided through the use of The leased vehicle unless other modes of transportation is supported and approved by the program’s Social Worker or Program Manager. Other modes of transportation may include but is not limited to public transportation or family providing transportation.	<hr/>
8) The transporting of clients is based on the individual needs of each client which will be coordinated by program staff.	<hr/>
c. The Bidder shall ensure compliance with Medications and Medical Care as prescribed by the client’s attending physician.	<hr/>
1. Upon admission and where symptoms of communicable disease are evident, the client shall be isolated pending medical clearance. The Program Manager shall ensure medical care is provided for the client.	<hr/>
2. Program staff shall strictly adhere to the Individualized Care Plan (ICP) and Guma Serenidad Program Client Medical Form Which is attached.	<hr/>
3. Medications shall be stored in a safe and secured area, temperature controlled as needed, and shall be accessible only by staff responsible for either the administration of medications to clients or the supervision of clients able to self-medicate.	<hr/>
4. All prescription medications administered shall be under a doctor’s order, and administered by a doctor, licensed registered nurse, trained family member or may be taken by the clients themselves. Unless licensed to do so, program staff shall not administer medication prescribed to a client.	<hr/>
5. With written consent from the client or the client's legal representative, program staff is allowed to pick up medications on behalf of the client, which shall be documented in the client’s ICP. Program staff shall document in the client’s ICP when a client refuses to take his/her prescribed medication(s).	<hr/>
6. Program staff shall immediately notify the Program Manager and registered nurse of any changes in the client’s medical condition. When a client becomes ill while at the shelter, the responsible person, next of kin and the physician of the client shall be immediately notified.	<hr/>

7. Standard First Aid supplies shall be kept on hand at the facility at all times.	
d. The Bidder shall ensure program staff provide supervised and personal care services for the protection of the clients. The program staff shall provide assistance with personal care needs, and encourage clients to participate in activities and to develop self-help skills.	
e. The Bidder shall ensure female and male clients are assigned separate sleeping areas based on sexual orientation with no more than two (2) occupants to a room at any given time.	
f. The Bidder shall ensure clients in the shelter requiring assistance with their personal hygiene receive care from same-gender program staff based on sexual orientation, as practicable.	
g. The Bidder shall ensure each client is provided with fresh bed linens when soiled, and at a minimum, bed linen shall be changed on a daily basis. Each bed shall be adequately protected from soiling. Unless medically advised not to, clients are to bathe immediately when they soil themselves. The Bidder shall provide for regular rest periods for clients in the morning and in the afternoon. One bed for every person shall be made available for resting and sleeping purposes.	
h. The Bidder shall ensure nutritious meals are provided in line with each client’s medical requirements or religious practices.	
i. The Bidder shall provide in coordination with the client’s ICP, culturally relevant, breakfast, lunch, dinner, mid-morning and mid-afternoon snacks, as practicable.	
j. The Bidder’s meal containers, dinnerware, utensils for meal distribution shall comply with biogradable, reusable, recyclable or recycled material or any combination. (2 GAR, Div 4 §1102.02)	
k. Activities. The Bidder shall make available therapeutic, social, educational and recreational activities to stimulate clients’ physical and mental well-being that are planned and scheduled so all clients can participate in activities best suited for their individual capabilities and talents. Clients shall be encouraged to participate in activities and to develop their self-help skills.	
l. In the event of an impending storm, typhoon, or other natural or man made disaster and upon declaration of Condition of Readiness (COR) 2 for Guam, the Bidder shall admit under the approval and authority of the DPH&SS, DSC, BAPS, an individual or individuals identified to be in need of temporary 24-hour emergency shelter. This provision only applies to individual(s) identified by the BAPS to be a ward of the Office of the Public Guardian (OPG). Upon declaration of COR 4 or return to normalcy, the BAPS in collaboration with the OPG will coordinate for the transition of the individual(s) back to their residence.	

CLIENTS' RIGHT AND RESPONSIBILITIES

The Bidder shall submit as part of this IFB, proposed written procedures covering Clients’ Rights and Responsibilities that include:

a. Compliance and Non-Compliance of policies, rules and regulations governing the provision of shelter services that respect and promote the interests, rights and values of all clients.

b. Complaint and Appeal procedures for clients who are dissatisfied with or denied shelter services.

CLIENT REGISTRATION AND MAINTENANCE

REGISTERED CLIENT.

For the purpose of the program, a client Who receives a Unit of Service within the reporting Fiscal Year (October 1 through September 30) is counted as a registered client.

The Bidder shall be responsible for tracking Units of Service provided to clients within the reporting fiscal year.

The Bidder shall use the DPH&SS, DSC Intake, Profile and Referral (IPR) Form which will be used to refer Guma Serenidad clients for Title III Aging Services. In addition, the DPH&SS, DSC IPR Record Change and Service Form shall be used to update or change a Guma Serenidad client’s IPR.

The Bidder shall ensure that copies of all initial and Record Change and Service IPR forms for Guma Serenidad clients age 60 and older are forwarded to the DPH&SS, DSC’s Service Provider for Case Management Services Program (CMSP) within two (2) days of receipt of IPR referral or update, unless the case requires immediate attention, which shall be referred to CMSP on the same day.

PROGRAM DATABASE.

The Bidder shall be required to comply with the efforts of putting forth a unified automated information system, Aging and Disability Resource Center (ADRC) that supports and promotes a coordinated and comprehensive system of care. All Title III service providers and vendors shall be collaborators and partners of the ADRC effort.

The Bidder shall ensure their staff attend training, maintain and enter data, generate reports and conduct all necessary transactions in support of this effort which will assist the Bidder in complying with the reporting requirements of this program.

Orientation and training on the access and use of the Program Database will be coordinated and/or provided by DSC and/or the software company contracted to maintain the system at no cost to the Bidder, with the exception of staff time to attend the orientation, training and technical assistance activities in support of the Program Database.

PROGRAM DATABASE INFORMATION SYSTEM.

The software and hardware of the Program Database is currently funded by DPH&SS, DSC. The Bidder shall be granted access upon being awarded this program and will enter data.

MULTI-DISCIPLINARY TEAM MEETINGS (MDTM).

When necessary, the Guma Serenidad Program Manager and/or Social Worker shall convene a meeting of key stakeholders and providers to participate in *the Multi-Disciplinary Team Meeting (MDTM)* to address complex issues affecting their clients under the current care of this program. Notice shall be given to the DPH&SS, DSC, BAPS prior to the meeting with minutes submitted within three (3) working days after the meeting.

AWARENESS OF ELDER CONCERNS.

The Bidder shall address problems and concerns of Guma Serenidad clients and submit them to the DPH&SS, DSC as part of the Monthly Program Reports. A record book of problems and concerns addressed or being addressed by the Bidder shall be maintained and made available upon request by the DPH&SS, DSC for review, monitoring and other program and administrative purposes.

PRIORITIZATION OF SERVICES

Guam State Office on Aging (SOA) (DPH&SS, DSC) recognizes the unique situation in which the demand for services may outweigh the available resources. Therefore, the following scale shall be used as a guideline to prioritize the provision of services to those in greatest socio-economic need, as necessary and directed by the Guam SOA.

- a. Purpose. When demand outweighs resource, the Bidder shall request from the Guam SOA for the activation of the Prioritization of Services. In addition to the request to activate the Prioritization of Services, the Bidder shall also state what it would take to address the wait-list to include projected cost to address the wait-list
- b. Need. Based on the need to activate this provision, the number of persons to be served will be determined by the existing conditions at the time of implementation. In the event that the number of available slots is not sufficient to provide services to the number of persons determined, to be at-risk and in need of services, the number of Activities of Daily Living (ADL) impairments will be applied to this distinct group as an additional determining factor as to who will receive services from this program.
- c. Procedures. When the Bidder receives notification from the Guam SOA to activate the application of the Prioritization of Services point system, the entire list of eligible clients shall be ranked. The entire list is defined as all who are receiving services, those on a partial or wait list, as well as new referrals.

Upon receiving approval to proceed with the activation of the Prioritization of Services or is guided by the Guam SOA otherwise, the Bidder shall act according to the response provided by the Guam SOA.

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

In the event the decision is to activate the Prioritization of Services, the guide provided will be used to determine of all registered clients in the program, the ranking order of the clients in greatest socio-economic need with the client listed as number 1 being the first client to receive services.

The Prioritization of Services scale will be based on a point system in three (3) focal areas, the older individual's: (1) mobility, (2) degree of existing support system, and (3) housing condition.

Activities of Daily Living (ADL) – The inability to perform one or More of the following six Activities of Daily Living without personal assistance, stand-by assistance, supervision or cues: *eating, dressing, bathing, toileting, transferring in and out of bed/chair, and walking.*

Point System:

- 1 Point will be added to clients with 1-2 ADL impairments
 - 2 Points will be added to clients with 3-4 ADL impairments
 - 3 Points will be added to clients with 5-6 ADL impairments
-

Another determining factor in this point system may include whether the older individual is responsible for the care of a dependent. Clients will be given an additional one (1) point if they are also caregivers. Any application of a scale of similar or like form is permissible provided prior authorization is granted by the Guam SOA.

Greatest priority will be given to older individuals in descending order, with nine (9) being the highest possible points garnered translating to the older individual in greatest socio-economic need.

Point System	Mobility	Support System	Housing Condition
1	Cane or Walker	Support available; but not living in same household	Full concrete structure
2	Wheelchair users	Minimal support; but not regularly available	Semi-concrete structure
3	Homebound And bed-ridden	No support system in place	Tin and wood structure

After applying the Prioritization of Services (POS) and the demand for services still outweigh the available resources the Bidder is required to advise the Guam SOA who will provide additional guidance and direction to the Bidder as to other variables and/or conditions to assess to reduce the demand to meet the available resources. At the time of the initial assessment, clients, to include their caregivers, will be informed that when the prioritization of services is implemented and the client is not determined to be in greatest social and economic need, they would be removed from the program until such time a slot is open and they are identified, after all clients have been re-prioritized, that they are determined to be next qualified to be re-instated into the program.

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

d. The catalyst for the POS to be activated by the Guam SOA is dependent on the Guam SOA receiving official notification from the Bidder that all vacancies are filled, there are no funds available for reprogramming to address the waitlist, and there are clients on the wait list for over 30 calendar days. The Bidder is required to provide notification and state the cost to provide services to those on the waitlist and partial services list as well as the cost to provide services to additional clients for the remainder of the contract year.

e. When the Bidder receives notification from the Guam SOA to activate the application of the Prioritization of Services (POS) point system, the entire list of eligible clients shall be ranked. The entire list is defined as all who are receiving services, those on a partial or waiting list, as well as new referrals. The Bidder is required to advise all clients of this provision prior to the provision of services which is to be documented in each case file.

f. In the event the Bidder implements the POS absent the notification from the Guam SOA, the Bidder’s Monthly Program Invoice (MPI) shall be reduced by 25% for non-compliance with this provision of the agreement.

ELEMENTS OF GUMA SERENIDAD PROGRAM OPERATIONS

OPERATIONS.

The Bidder shall provide the personnel, equipment and supplies, and conduct preventive maintenance of the shelter and vehicle(s), to ensure delivery of program services. The program’s personnel, facility and equipment are to be used for this program, unless otherwise approved by the DPH&SS, DSC.

- a. The Bidder shall ensure the shelter remains in compliance with the Americans with Disabilities Act Amendments Act (ADAAA) standards.
- b. The Bidder shall ensure the vehicle(s) used for the program are kept clean, equipped with safety hazard warning devices (roadside reflectors), a fully functional First Aid Kit, and a fully charged BC or ABC fire extinguisher.
- c. The Bidder shall ensure the vehicle(s) used in the program have operational restraints for its passengers, as applicable.
- d. The Bidder shall ensure there is no smoking in vehicle(s) used in the program whether or not there are clients being transported in the vehicles.
- e. The Bidder shall ensure there is no smoking within the shelter and within 20 feet from any entrance or exit of the shelter.
- f. The Bidder shall ensure compliance relative to the restrictions on the use of Mobile Phones While Driving as prescribed in P.L. 31-194.
- g. The Bidder shall use the government funded vehicle with the assigned Shelter Social Worker to assist the DPH&SS, DSC in conducting joint field monitoring to client residences and other case related visits.

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

h. Facility Operations. The Bidder is required to report in writing and provide the DPH&SS, DSC with facility concern such as, but not limited to the following:

- 1) Post Disaster Storm Reporting as requested by the DPH&SS, DSC.
- 2) Facility Repair less than \$25,000.00. The Bidder is required as part of utilization and operations of the Shelter to obtain and provide no less than three (3) price quotes related to the Facility for which the Shelter is operating and in which the Program is being administered. Further, the Bidder is required to review its Approved Program Budget and identify if funds are available from within program budget to address Facility repairs and if funds are not available the Bidder shall provide in writing of its efforts to the DPH&SS, DSC within five working days.

Urgent and Immediate Facility Concerns and/or Repairs is to be communicated within one (1) hour and followed by a written report stating the Urgent and Immediate Facility Concern and its action plan to address.

CLIENT FILES.

The Bidder shall maintain and update individual client files and shall be retained for a period of three (3) years, as follows:

- a. Referral Form
- b. Investigative Report
 - 1) Cover Page
 - 2) Immediate Intervention Plan
 - 3) 7-Day Report (Investigative Chronology – Victim)
 - 4) 14-Day Report (Investigative Chronology – Alleged Abuser)
 - 5) Initial Service Plan
 - 6) Service Plan Update
 - 7) 30-Day Report
 - 8) 60-Day Report
 - 9) Case Determination
 - 10) Transfer/Termination Summary
 - 11) Investigative Chronology Updates
 - 12) Client Progress Updates
- c. Contact Summary
- d. Chronological Entry
- e. Client Consent for Release of Information
- f. Consent to Conduct Investigation
- g. Individualized Care Plan
- h. Refusal for Services
- i. Client Medical Report
- j. Risk Assessment of Client
- k. Copy of any applicable court documents
- l. Other pertinent documents as deemed necessary and relevant in the matter.

STANDARD OPERATING PROCEDURES.

The Bidder shall ensure Standard Operating Procedures, inclusive of an Emergency Management Plan and Client Grievance Procedures are current and submitted to the DPHSS/DSC for review and approval within thirty (30) calendar days upon award of this IFB.

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

EMERGENCY MANAGEMENT PLAN.

In an effort to protect the health, safety and welfare of clients, Program personnel and volunteers, the Bidder shall visibly post emergency telephone numbers and the established emergency procedures.

The Bidder shall provide training to staff on procedures to be followed in the event of a:

- a. fire/earthquake, to include quarterly drills, as appropriate in which all staff members shall participate, with an evacuation plan visibly posted;
- b. health emergencies such as outbreaks;
- c. medical emergency, to include food poisoning situations;
- d. physical threat, to include bodily harm situations;
- e. severe weather or a natural disaster; and
- f. power or water outages, flooding, and other manmade or natural disasters that occurs on island.

ELEMENTS OF PROGRAM STAFFING REQUIREMENTS, CERTIFICATION AND TRAINING

The Bidder shall ensure personnel employed for the administration and operations of the Guma Serenidad are qualified to execute their respective duties and responsibilities. Upon the awarding of this program, the Bidder shall provide DPHSS/DSC with written job descriptions for each position involved in the direct delivery of Guma Serenidad services.

The Bidder shall ensure the following requirements be met by all staff prior to employment and be current, not expired or outdated, while employed with the Guma Serenidad.

Completed employment application; current Tuberculosis (TB) Clearance or medical clearance shall be dated no earlier than 30 days prior to employment and renewed annually or as medically prescribed; original or certified copy of Police, Superior Court, U.S. District and Traffic Clearances for new staff and shall be dated no earlier than 90 days prior to employment and original or certified copy of Police, Superior Court, U.S. District and Traffic Clearances of current staff shall be updated every three (3) years or as changes occur to either of these four (4) documents; possess a High School Diploma or attainment and possession of General Educational Development (GED) from a recognized institution, or a higher degree from a recognized and accredited institution of higher learning as required for the position with this program; possess a current Guam Driver's License, as applicable; and attendance at Annual Orientation to Title III Aging Programs, Bureau of Adult Protective Services and Aging and Disability Resource Center (ADRC) Project (as applicable) presented by DPHSS/DSC personnel shall be met within the first month of each fiscal year and presented by the DPHSS/DSC staff shall be met within 30 days of employment and annually within the first month of each fiscal year.

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

The Bidder shall not employ any individual for the program if he/she has been convicted of a felony or a drug or alcohol offense.

The Bidder shall ensure staff positions identified in this IFB, meet the qualifications, abilities, and responsibilities, as follows:

a. One (1) Social Worker who is to be designated as the Program Manager.

The Program Manager shall be responsible for ensuring the daily operations are performed in accordance with the program specifications and shall possess the following:

1. Qualifications:

a) Possess a Bachelor’s degree in social work, human services, behavioral sciences or gerontology from a recognized college or university; and

b) Five (5) years of social work experience or case management services, preferably in protective services affecting the elderly and adult individuals who have a disability; and

c) Three (3) years of administrative and supervisory experience in program management, specifically in residential community-based setting;

d) or Any equivalent combination of experience and training beyond the Bachelor’s degree, which provides the minimum knowledge, abilities and skills.

2. Responsibilities:

a) Demonstrate a continuous effort to refine and improve operations, work processes, activities, and quality of service responsive to the needs of the target population to be served;

b) Develop and implement activities and services responsive to the needs of the target population to be served and in accordance with the clients’ ICP;

c) Supervise and evaluate program staff, volunteers and student interns;

d) Ensure program staff, volunteers and student interns possess the necessary training and certifications and make recommendations to the DPH&SS, DSC for training to enhance program personnel development;

e) Maintain complete and accurate records and statistical reports. Prepare reports in compliance with this program’s reporting requirements or as required through direction from the DPH&SS, DSC;

f) Demonstrate a continuous effort to seek alternative funding opportunities and grants, or similar resources responsive to the needs of the target population to be served;

g) Review, implement and, when necessary, make appropriate adjustments to client’s ICP;

SPECIFICATIONS:

BIDDING ON COMPLY/REMARKS

h) Collaborate with Title III Aging Network providers and programs and other service entities, as appropriate, and ensure referrals are initiated with the appropriate service providers for clients requiring additional services, including follow-up inquiries to ensure services are provided; and

i) Ensure client files and required investigative reports are current and complete, in accordance with this IFB;

b. One (1) full-time Social Worker.

The Social Worker shall be responsible for conducting client intake, case investigations and intervention, and crisis intervention hotline services, and completing investigative reports.

1. Qualifications:

a) Possess a Bachelor’s degree in social work, human services, behavioral sciences or gerontology from a recognized college or university; and

b) Two (2) years of experience in social work or protective services affecting the elderly and adult individuals who have a disability; and

c) Any equivalent combination of experience and training beyond the Bachelor’s degree, which provides the minimum knowledge, abilities and skills.

2. Responsibilities:

a) Ensure client files and required investigative reports are current and complete, in accordance with this IFB;

b) Respond immediately to case/referrals which may be in “crisis” (emergency);

c) Maintain complete and accurate records and statistical reports. Prepare reports in compliance with this program’s reporting requirements or as required through direction from the DPH&SS, DSC;

d) Develop, implement, review and, when necessary, make appropriate adjustments to client’s ICP;

e) Collaborate with Title III Aging Network providers and programs and other service entities, as appropriate, and ensure referrals are initiated with the appropriate service providers for clients requiring additional services, including follow-up inquiries to ensure services are provided;

c. One (1) part-time Registered Nurse.

The part-time Registered Nurse shall be responsible for providing nursing care to clients whose conditions and treatment are normally uncomplicated, following established procedures, standing orders, doctor’s instructions, and shall supervise and document the taking of medication by clients admitted at the shelter.

SPECIFICATIONS:

BIDDING ON/REMARKS

1. Qualifications:

- a) Associate’s degree in Nursing from a recognized college or university;
- b) Two (2) years of professional nursing work experience; and
- c) Possess a current license to practice professional nursing on Guam.

2. Responsibilities:

- a) Provide training and instructions to program staff regarding the use of special equipment such as wheelchairs, walkers, braces, crutches, etc;
- b) Responsible for the overall health care and special needs of clients in coordination with their ICP; Regularly monitors and documents changes in their condition(s); advises Program Manager and Social Worker of these changes and makes recommendations for adjustments to their ICP or the need to initiate referrals for additional services.

All activities of the nurse regarding clients’ Individualized Care Plans shall be documented and forwarded to the Program Manager and Social Worker for review and disposition;

- c) Provide health care advisement/counseling for clients and make recommendations for counseling;

- d) Ensure that clients’ Health Records are complete and current; maintain documentation of any health-related concerns; and

- e) Ensure health care equipment and supplies are available and operational.

d. Nine (9) full-time Shelter Caregivers.

The Shelter Caregiver(s) shall be responsible for the day to day supervision of clients admitted into the Shelter.

1) Qualifications:

- a) High School Diploma or have attained a certificate of General Educational Development (GED);
- b) One (1) year experience working with elderly and/or adults with a disability; and
- c) Documentation of training in care giving or course(s) in nursing aide, non-violent crisis intervention, cultural sensitivity or related subjects.

2) Responsibilities:

- a) Provide or assist clients with personal care services;
- b) Ensure safety and comfort of clients;
- c) Shall, during any activity in which they have physical contact with the elderly, apply proper techniques learned during the Homemaker/ Health Aide Certification Workshop;
- d) Shall not administer any medications prescribed to the client unless licensed to do so;
- e) Shall observe proper methods and techniques of food handling and sanitation;

<u>SPECIFICATIONS:</u>	<u>BIDDING ON COMPLY/REMARKS</u>
f) Receive referrals of alleged abuse and neglect against elderly and adults with disabilities;	<hr/>
g) Provide transportation to clients, as needed;	<hr/>
h) Prepare reports concerning observations and behavior of clients, including complaints, accidents and/or incident reports; and	<hr/>
i) Immediately notify the Program Manager or Nurse of any accidents or incidents involving clients;	<hr/>
j) Report all concerns or complaints received from clients to the Program Manager or Social Worker; and	<hr/>
k) Follow instructions given by the nurse or therapist in the use of assistive devices or special equipment, such as wheelchairs, walkers, braces, crutches, etc.	<hr/>
The Bidder shall maintain and update individual staff files of each Guma Serenidad employee in its Central Office.	<hr/>
The staff files shall include; completed employment application; position description; current Tuberculosis (TB) clearance to be renewed annually; original or certified copy of Police, Superior Court, U.S. District and Traffic Clearances for new staff dated no earlier than 90 days prior to employment and for current staff updated every three (3) years or as changes occur to either of these four (4) documents; copy of High School Diploma or General Educational Development (GED); documentation of attendance at Annual Orientation of Title III and Title VII Aging Programs, Bureau of Adult Protective	<hr/>
Services and the Aging and Disability Resource Center (ADRC) Project (as applicable) presented by DPHSS/DSC personnel; documentation of continuing education, certifications, training and workshops; copy of current Guam Driver's License; copy of Medical Examiner's Certificate; copy of current Cardio-pulmonary Resuscitation (CPR) and First Aid certificate;	<hr/>
Fire Extinguisher and Basic Fire Awareness training; Caregiver Certification or Re-Certification training; Current Homemaker/ Health Aide program certification or re-certification; latest Annual Work Plan and Job Performance Evaluation; reports of accidents and/or incidents involving program personnel affecting the care of clients or operation of the program and actions taken towards resolution;	<hr/>
Attendance at Annual Orientation to Title III Aging Programs, Bureau of Adult Protective Services and Aging and Disability Resource Center (ADRC) Program presented by DPH&SS, DSC personnel shall be met within the first month of each fiscal year, and new program personnel within 30 days of employment; acknowledgement of the Bidder's Drug and Smoke-Free Workplace Policy; acknowledgement of Bidder's Equal Employment Opportunity Policy; and acknowledgement of Bidder's Standard Operating Procedures that includes Emergency Management Plan.	<hr/>

SPECIFICATIONS:

BIDDING ON/REMARKS

The Bidder shall ensure the following requirements are met by all program staff prior to providing service to clients and remains current, not expired or outdated, while employed with this program:

- a. Current Tuberculosis (TB) Clearance or medical clearance shall be dated no earlier than 30 days prior to employment;
- b. Original Police, Court, and Traffic Clearances updated every three (3) years. Original Police, Court, and Traffic Clearances for new staff shall be dated no earlier than ninety (90) days prior to employment;
- c. Health Certificate issued by the Division of Environmental Health, DPH&SS.

The Bidder shall have documentation of program staff having completed the required orientation and/or certification prior to providing service to clients and throughout their employment with the program. The requirements that follow shall always be kept current by all program staff:

- a. Current Health Certificate issued by the Division of Environmental Heath, DPH&SS;
- b. Cardiopulmonary Resuscitation (CPR) and First Aid Certification;
- c. Fire Extinguisher and Basic Fire Awareness Training;
- d. Current Homemaker/Health Aide program certification or recertification;
- e. Attendance at Annual Orientation to Title III Aging Programs, Bureau of Adult Protective Services and Aging and Disability Resource Center (ADRC) Program presented by DPH&SS, DSC personnel shall be met within the first month of each fiscal year, and new program personnel within 30 days of employment.

ADMINISTRATIVE REQUIREMENTS

REQUESTS FOR INFORMATION.

Requests for Information by the DPH&SS, DSC shall be acted upon in a professional manner and submitted to the DPH&SS, DSC within five (5) working days unless otherwise specified in the request. Corrections to information requested shall be submitted as specified by the DPH&SS, DSC.

IMPROPER ACTIVITIES OF PROGRAM STAFF.

The Bidder shall report in writing to the DPH&SS, DSC within two (2) working days of learning of alleged acts of malfeasance, including embezzlement by their employees which affects the program. The Bidder shall file a report with the appropriate (i.e. GPD, GFD, GMH, Mayor, etc.) authorities and a copy shall be provided to DPH&SS, DSC.

SPECIFICATIONS:

BIDDING ON/REMARKS

COMPLAINTS, PROBLEMS AND CONCERNS.

The Bidder shall attempt to remedy complaints, problems and concerns of clients with other service providers, vendors or health and human service agencies prior to reporting the matter to the DPH&SS, DSC.

a. Complaints and concerns that cannot be resolved to the mutual satisfaction of all parties shall be reported in writing to the DPH&SS, DSC for assistance and guidance.

b) Urgent complaints, problems, and concerns requiring immediate attention shall be reported to the DPH&SS, DSC as soon as possible with written communications to be submitted by 10:00 am the next business day or as determined by the DPH&SS, DSC upon being notified of a situation that requires immediate attention. This shall include complaints filed against the Bidder with local or Federal agencies by clients or staff.

Written notices for information or corrective action, issued by the DPH&SS, DSC, to the Bidder shall be acted upon within five (5) working days upon receipt of notification or as specified by the DPH&SS, DSC.

ACCIDENTS AND INCIDENTS.

The Bidder shall ensure all accidents and incidents involving injury to individuals and/or damage to property are verbally reported to the DPH &SS, DSC, BAPS, as soon as possible with written report submitted the following working day if it is not practicable to submit the report of the accident and/ or incident the same day it occurred. A copy of reports (i.e., GPD, GFD, GMH, Mayor, etc.) issued regarding the accident and/or incident shall be submitted to the DPH&SS, DSC, BAPS no later than the next working day following its receipt by the Bidder. Acts of vandalism to any vehicle or facility used in the program shall be reported to the DPH&SS, DSC, BAPS in the same manner.

Volunteers and Student Interns. The Bidder is encouraged to use volunteers and student interns within Title III Aging programs which includes Protection and Advocacy. The Bidder is responsible to train volunteers and student interns. The volunteers and student interns shall retain applicable licenses, and certifications to properly execute their assignments with the program while safeguarding the health and safety of the clients, and the integrity of the program (Ref. Guam Public Law 25-38).

The Bidder is required to provide each volunteer and student intern a written job description listing their specific duties and responsibilities.

The Bidder is required to maintain a log showing the names, dates and the total number of hours worked by each volunteer and student intern, subject to review by the DPH&SS, DSC, DSC. The total number of volunteer and intern hours worked shall be reported in the Monthly Statistical Reports.

STAFF IDENTIFICATION.

The Bidder shall issue each staff and volunteer are issued a numbered photo identification card that shall be worn in clear view while on duty.

SPECIFICATIONS:

PROPER HYGIENE AND DRESS CODE.

The Bidder shall ensure all staff practice good hygiene and maintain a professional appearance. Staff providing direct services to clients shall wear closed-toed shoes for safety.

The staff who perform custodial or maintenance work are allowed to wear denim pants in the performance of their duties. All other staffs are to dress professionally.

MANAGEMENT PERSONNEL.

Management personnel shall be knowledgeable of the provisions of the Bidder's Agreement with the DPH&SS, DSC. The absence of the Guma Serenidad Program Director, Program Manager or other key personnel for more than three (3) consecutive days shall be reported in writing to the DPH&SS, DSC naming the person(s) authorized to act on behalf of the Program Director and the expected duration of the appointment.

PROFESSIONALISM.

The Bidder shall ensure program staff conduct themselves in a professional manner and maintain professional appearance while on duty.

STAFF MEETINGS.

The Bidder shall conduct no less than quarterly staff meetings to keep staff informed of changes in the program, and shall be open to the Government. Written notice of the meeting date, time, place, and agenda shall be provided to the DPH&SS, DSC, BAPS at least five (5) working days prior to the commencement of the meeting. Copies of the minutes shall be provided to the DPH&SS, DSC, BAPS at least five (5) working days prior to the next meeting, unless specifically requested earlier.

PROGRAM REPORTING REQUIREMENTS.

It is a requirement that Bidders provide invoices and reports on standard DPH&SS, DSC Program Forms. The Forms are named as follows (Refer to Attached- Appendix A sample forms):

- a. Monthly Program Report (Transmittal) Form
- b. Invoice Form
- c. Accounts Receivable Activity Report Form
- d. Program Income Report Form
- e. Program Income Expenditure Report Form
- f. Monthly Statistical Report
- g. Monthly Program Summary Form
- h. Yearly Program Report (Transmittal) Form
- i. Yearly Program Summary Form
- k. Non-Expendable Inventory Form Under \$5,000.00
- l. Non-Expendable Inventory Form Over \$5,000.00
- m. Release of Claims Statement Form
- n. Intake, Profile and Referral Form
- o. Intake, Profile and Referral Record Change and Service Update

MONTHLY PROGRAM REPORT.

The Bidder shall meet with DPH&SS, DSC staff within the first Week of being notified of being awarded the IFB for program staff to be orientated on the Forms to be completed to meet the

BIDDING ON/REMARKS

[illegible]

required Program Reporting Requirements.

SPECIFICATIONS:

The Bidder shall complete all monthly fiscal program reporting requirements utilizing the Microsoft Office Excel software unless otherwise agreed upon and approved by the DPH&SS, DSC.

a) The Monthly Program Reports are due ten (10) working days after the end of each reporting month, with the exception of the September Report or for the month being reported on in which the contract expires, which is due no later than five (5) working days after the end of either the fiscal year or the expiration or termination of the contract.

b) The September Reports or for the month being reported on in which the contract expires and is either being renewed or awarded to the same Vendor shall also include Release of Claims Statement and listing of all staff reflecting Criminal History Record (Police Clearance) of Felony Arrest(s) or Conviction(s) that occurred within the past five (5) years, dates of Felony Arrest(s) or Conviction(s) and employment date of staff. The list provided by the Bidder shall include traffic citations and violations.

EVIDENCE OF PAYMENT.

The Bidder shall electronically transmit a copy of receipt of payment for services to the DPH&SS, DSC within twenty-four (24) hours of receipt

ACQUISITION OF NON-EXPENDABLE PROPERTY.

The Bidder shall ensure at the end of this contract, any items, furniture, equipment, client files, and services purchased by vendor with contract funds during the contract becomes the property of the DPH&SS, DSC.

NON-EXPENDABLE PROPERTY UNDER \$5,000.00 IN VALUE.

The Bidder shall maintain an Equipment Logbook listing all non-expendable equipment under Five Thousand Dollars (\$5,000.00) from the DPH&SS, DSC or purchased through Program Monies.

REPORTING OF NON-EXPENDABLE PROPERTY \$5,000.00 OR GREATER IN VALUE.

The Bidder shall maintain a Non-Expendable Property Inventory Listing that shall be submitted yearly to the DPH&SS, DSC.

The Non-Expendable Property Inventory Listing shall include all property Five Thousand Dollars (\$5,000.00) or greater in value transferred from DPH&SS, DSC or acquired as a result of providing services under this program. All newly acquired property during the current contract period shall be added to the listing and is the property of DPHSS/DSC.

INVENTORY.

The Bidder shall maintain a current inventory of the assets of the program, adequately safeguarding such property and ensuring it is used solely for authorized purposes. Any personal use of the property by the Bidder or his/her staff is not permitted.

a) The Bidder shall ensure equipment with this program be kept in good condition and be properly secured when not utilized. Equipment

BIDDING ON/REMARKS

not being used shall be surveyed back to the DPH&SS, DSC.

SPECIFICATIONS:

b. The Bidder shall ensure broken, yet still usable equipment is assessed for repairs and be repaired as financially practicable. Any equipment deemed irreparable shall be documented on the inventory, listing the date and method of disposal

PROGRAM MONIES

SERVICE CONTRIBUTIONS.

The Bidder shall provide each client with an opportunity To voluntarily contribute to the cost of the program, a service contribution. The Bidder shall clearly inform each client that there is no obligation to contribute and that the contribution is purely voluntary. The Bidder shall protect the privacy and confidentiality of each client with respect to the client’s contribution or lack of contribution; and use all collected contributions to expand the service for which the contributions were given. No client shall be denied a service because the client will not or cannot contribute to the cost of the service. This information shall be reported in the Program Income and Program Income Expenditure Reports submitted monthly to the DPH&SS, DSC.

Program Income.

The Bidder shall safeguard Program Income generated in support of the program, accounting for all funds generated through this program. The Bidder shall establish as part of their Standard Operating Procedures written procedures that safeguard and account for all contributions, donations and fundraising activities in support of the program. All income received and spent shall be reported in the Program Income and Program Income Expenditure Reports submitted monthly to the DPH&SS, DSC.

GRANT OPPORTUNITIES.

The Bidder is encouraged to apply for grants (Federal, local and foundation based, etc.) to enhance the program. Notice of application for grants and awards thereof, shall be reported to the DPH&SS, DSC upon the Bidders being notified by the awarding entity.

IN-KIND CONTRIBUTIONS.

The Bidder shall document all in-kind contributions provided in support of this program.

UNEXPENDED SERVICE CONTRIBUTIONS AND PROGRAM INCOME.

Service Contributions and Program Income Funds shall be expended within the current contract period of each fiscal year (Funds). Funds not expended within each fiscal year may be used to reduce the Bidder’s monthly invoiced amount. In the event the Guma Serenidad contract is terminated, all unexpended Funds shall within five (5) working days be made payable via Cashier’s Check to the new Bidder, as directed by DPH&SS, DSC.

BIDDING ON/REMARKS

SPECIFICATIONS:

In the event unexpended Funds is not forwarded, the Bidder’s final invoice shall be reduced by DPH&SS, DSC as an offset in an amount equal to the Funds not paid to the new Bidder, as directed by DPH&SS, DSC.

INSURANCE COVERAGE

INSURANCE.

The Bidder agrees to procure and maintain in effect Workers Compensation and General Liability insurance coverage for the overall operations of the program. The Bidder shall provide certificates of such insurance to DPH&SS, DSC when required and shall immediately report in writing to the DPH&SS, DSC any insurance claims filed.

a) Workers Compensation Insurance in the form and amount required by the law of the Government of Guam to cover all employees working in any capacity in executing this program.

b) Commercial General Liability Insurance on an “Occurrence Basis” with limits of liability not less than \$1,000,000.00 per occurrence and/or combined single-limit bodily injury and property damage. The Bidder shall ensure the insurance is issued by a company authorized to do business on Guam with minimum limits of not less than \$1,000,000.00 for bodily injuries or death per occurrence, and not less than \$300,000.00 for damages to property. Such policy shall ensure the Government and their respective agents and employees with respect to liability as a result of the ownership, maintenance, use or operation of vehicles pursuant to this program.

c. Professional Liability Insurance in a form acceptable to the Government and with a limit of liability of not less than One Million Dollars (\$1,000,000.00).

PROGRAM PENALTIES

ADMINISTRATIVE.

The DPH&SS, DSC may assess a penalty or penalties for the submission of incomplete, inaccurate, or late financial, statistical, and other required program information. The penalty shall be calculated after any disallowed cost to the monthly invoice amount is applied. Any delay in submitting accurate and complete Monthly Program Reports shall only delay payment of the monthly program invoice. The penalty is based on the following schedule within the program’s service period and shall be applied:

a. In the event the initial submission of the Monthly and Yearly Program reports is inaccurate, incomplete or missing, and upon notification by DPH&SS, DSC, the Bidder shall have three (3) working days to submit or resubmit the inaccurate, incomplete or missing required reports and upon being cleared by DPH&SS, DSC, invoices will be processed with no penalties applied.

BIDDING ON/REMARKS

SPECIFICATIONS:

BIDDING ON/REMARKS

- b. In the event the second submission requires further revisions resulting in a third submission of the Monthly or Yearly Program reports, a \$1,000.00 penalty shall be applied to the total invoice after any disallowed cost is applied.
- c. In the event a subsequent submission or any additional revision is required to the third submission of Monthly or Yearly Program reports, the penalty shall increase from \$1,000.00 to \$2,000.00 and be applied to the total invoice after any disallowed cost is applied.

12.2 LATE REPORTING.

Extensions for late submissions of Monthly or Yearly Program reports, and other reportable areas may be authorized through a written request from the Executive or Program Director of the program stating the extenuating circumstances contributing to the report being submitted late. The written request shall be submitted to the DPH&SS, DSC, no later than 1:00 pm of the one (1) working day prior to the due date of the required Monthly or Yearly Program Report. The DPH&SS, DSC shall determine whether the request is approved or disapproved. In the event the request is disapproved, the Bidder shall be assessed a \$750.00 penalty for late submission applied to the monthly invoice amount.

12.3 PROGRAM PERSONNEL AND CLIENT FILES AND RECORDS PENALTY.

Personnel and client files and records shall be kept current and filed accordingly. The Bidder shall have three (3) working days to correct personnel and client files and records identified to be incomplete, inaccurate, missing, outdated or expired. After the third work day has passed and the Bidder has not rectified the identified deficiency to the satisfaction of the DPH&SS, DSC, the Bidder will be assessed a flat penalty of Twenty-Five Dollars (\$25.00) for each personnel and client files and records identified to be deficient.

12.4 ANNUAL AUDIT.

The Bidder will be assessed a penalty of Five Hundred Dollars (\$500.00) for each month the annual audit is submitted late to DPH&SS, DSC. The payment of the penalty shall not be derived from Program Income. The payment shall be made payable to the DPH&SS, DSC and shall be the responsibility of the Bidder.

The penalty may be waived, as authorized by the DPH&SS, DSC, provided the Bidder can demonstrate in good faith having executed an agreement with an independent Certified Public Accountant firm to conduct the audit within sixty (60) calendar days upon official notification of award of this IFB. If none exist, the Five Hundred Dollars (\$500.00) penalty will be applied for each month the annual audit is submitted late.

13.0 COMPENSATION FOR SERVICES

13.1 The program services being acquired for this procurement activity is dependent on local Government of Guam funds being approved, allocated and available. If funds for this program are not secured for any reason, the government reserves the right to cancel this procurement consistent with Guam procurement law

and regulations.

SPECIFICATIONS:

13.2 UNAUTHORIZED SERVICES.

Any unauthorized services rendered by the Bidder shall be considered a disallowed cost and shall be deducted from the monthly invoice. Any cost above the agreed amounts shall be at the expense of the Bidder.

13.3 Under no circumstances shall the cost per month exceed the agreed upon cost per month in this Agreement.

13.4 Payment shall be based upon costs submitted less disallowed costs and penalties, as applicable. Compensation based upon the aggregate of the costs submitted may be less than the agreed upon compensation but in no event shall it exceed the agreed upon compensation, unless otherwise provided for.

13.5 Invoices.

The Bidder will be compensated upon the clearance of monthly invoices by DPH&SS, DSC. In any reporting month and there exist a discrepancy in the statistical, narrative or financial reports submitted by the Bidder, ten percent (10%) of the invoice amount after applying any penalties and/or disallowed costs will be withheld until the discrepancy has been resolved to the satisfaction of the DPH &SS, DSC. Upon being notified by DPH&SS, DSC that the discrepancy has been resolved, the Bidder shall submit an invoice for the remaining ten percent (10%) to be processed accordingly. **In the event discrepancies continue for three (3) months, invoices from this point forward shall not be processed until the discrepancies are fully resolved to the satisfaction of the DHSS, DSC.**

13.6 Non-payment by the Government shall not be considered grounds for suspension of services by the Bidder.

14.0 SPECIAL TERMS AND CONDITIONS:

14.1 PROGRAM DATABASE.

The Bidder shall comply with the efforts of putting forth a unified automated information system supports and promote a coordinated and comprehensive system of care.

The Bidder shall ensure that their staff attend training, maintain and enter data, generate reports and conduct all necessary transactions in support of this effort which will assist the Bidder in complying with the reporting requirement of the Guma Serenidad. Orientation and training on the access and use of the Program Database will be coordinated and/or provided by DSC and/or software company contracted to maintain the system at no cost to the Bidder, with the exception of staff time to attend the orientation, training and technical assistance activities in support of the Program Database. **Failure to comply with this section shall result in non-payment to Bidder.**

14.2 PROGRAM DATABASE INFORMATION SYSTEM.

The Bidder shall be granted access upon being awarded this program to enter data and other required program information in compliance with reporting requirements of this database.

BIDDING ON/REMARKS:

SPECIFICATIONS:

BIDDING ON/REMARKS:

14.3 GRANTOR RECOGNITION.

The Bidder shall ensure recognition of the role of the grantor agency in providing services through this IFB. When a press release is issued or interview is given for any activity funded in whole or in part through this IFB, reference shall be given as to the funding source and funding agency.

The Bidder shall prominently identify in all publication and advertisements that funding for the item(s) is provided through the DPH&SS, DSC to include all activities, facilities, and items utilized pursuant to this IFB. For example, *"This program is made possible through local Government of Guam funds administered by the Department of Public Health and Social Services, Division of Senior Citizens."*

All advertisements by the Bidder about the program shall be submitted to the DPH&SS, DSC prior to distribution to the general public and local media.

14.4 PROFESSIONAL STANDARDS.

The Bidder agrees to maintain professional standards applicable to its profession, professional development, and other program services. At all times pertinent to this IFB, the Bidder shall maintain all professional certifications and business licenses required in Guam and other states in which it does any portion of services in this IFB.

The Bidder shall be responsible for the professional and technical accuracy of all work and materials furnished under this IFB.

The Bidder shall, without additional cost to the Government, correct or revise all errors or deficiencies in its work.

14.5 NEGLIGENT PERFORMANCE BY BIDDER.

The Government's review, approval, acceptance of, and payment of fees for services required under this IFB, shall not be construed to operate as a waiver of any rights under this IFB or of any cause of action arising out of Bidder's failure of performance of this IFB and Bidder shall be and remain liable to the Government for all costs of any kind which may be incurred by the Government as a result of the Bidder's negligent performance of any of the services performed under this IFB.

14.6 STANDARDS OF CONDUCT.

The Bidder shall uphold the highest standards of conduct of their staff in administering services to the elderly and adults with a disability. All staff shall abide by a zero tolerance of encouraging, accepting and receiving any type of loan, monetary gift or gratuity or any other form of financial gain from clients or their families.

14.7 REMOVAL AND TERMINATION OR SUSPENSION OF PROGRAM PERSONNEL.

The DPH&SS, DSC, retains the absolute right and authority to demand removal and termination or suspension from the program for reasonable cause any personnel furnished by the Bidder when DPH&SS, DSC determines this management intervention is required to be executed to safeguard the program. A disregard of Federal and local laws, regulations, policies, and non-compliance or non-performance with a contracted provision or provisions, but not limited to, shall be considered reasonable cause.

SPECIFICATIONS:

BIDDING ON/REMARKS:

The Bidder’s personnel policy and procedures used in the management of their personnel shall include this provision.

14.8 ACTIVITIES OF PERSONNEL.

The Bidder shall ensure the Bidder or his/her employees do not contribute or make available program funds, personnel or equipment to any political party or association or to the campaign of any candidate for public or party office; or for use in advocating or opposing any ballot measure, initiative, or referendum [Ref. 45 CFR 1321.71(h)(1)] .

The Bidder shall ensure the Bidder or its employees do not intentionally identify the program or the Bidder with any partisan or nonpartisan political activity, or with the campaign of any candidate for public or party office [Ref. 45 CFR 1321.71(h)(2)].

14.9 DUPLICATION OF SERVICES.

The Bidder shall ensure all program services funded through this procurement are coordinated with other appropriate services within the community, and that these services do not constitute an unnecessary duplication of services provided by other sources.

14.10 REPORT OF ABUSE OR NEGLECT OF ELDERS AND ADULTS WITH A DISABILITY.

The Bidder shall immediately make a verbal report of suspected cases of abuse or neglect of elders and adults who have a disability and provide a written report within forty-eight (48) hours to the Bureau of Adult Protective Services, DSC or its contracted Service Provider of Guma Serenidad, an Emergency Receiving Home with a Crisis Intervention Hotline at 632-8853. (Ref. P.L. 31-278, Title 10 GCA, Chapter 2).

14.11 REPORT OF ABUSE OR NEGLECT OF CHILDREN.

The Bidder shall immediately make a verbal report of suspected cases of abuse or neglect of children and provide a written report within forty-eight (48) hours to the Child Protective Services Unit, Bureau of Social Services Administration (Ref. P.L. 20-209, Title 19 GCA, Chapter 13).

14.12 HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA).

The Bidder shall comply with the Health Insurance Portability And Accountability Act of 1996, P.L. 104-191 and the Federal “Standards for Privacy of Individually Identifiable Health Information” promulgated under 45 CFR Part 160 and Subparts A and E of Part 164

14.13 SOCIAL SECURITY NUMBER CONFIDENTIALITY ACT.

The Bidder shall ensure compliance relative to preventing the inappropriate disclosure and misappropriation of Social Security numbers (Ref. P.L. 28-95, Title 5 GCA, Chapter 32, Article 7).

14.14 CLIENT CONFIDENTIALITY.

The Bidder shall ensure information obtained directly or indirectly from clients be kept confidential and cannot be released in a form that identifies the client without the informed consent of the client, or of his or her legal representative, unless the disclosure is required by court order, or for program monitoring by authorized Federal, State or local monitoring agencies (P.L. 31-278 § 2959 Confidentiality).

SPECIFICATIONS:

BIDDING ON/REMARKS:

14.15 PROGRAM TRANSITION.

All steps shall be taken by the Bidder to ensure a smooth and professional transition of the program to prevent any interruption of services to the clients and to preserve the integrity of the program.

a) The Bidder, who has not been awarded a new contract or renewal of an existing contract under the program, shall immediately prepare to relinquish all program related information, files, equipment, service contributions, and program income balances and all other operational, administrative, and service documents and/or items to the new Bidder.

b) The Bidder shall designate a person(s) who will work with the DPH &SS, DSC, BAPS in the transition process to the new Bidder.

1. The Bidder shall encourage, assist and support the transition of program staff to ensure the program’s smooth transition to include at a minimum, but not limited to physical equipment and records transfer.

The DPH&SS, DSC shall oversee the transfer of all program related information, files, equipment, monies, etc., to the new Bidder.

a) The DPH&SS, DSC shall apply a penalty of \$10,000 in the event the Vendor does not support or assist in the transition of the program from the current Vendor to the new Vendor. Further, the current Vendor’s invoice shall not be processed for payment until the DPH &SS, DSC clears the current Vendor of all program responsibility in the transition process.

b) Bidder’s invoice shall not be processed until DPH&SS, DSC closes out Bidder’s program responsibility in the transition process.

14.16 FINANCIAL MANAGEMENT SYSTEM.

The Bidder shall ensure the organization possesses a financial management system that meets the standards of the Common Rule for Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments in financial reporting, accounting records, internal control, budget control, allowable cost, source documentation, and cash management.

The Bidder shall ensure their accounting system shall permit timely development of all necessary cost data in the form required by the DPH&SS, DSC and is in accordance with generally accepted accounting principles (Ref. Title 5 GCA, Chapter 5, Article 3, Part E, §5236).

14.17 FILES AND RECORDS MAINTENANCE.

All files and records pertaining to the program, both programmatic and financial, shall be accurate and complete and made accessible to the DPH&SS, DSC and are, at a minimum, subject to audit, monitoring, and evaluation.

14.18 MONITORING.

Unannounced monitoring of the program by the DPH&SS, DSC shall not be denied by the BIDDER. Monitoring may

include, but is not limited to, on-site observations of activities and/or staff, facility inspections, and discussions with clients regarding the effectiveness of the program.

SPECIFICATIONS:

All documents and staff related to the operations and delivery of services is subject to review by the DPH&SS, DSC.

14.19 CLIENT SURVEY.

As appropriate, the Bidder shall conduct a client survey to gauge the clients’ perceptions of services rendered through this program and identify unmet needs. At a minimum, the survey should include feedback on the quality of service, the personal hygiene and dress code of the staff, and the strength and weaknesses of the program. The first survey shall be submitted to the DPH&SS, DSC in July for the service period rendered, subsequent surveys shall be submitted in July, thereafter.

15.0 AUDIT

15.1 The Office of Management and Budget (OMB) Circular And guidance requires a *non-profit* organization shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 and the most current OMB Circular A-133.

15.2 A *for-profit* organization is required to comply with Title 45 CFR, Part 74.26(d) of the CFR which incorporates the thresholds and deadlines of the most current OMB Circular A-133 but provides *for-profit* organizations two options regarding the type of audit that will satisfy the audit requirements.

The *for-profit* organization may either have: A *Financial-Related Audit* as defined in, and in accordance with, the Government Auditing Standards, commonly known as the “Yellow Book”, (GPO Stock #020-000-00-265-4) of all the HHS awards; or An *Audit* that meets the requirements of the most current OMB Circular A-133.

15.3 The Bidder shall prepare and provide to the DPH&SS, DSC within 30 days upon official notification of award of this IFB, a copy of their engagement with a Certified Public Accountant firm to perform the independent audit of this program. This audit shall be completed and forwarded to the DPH&SS, DSC, no later than March 31st proceeding September 30th of each contract term.

15.4 The Bidder shall prepare and provide supporting documents to resolve any questioned costs or material weaknesses identified in the annual audit.

15.5 The Bidder is responsible for any questioned costs not resolved at the end of the Agreement year and remains the responsibility of the Bidder awarded said Agreement, even if the Bidder is not awarded the new IFB Agreement. The amount due resulting from any questioned costs shall be due to the DPH&SS, DSC within ninety (90) days upon notification by the DPH&SS, DSC, unless otherwise agreed upon by the DPH&SS, DSC and the Bidder.

15.6 The Bidder is responsible for any questioned costs not resolved which shall result in a deduction in the contractual amount of the entire amount questioned from the agreed upon value of the negotiated Renewal

BIDDING ON/REMARKS:

or the negotiated new Agreement awarded to the same Bidder.

SPECIFICATIONS:

15.7 The Bidder on which the contract expires shall submit the annual audit to the DPH&SS, DSC no more than six (6) months after the end of the contract’s expiration. This provision is specific to the final service year for this program and is not to be misconstrued as to negate the requirement of submitting the annual audits for the first two (2) service years.

15.8 Program Specific Audit.

The DPH&SS, DSC, DSC reserves the right to have a Program Specific Audit performed by an independent auditing firm on this program. The Bidder shall ensure full cooperation in submitting requested information to the auditors in a timely manner.

16.0 MONTHLY HOLDOVER PERIOD

16.1 There shall be a **monthly holdover** period after the final renewal term on a month to month basis (each being a “**Monthly Holdover Period**”), to begin immediately after the expiration of the final renewal period, provided that in no event may the parties agree to more than six (6) **Monthly Holdover Periods**. The **Monthly Holdover Periods** may be agreed to by the parties only if the Government is unable to continue the services uninterrupted under a new contract after a new solicitation and procurement undertaken by the Government.

16.2 The term of contract and **Monthly Holdover Period**, are subject to the availability of funds from fiscal year to fiscal year and the Government’s determination of its best interest.

16.3 In the event funds are not appropriated or otherwise made available to support continuation of performance in a subsequent fiscal period, the Agreement shall be canceled and the Contractor shall be reimbursed for the reasonable value of any non-recurring costs incurred but not amortized in price of supplies or services delivered under the contract.

17.0 NOTICE TO BIDDERS

17.1 Anticipated funding for this procurement.

“The required Delivery Date”. It is the intent of the Government of Guam to commence services by: _____ or earlier to be effectuated Fiscal Year 2022, but the issuance of any award/ purchase order in this procurement is contingent upon the award and receipt of the Government of Guam’s annual appropriation to this program. In the event funds are not appropriated or otherwise made available to support the initial award of this procurement all bid submittal received will be rejected and or the IFB cancelled.

The Government of Guam, Department of Public Health and Social Services, Division of Senior Citizens is the state-wide agency of Guam for this program and has a good faith as to the above occurring and their being funding as set forth above for Fiscal Year 2021, and notice is herein given that this procurement is issued and proceeding, but there can be no actual award without funding occurring as set forth above.

BIDDING ON/REMARKS:

SPECIFICATIONS:

BIDDING ON/REMARKS:

17.2 Term: (Multi-Term).

The term of this contract shall commence upon the signing of The contract. For a period of three (3) years with an option to renew For (2) years on a year to year basis. Renewals & Extensions are At the "Sole Discretion" of the Government of Guam

Renewal options will be one Fiscal Year at a time subject to local funding, and the appropriation, allocation and availability of funds.

There may be multiple purchase orders for the initial term and any optional subsequent renewal issued in keeping with the nature of the local governments process and DPH&SS, DSC.

In the event funds are not appropriated or otherwise made available to support continuation of performance in a subsequent fiscal period, the contract shall be canceled and the contractor shall be reimbursed or the reasonable value of any non-recurring costs incurred but not amortized in price of supplies or services delivery under the contract. The cost of cancellation may be paid from any appropriations available for such purposes.

The Government of Guam shall notify the contractor in a timely basis in writing that the funds are, or not, available for the continuation of the contract for each succeeding fiscal period. The multi-term period as set forth in this clause does not affect either the Government of Guam’s rights or the contractor’s rights under any termination clause of this contract.

17.3 Bid Price/Escalation Clause (Mid-Term).

The bid price offered by the Bidder shall remain the same through out the contract term.

17.4 Drug and Smoke-Free Workplace.

Contractor will ensure compliance with Federal and Local Drug and smoke-free workplace laws and requirements [Federal Drug-Free Workplace Act of 1988, the Governor’s Circular No. 889-26 (Governor’s Policy Statement Establishing a Drug-Free Workplace) and Clean Indoor Air Act of 1992, P.L. 116-34 Chapter III § 20 (lapsed into law August 24, 2018, codified at 5 GCA Chapter 37).

17.5 Program Fraud and False or Fraudulent Statements or Related Act.

Contractor acknowledges that 5 GCA, Chapter 37 False Claims and Whistleblower Act applies to Contractor’s action pertaining to this contract. See P.L. 116-34 Chapter III § 20 (lapsed into law August 24, 2018, codified at 5 GCA Chapter 37).

APPENDICES OF PROGRAM FORMS
APPENDIX A

- A 1. Monthly Program Report (Transmittal) Form
- A 2. Invoice Form
- A 3. Accounts Receivable Activity Report Form
- A 4. Program Expenditure Report Form
- A 5. Expenditure Report of Personnel Cost
- A 6. Program Income Report Form
- A 7. Monthly Statistical Report
- A 8. Non-Expendable Inventory Form Under \$5,000.00
- A 9. Non-Expendable Inventory Form Over \$5,000.00
- A 10. Monthly Program Summary Form
- A 11. Yearly Transmittal Page
- A 12. Yearly Report
- A 13. Release of Claims Statement Form
- A 14. Intake, Profile and Referral Form
- A 15. Intake, Profile and Referral Record Change and Service Update
- A 16. Adult Protective Services Referral Form

PROGRAM REPORT

GUMA SERENIDAD

FY-202

month:

SELECT ONE:

- | | | | |
|------------------------------|------------------------------|------------------------------|------------------------------|
| <input type="checkbox"/> OCT | <input type="checkbox"/> JAN | <input type="checkbox"/> APR | <input type="checkbox"/> JUL |
| <input type="checkbox"/> NOV | <input type="checkbox"/> FEB | <input type="checkbox"/> MAY | <input type="checkbox"/> AUG |
| <input type="checkbox"/> DEC | <input type="checkbox"/> MAR | <input type="checkbox"/> JUN | <input type="checkbox"/> SEP |

REVIEWED BY:

Name of Bidder's Program Manager & Signature:

APPROVED BY:

Name of Bidder's Program Director & Signature:

SUBMITTED BY:

Service Provider's Name:

DATE OF SUBMISSION:

FY-202 INVOICE

FROM: GUMA SERENIDAD Program	ADDRESS:	INVOICE NO.	DATE:
TO: Department of Public Health and Social Services 590 S. Marine Corps Drive Tamuning, Guam 96913-3532	ORDER OF AGREEMENT NO. Account # _____ Contract # _____ Vendor # _____		

[illegible]

		TOTAL: \$		-
--	--	------------------	--	----------

Allotment Charge: _____

CONCURRED BY:

Acting Director, DPHSS

Date

APPROVED FOR PAYMENT:

Tommy C. Taitague
Certifying Officer, DPH&SS

Date

FY- 2016 EXPENDITURE REPORT
BIDDER'S NAME
GUMA SERENIDAD PROGRAM

FOR THE MONTH ENDING: _____

PERSONNEL & BENEFITS COSTS (110/111) *As Transferred from Page 2	ADMINISTRATIVE COSTS		SERVICE EXPENDITURES		TOTALS	
	CURRENT	CUMULATIVE	CURRENT	CUMULATIVE	CURRENT	YTD CUMULATIVE
Subtotal (110/111)						
CONTRACTUAL (230)						
Subtotal (230)						
SUPPLIES AND MATERIALS (240)						
Subtotal (240)						
EQUIPMENT (250) (under \$5,000)						
Subtotal (250)						
UTILITIES (360)						
Subtotal (360)						
GRAND TOTAL						

BIDDER'S NAME
GUMA SERENIDAD PROGRAM

Pay Period Start/End Dates:DSC 2016 Expenditure Report of Personnel Costs

FY-202_ PROGRAM INCOME REPORT				
BIDDER'S NAME				
GUMA SERENIDAD PROGRAM				
FOR THE MONTH ENDING:				
PROGRAM INCOME REPORT				
*PLEASE SPECIFY	MONTHLY CONTRIBUTIONS		YEAR-TO-DATE CONTRIBUTIONS	
	CASH	IN-KIND (CASH VALUE)	CASH	IN-KIND (CASH VALUE)
CARRYOVER OF UNEXPENDED PROGRAM INCOME			\$	
*VOLUNTARY CONTRIBUTIONS MONETARY/GIFTS and DONATIONS				
*FUNDRAISING ACTIVITIES				
*GRANTS				
TOTAL AMOUNT (CASH)	\$		\$	
*IN-KIND CONTRIBUTIONS (As applicable)		\$		\$
TOTAL AMOUNT (IN-KIND VALUE)		\$		\$

FY 202 MONTHLY STATISTICAL REPORT										
BIDDER'S NAME										
PROGRAM: GUMA SERENIDAD										
MONTH: _____										
*DUAL = Elderly with a Disability										
A. COUNT FOR ADMISSIONS		ELDERLY		ADULT		DUAL		TOTALS		
1	Previous Month Client Count	0		0		0		0		
2	New Clients Admitted							0		
3	Total Unduplicated Clients Served YTD (Add lines 1 & 2)	0		0		0		0		
4	Persons on Waiting List							0		
B. COUNT FOR REFERRALS / INTAKES		APPROPRIATE			INAPPROPRIATE			TOTALS		
		Elderly	Adult	Dual	Elderly	Adult	Dual			
1	Previous Month Referrals/Intakes	0	0	0	0	0	0	0		
2	New Referrals/Intakes							0		
3	Total Unduplicated Referrals/Intakes YTD (Add lines 1 & 2)	0	0	0	0	0	0	0		
C. ELDERLY / DUAL CASE STATUS		PREVIOUS MONTH YTD		CURRENT MONTH					YTD TOTALS (Types of Abuse)	
Type of Abuse		ACTIVE +		ACT =	SUB	UNS	INA	PNG		
1	Physical	0		0					0	
2	Sexual	0		0					0	
3	Emotional or Psychological	0		0					0	
4	Financial or Property	0		0					0	
5	Neglect	0		0					0	
6	Self-Neglect	0		0					0	
7	Abandonment	0		0					0	
8	Other	0		0					0	
9	Total for the Month			0	0	0	0	0	Total Active Cases YTD	
10	Previous Month Total			0	0	0	0	0		
11	Total YTD	0		0	0	0	0	0		
D. ADULTS WITH A DISABILITY CASE STATUS		PREVIOUS MONTH YTD		CURRENT MONTH					YTD TOTALS	
Type of Abuse		ACTIVE +		ACT =	SUB	UNS	INA	PNG		
1	Physical	0		0					0	
2	Sexual	0		0					0	
3	Emotional or Psychological	0		0					0	
4	Financial or Property	0		0					0	
5	Neglect	0		0					0	
6	Self-Neglect	0		0					0	
7	Abandonment	0		0					0	
8	Other	0		0					0	
9	Total for the Month			0	0	0	0	0	Total Active Cases YTD	
10	Previous Month Total			0	0	0	0	0		
11	Total YTD	0		0	0	0	0	0		
E. UNITS OF SERVICE		PREVIOUS MONTH YTD		CURRENT MONTH					YTD TOTALS	
1	Case Management (1Hour)	0							0	
2	Personal Care (1 Hour)	0							0	
3	Outreach (1Contact)	0							0	
4	Hotline Calls (Per Call)	0							0	
5	Nutritional Counseling (1 Hour)	0							0	
6	Info. & Asst. (1 Contact)	0							0	
7	Total for the Month			0					Total Units of Service YTD	
8	Previous Month YTD Total			0						
9	Total YTD			0						

BIDDER'S NAME

PROGRAM: GUMA SERENIDAD

MONTH:

F. PARTICIPANT ETHNICITY	REFERRALS/INTAKES												ADMISSIONS											
	APPROPRIATE						INAPPROPRIATE						Current Month Total by ethnic group +	Prior Month Total =	YTD by ethnic group	Elder		Adult		Dual		Current Month Total by ethnic group +	Prior Month Total =	YTD by ethnic group
	Elder		Adult		Dual		Elder		Adult		Dual													
	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female		
1 Alaskan													0		0							0		0
2 American Indian													0		0							0		0
3 Black													0		0							0		0
4 Chamorro													0		0							0		0
5 Chinese													0		0							0		0
6 Chuukese													0		0							0		0
7 Filipino													0		0							0		0
8 Hawaiian													0		0							0		0
9 Hispanic													0		0							0		0
10 Japanese													0		0							0		0
11 Korean													0		0							0		0
12 Kosraean													0		0							0		0
13 Marshallese													0		0							0		0
14 Pohnpean													0		0							0		0
15 Palauan													0		0							0		0
16 Yapese													0		0							0		0
17 White													0		0							0		0
18 Other (specify)													0		0							0		0
19 Other (specify)													0		0							0		0
20 Other (specify)													0		0							0		0
21 Other (specify)													0		0							0		0
22 Other (specify)													0		0							0		0
23 TOTAL MONTH	0	0	0	0	0	0	0	0	0	0	0	0				0	0	0	0	0	0			
24 PRIOR MONTH																								
25 TOTAL YTD	0	0	0	0	0	0	0	0	0	0	0	0				0	0	0	0	0	0			

Note: For October of each Fiscal Year, all admissions (including carryover from prior Fiscal Year) will be indicated under Prior

BIDDER'S NAME

PROGRAM: GUMA SERENIDAD

MONTH: _____

SOURCE OF REPORTS							
G.	(Source: National Center on Elder Abuse 2004 Adult Protective Services Data Survey)	Elderly	Adults	Dual	Current Month Total by Source +	Prior Month Total =	YTD by Source
1	Anonymous / Undisclosed				0		0
2	Aging Network Service Providers				0		0
3	Attorneys				0		0
4	Bankers				0		0
5	Clergy				0		0
6	Dentists				0		0
7	Developmental Disability Staff				0		0
8	EMT / Firefighters				0		0
9	Family Members				0		0
10	Friends / Neighbors				0		0
11	Guardian / Caregiver				0		0
12	Home Health Staff				0		0
13	Housing Agency / Landlord				0		0
14	Law Enforcement				0		0
15	Long-term Care Staff				0		0
16	Long-term Care Ombudsman				0		0
17	Mental Health Staff				0		0
18	Nurses / Nurses' Aides				0		0
19	Paid Caregivers				0		0
20	Pharmacists				0		0
21	Physicians				0		0
22	Psychologists				0		0
23	Self				0		0
24	Social Services Agency Staff				0		0
25	Social Workers (Private Practice)				0		0
26	Other:				0		0
TOTAL REFERRALS RECEIVED THIS MONTH PER CLIENT CATEGORY		0	0	0			

H. CITIZENSHIP		U.S.				NON-U.S.		
		Previous Month	Current	YTD		Previous Month	Current	YTD
		YTD	0	0		YTD	0	0

FY-202 NON-EXPENDABLE PROPERTY INVENTORY UNDER \$5,000.00 IN VALUE	
BIDDER'S NAME	

PROGRAM NAME: Guma Serenidad
LOCATION:
DATE OF INVENTORY REVIEW:

[illegible]

INVENTORY PREPARED BY:	SIGNATURE AND DATE	POSITION TITLE
INVENTORY VERIFIED BY:	SIGNATURE AND DATE	POSITION TITLE
INVENTORY CERTIFIED BY:	SIGNATURE AND DATE	POSITION TITLE

FY-202_ NON-EXPENDABLE PROPERTY INVENTORY OVER \$5,000.00 IN VALUE	
BIDDER'S NAME	

PROGRAM NAME: Gumu Serendad
LOCATION:
DATE OF INVENTORY REVIEW:

PAGE ____ of ____

[illegible]

INVENTORY PREPARED BY:	SIGNATURE AND DATE	POSITION TITLE
INVENTORY VERIFIED BY:	SIGNATURE AND DATE	POSITION TITLE
INVENTORY CERTIFIED BY:	SIGNATURE AND DATE	POSITION TITLE

FY- 202__
BIDDER'S NAME
GUMA SERENIDAD PROGRAM
MONTHLY PROGRAM REPORT
Month – Year

PROGRAM REPORT:

Monthly Reports with transmittal page signed by the Program Director and Program Manager shall be complete, accurate, and received by the DPH&SS, DSC in the format provided no later than ten (10) working days after the end of each reporting month, with the exception of the September Reports that are due no later than five (5) working days after the end of the fiscal year and shall include:

- A. Staff Trainings, Workshops, Conferences and Presentations (include names of staff and volunteers, dates, titles, presenters and locations)
- B. Complaints, Problems and Concerns, and Proposed Solutions:
 - 1) From Clients
 - 2) From Aging Providers
 - 3) Vehicles: List of all Government Owned Vehicles not used for a consecutive period of 48 working hours and the reason for their non-use.
 - 4) Routes: Report separately Assisted Transportation Services and Transportation Services.
 - 5) Other (Specify)
- C. Program Accomplishments: Provide a description highlighting the achievements of the program (Provide a narrative description of results achieved for the reporting month)
- D. Plans for Next Month
 - 1) Staff Training Plan
 - 2) Management Plan
 - 3) Special Activities
 - 4) Presentations and Outreach Plan

FY – _____ TRANSMITTAL PAGE

GUMA SERENIDAD

YEARLY (PLEASE ATTACH THE FOLLOWING):

- ☐ RELEASE OF CLAIMS STATEMENT
- ☐ NON-EXPENDABLE PROPERTY INVENTORY LISTING (OVER & UNDER \$5,000.00)
- ☐ LISTING OF ALL STAFF REFLECTING CRIMINAL HISTORY RECORD
- ☐ LISTING OF BANK ACCOUNTS

REVIEWED BY:	NAME OF BIDDER'S PROGRAM MANAGER & SIGNATURE:
APPROVED BY:	NAME OF BIDDER'S EXECUTIVE OR PROGRAM DIRECTOR & SIGNATURE:
SUBMITTED BY:	SERVICE PROVIDER'S NAME:
DATE OF SUBMISSION:	

FY- _____ YEARLY REPORTS
NAME OF BIDDER
GUMA SERENIDAD

Month – Year

Yearly Reports shall be complete, accurate and received by the DPH&SS, DSC no later than five (5) working days after the end of the fiscal year and shall include:

- 1) Release of Claims Statement.
- 2) Non-Expendable Property Inventory Listing shall be certified by its certifying officer [Ref. P.L. 29-19, Section 6, (d)] to include:
 - (a) Date of Purchase or Lease;
 - (b) Item Description;
 - (c) Make/Model;
 - (d) Serial Number;
 - (e) Unit Cost;
 - (f) Indicate whether purchased, leased, or donated;
 - (g) Indicate whether purchased or leased with Program Funds or Program Income;
 - (h) Physical Location of Item/Object;
 - (i) Condition of Item/Object; and
 - (j) Percentage of Program Usage of Item/Object.
- 3) Listing of all staff reflecting Criminal History Record (Police Clearance) of Felony Arrest(s) or Conviction(s) that occurred within the past seven (7) years, dates of Felony Arrest(s) or Conviction(s) and employment date of staff. The list provided by the Service Provider shall include traffic citations and violations.
- 4) Listing of bank accounts, such as checking, savings, time certificates of deposit, money market accounts, etc., of funds from transportation services including information of activities from which the funds were generated, authorized signatures and current balances (Service Contributions).

FY-202_ RELEASE OF CLAIMS STATEMENT

CONTRACT: BIDDER'S NAME

PROGRAM NAME: GUMA SERENIDAD

CONTRACT No.: _____

KNOWN ALL MEN BY THESE PRESENTS:

In consideration of the promise and the sum of, the total amount of which will not exceed lawful money of the United States of America and _____ of which has been paid and _____ of which is to be paid by the Government of Guam under the above mentioned contract, the undersigned Contractor does, and by the receipt of said sum shall, for itself, its successors and assigns, remise, release and forever discharge the Government of Guam, its officers, agents and employees of and from all liabilities, obligations and claims whatsoever in law and equity under or arising out of said contract.

IN WITNESS WHEREOF, this release has been executed this _____ day of _____, 202__.

WITNESS:

PRINT NAME

CONTRACTOR

SIGNATURE

BY: _____

TITLE: _____

CERTIFICATE

I, _____, certify that I am the _____ of the Corporation as Contractor in the foregoing release; that _____ who signed said release on behalf of the Contractor was the _____ of the Corporation by authority of its governing body and is within the scope of its corporate powers.

NAME AND SIGNATURE

DATE: _____

**SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM**

INSTRUCTIONS

Title III reporting requirements provide statistical data for management and advocacy initiatives serving as indicators for new and continued funding of programs for seniors. The data collected is used for budget justifications, congressional inquiries, program development and mandated reports for federal, state and local agencies. Information must be accurate for it to be useful in supporting program services.

- ◆ **FORM:** This form is an Intake, Profile and Referral (IPR) Form, and not an assessment form. Profile characteristics are used in developing new programs to meet the needs of the elderly. Each Service Provider may have their own assessment form for their specific programs.
- ◆ **DATA RETENTION:** Client data is inputted and retained in a main registry.
- ◆ **INCOME LEVEL:** The Income Level is based on the U.S. Department of Health and Human Services Poverty Guidelines and shall be completed before the Intake, Profile and Referral Form is processed.
- ◆ **PRIORITIZATION OF SERVICES:** Based on the need to activate Prioritization of Services, the number of persons to be served will be determined by the existing conditions of clients enrolled in a program and those on a wait list at the time of implementation. Information on mobility, support system, housing condition, activities of daily living, health status and financial assets is collected should prioritization of services be necessary.
- ◆ **REFUSAL TO ANSWER:** Should a client refuse to answer a certain question, leave it blank. In the comments section, list the reason for not answering the question. This does not apply to Income Level.
- ◆ **SIGNATURE:** The signature of the client or responsible party is required before services can be provided.
- ◆ **SPECIAL ACCOMMODATIONS:** Clients requiring special accommodations shall inform the program in advance of their requirements.

◆ **PROGRAM SPECIFIC INFORMATION:**

- **Case Management Services.** Case Management Services Program provides a systematic process of assessment and reassessment, planning, service and care coordination, referral, and monitoring. The Case Management Services Program serves as a key entry point for aging services, determines eligibility and authorizes services for individuals requesting Adult Day Care Services, In-Home Services and Home-Delivered Meals. Entry into these programs shall not be permitted before an assessment is made and eligibility established by Case Management Services.
- **Transportation Services.** In order to meet demands, clients requesting transportation shall make reservations with the Transportation Services Program in advance for service. If the date requested cannot be accommodated, the Transportation Services Program shall recommend an alternate date. Requests for persons using wheelchairs or having a Personal Assistant/Personal Care Attendant shall be made in the same manner, whether for Center participation or to and from medical appointments, etc.
- **Elderly Nutrition Program.** To the extent practicable, meals are prepared to meet special dietary needs of eligible participants, and shall be supported by a statement from the client's doctor or religious leader stating the necessity for special meals. Mechanical (chopped) or pureed (blenderized) meals are not classified as special meals and shall be provided to the client at their request.

**FOR ADULT PROTECTIVE SERVICES (APS)
REFERRALS, CALL 735-7421 / 7415
Monday – Friday, 8 a.m. to 5 p.m.
(Except on Recognized Holidays)
OR
24-HOUR APS
CRISIS INTERVENTION HOTLINE
at 632-8853
TWENTY-FOUR HOURS A DAY
SEVEN DAYS A WEEK.**

SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM
PLEASE PRINT CLEARLY USING BLUE OR BLACK INK.

A. CLIENT IDENTIFICATION		
Last Name		
First Name		
Middle Name		
Nickname		
Email Address		
Homeless	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Receives Care from NFCSP Caregiver	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Requires Assistance in an Emergency	<input type="checkbox"/> Yes (Specify)	<input type="checkbox"/> No
Home Address		
Mailing Address		
Phone (1)		
Phone (2)		
B. CLIENT CONTACTS		
Primary Emergency Contact		
Relationship		
Address		
Phone		
Email		
Physician Contact		
Physician Type		
Address		

Phone			
Email			
Primary Caregiver			
Relationship			
Address			
Phone			
Email			
Personal Contact			
Relationship			
Address			
Phone			
Email			
C. CLIENT DEMOGRAPHICS			
Date of Birth		Age	
Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
Transgender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
Disabled	<input type="checkbox"/> Yes (Specify Type)		<input type="checkbox"/> No
Disability	<input type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Not Applicable (N/A)		
Physical Disability	(Specify)	<input type="checkbox"/> N/A	
Intellectual Disability	(Specify)	<input type="checkbox"/> N/A	
Mental Illness	(Specify)	<input type="checkbox"/> N/A	
Cerebral Palsy	(Specify)	<input type="checkbox"/> N/A	
If < 60 Reason for Service	<input type="checkbox"/> Caregiver <input type="checkbox"/> Disabled <input type="checkbox"/> Meal Volunteer	<input type="checkbox"/> Other: _____ <input type="checkbox"/> Spouse <input type="checkbox"/> N/A	
Citizenship (Specify)			

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM
PLEASE PRINT CLEARLY USING BLUE OR BLACK INK.

<i>Race (Specify)</i>	<input type="checkbox"/> White <input type="checkbox"/> Black/African American <input type="checkbox"/> American Indian/Alaskan Native <input type="checkbox"/> Asian <input type="checkbox"/> Native Hawaiian/Other Pacific Islander <input type="checkbox"/> Other <input type="checkbox"/> Multiple	<i>Urban/Rural</i>	<input checked="" type="checkbox"/> Rural
<i>Ethnicity</i>	(Specify)	<i>Housing Type</i>	<input type="checkbox"/> House/Own <input type="checkbox"/> House/Rent <input type="checkbox"/> Apartment/Duplex <input type="checkbox"/> Residential Care Facility <input type="checkbox"/> Nursing Facility <input type="checkbox"/> Other <input type="checkbox"/> None
<i>Primary Language</i>	(Specify)	<i>Lives With</i>	<input type="checkbox"/> Alone <input type="checkbox"/> Family <input type="checkbox"/> Spouse <input type="checkbox"/> Non-Relative <input type="checkbox"/> Other
<i>English Fluency</i>	<input type="checkbox"/> Needs Translation <input type="checkbox"/> Limited <input type="checkbox"/> Fluent	<i>Referral Source</i>	<input type="checkbox"/> Self <input type="checkbox"/> Family/Friend <input type="checkbox"/> Agency: _____ <input type="checkbox"/> Other: _____
<i>Literacy</i>	<input type="checkbox"/> In English <input type="checkbox"/> In Primary Language <input type="checkbox"/> In Both <input type="checkbox"/> Illiterate	<i>Sources of Support</i>	<input type="checkbox"/> Family <input type="checkbox"/> Friend/Neighbor <input type="checkbox"/> Paid Help <input type="checkbox"/> Has help but unsure who provides help <input type="checkbox"/> Unknown
<i>Relationship Status</i>	<input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Separated <input type="checkbox"/> Single (Never Been Married) <input type="checkbox"/> Widowed <input type="checkbox"/> Domestic Partner	<i>Assisted Transportation</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>Employment Status</i>	<input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time <input type="checkbox"/> Retired <input type="checkbox"/> Un-Employed <input type="checkbox"/> Volunteer <input type="checkbox"/> Disabled	<i>Needs an Escort</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>Veteran Status</i>	<input type="checkbox"/> Veteran <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> No	<i>Primary Transportation</i>	<input type="checkbox"/> Owns Car <input type="checkbox"/> Aide <input type="checkbox"/> Friend <input type="checkbox"/> Public Transport <input type="checkbox"/> Senior Transport <input type="checkbox"/> Family <input type="checkbox"/> Other <input type="checkbox"/> None

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM
PLEASE PRINT CLEARLY USING BLUE OR BLACK INK.

Income Level				
Is your income less than				
Unit Size	Per Month	Per Year	Yes	No
One (1)	\$1,329.00	\$15,950.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Two (2)	\$1,795.83	\$21,550.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Three (3)	\$2,262.50	\$27,150.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Four (4)	\$2,729.17	\$32,750.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Five (5)	\$3,195.83	\$38,350.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Six (6)	\$3,662.50	\$43,950.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Seven (7)	\$4,129.17	\$49,550.00		
Is your combined income less than				
Unit Size	Per Month	Per Year	Yes	No
Eight (8)	\$4,595.83	\$55,150.00		
<input type="checkbox"/> For families/households with more than 8 persons, add \$5,600 for each additional member.				
\$ _____				
Income Information	<input type="checkbox"/> Above 100% FPL <input type="checkbox"/> At or Below 100% FPL			
Financial Assets (Refer to FAS Scale)	<input type="checkbox"/> 29% to 49% below the poverty level <input type="checkbox"/> 50% to 74% below the poverty level <input type="checkbox"/> 75% or greater below the poverty level <input type="checkbox"/> N/A			
Receives Social Security	<input type="checkbox"/> None <input type="checkbox"/> Retirement <input type="checkbox"/> Disability <input type="checkbox"/> Dependent			
Receives Private Pension	<input type="checkbox"/> Yes <input type="checkbox"/> No			
Health Insurance	(Specify)			
Medicare	<input type="checkbox"/> Part A <input type="checkbox"/> Part B Claim No. _____ <input type="checkbox"/> None <input type="checkbox"/> Part D Claim No. _____ <input type="checkbox"/> None <input type="checkbox"/> Medicare Supplemental Claim No. _____ <input type="checkbox"/> None			
Medicaid	<input type="checkbox"/> Yes Claim No. _____ <input type="checkbox"/> None			
Guardian/Conservator	<input type="checkbox"/> None <input type="checkbox"/> Voluntary <input type="checkbox"/> Involuntary			
Person/ Organization Holding Guardianship/ Conservatorship				
Guardian Conservator Type	<input type="checkbox"/> Estate <input type="checkbox"/> Person <input type="checkbox"/> Both <input type="checkbox"/> Dementia Power <input type="checkbox"/> Medical Authority <input type="checkbox"/> None			
Durable Power of Attorney	<input type="checkbox"/> Unknown <input type="checkbox"/> Limited <input type="checkbox"/> Health <input type="checkbox"/> Both <input type="checkbox"/> None			

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM
PLEASE PRINT CLEARLY USING BLUE OR BLACK INK.

<div>Supplemental Nutrition Assistance Program (SNAP)</div> <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div>		<div>Assistive Devices (Specify)</div> <div></div>	
<div>D. CLIENT FUNCTIONAL ASSESSMENT</div>		<div>Mobility Devices (Specify)</div> <div></div>	
<div>Impairment of Activities of Daily Living (ADL): Indicate the inability to perform one or more of the following six activities of daily living without personal assistance, stand-by assistance, supervision or cues:</div>		<div>Impairment in Instrumental Activities of Daily Living (IADL): Indicate the inability to perform one or more of the following eight instrumental activities of daily living without personal assistance, stand-by assistance, supervision or cues:</div>	
<div>Transfer Mobility</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>	<div>Preparing Meals</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>
<div>Bathing</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>	<div>Shopping for Personal Items</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>
<div>Dressing</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>	<div>Medication Management</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>
<div>Toileting</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>	<div>Managing Money</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>
<div>Eating</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>	<div>Using Telephone</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>
<div>Ambulating (i.e. Walking)</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>	<div>Doing Heavy Housework</div>	<div><input type="checkbox"/> Unknown<input type="checkbox"/> Independent<input type="checkbox"/> Supervision<input type="checkbox"/> Assistance<input type="checkbox"/> Dependent</div>

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM
PLEASE PRINT CLEARLY USING BLUE OR BLACK INK.

Doing Light Housework	<input type="checkbox"/> Unknown <input type="checkbox"/> Independent <input type="checkbox"/> Supervision <input type="checkbox"/> Assistance <input type="checkbox"/> Dependent	Support System	<input type="checkbox"/> Unknown <input type="checkbox"/> Support is Available <input type="checkbox"/> Minimum Support <input type="checkbox"/> No Support
Transportation Ability (Refers to the individual's ability to make use of available transportation without assistance)	<input type="checkbox"/> Unknown <input type="checkbox"/> Independent <input type="checkbox"/> Supervision <input type="checkbox"/> Assistance <input type="checkbox"/> Dependent	Housing	<input type="checkbox"/> Unknown <input type="checkbox"/> Full Concrete <input type="checkbox"/> Semi Concrete <input type="checkbox"/> Tin and Wood
Communication Skills Status		Homebound	<input type="checkbox"/> Unknown <input type="checkbox"/> Yes <input type="checkbox"/> No
Receptive	<input type="checkbox"/> Unknown <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor <input type="checkbox"/> Does Not Understand	Bedridden	<input type="checkbox"/> Unknown <input type="checkbox"/> Yes <input type="checkbox"/> No
Expressive	<input type="checkbox"/> Unknown <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor <input type="checkbox"/> Cannot Be Understood	E. AGING SERVICES REQUESTED	
Sensory Skills		<input type="checkbox"/> Adult Day Care (ADC) Services <div>(Specify Center)</div> <input type="checkbox"/> Elderly Nutrition Program (ENP): <div><input type="checkbox"/> Congregate Meals (Center/Day Care) <input type="checkbox"/> Home-Delivered Meals (Homebound) Meal Type: <div><input type="checkbox"/> Regular <input type="checkbox"/> Mechanical <input type="checkbox"/> Chopped <input type="checkbox"/> Pureed/Blenderized <input type="checkbox"/> Special (Provide document from physician or religious leader to certify special meal requirement)</div></div>	
Vision	<div><input type="checkbox"/> Unknown <input type="checkbox"/> Good <input type="checkbox"/> Limited <input type="checkbox"/> Legally Blind <input type="checkbox"/> Blind</div> <div><input type="checkbox"/> Glasses <input type="checkbox"/> Other</div>	<input type="checkbox"/> Case Management Services (CMS) <input type="checkbox"/> In-Home Services (IHS) <input type="checkbox"/> Legal Assistance Services (LAS) <div>(Specify)</div> <div><div>Expedite for ADC Admission</div><div>Received By/Date</div></div>	
Hearing	<div><input type="checkbox"/> Good <input type="checkbox"/> Limited <input type="checkbox"/> Deaf</div> <div><input type="checkbox"/> Unknown <input type="checkbox"/> Hearing Aid <input type="checkbox"/> Other</div>	<input type="checkbox"/> National Family Caregiver Support Program (NFCSP)	

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)


<div><input type="checkbox"/> Senior Center Operations (SCO) <div>(Specify Center)</div><div><input type="checkbox"/> Has an individual with disability 18 and older who lives with the older individual</div></div> <div><input type="checkbox"/> Transportation Services (TSP)<div><input type="checkbox"/> Walks with no assistance (Non-Assisted)<input type="checkbox"/> Walks with assistance (Assisted)<input type="checkbox"/> Field Trips<input type="checkbox"/> Food Commodity (Center)<input type="checkbox"/> Food Commodity (Non-Center)</div></div> <div>COMMENTS:</div>	<p>Individuals age sixty (60) years and older are eligible for Title III programs under the Older Americans Act. This Act also prioritizes services for:</p> <div><div><input checked="" type="checkbox"/> Persons who are frail, homebound by reason of illness or incapacitating disability, or otherwise isolated; and</div><div><input checked="" type="checkbox"/> Persons with greatest economic need and older individuals with greatest social needs (with particular attention to low-income older individuals, including low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas); and</div><div><input checked="" type="checkbox"/> Older individuals with disabilities (with particular attention to individuals with severe disabilities).</div></div> <p>Voluntary contributions to Title III programs are encouraged and used to expand services. Services may not be denied because the client will not or cannot contribute to the cost of the program.</p> <p>I CERTIFY THE INFORMATION GIVEN BY ME IS TRUE TO THE BEST OF MY KNOWLEDGE, AND I UNDERSTAND IT WILL BE KEPT CONFIDENTIAL AND USED ONLY TO HELP ME RECEIVE THE BENEFITS/SERVICES WHICH I MAY BE ENTITLED.</p> <p>I HEREBY AUTHORIZE THE DISCLOSURE AND RELEASE OF THIS INFORMATION ONLY FOR THE PURPOSES FOR WHICH IT IS INTENDED. THIS AUTHORIZATION MAY BE REVOKED BY THE UNDERSIGNED AT ANY TIME BY GIVING WRITTEN NOTICE TO THE PARTIES AUTHORIZED HEREIN.</p> <table><tr><td>Signature of Client or Authorized Representative (AR)</td><td></td></tr><tr><td>Date</td><td></td></tr><tr><td>Relationship to Client, if AR</td><td></td></tr></table>	Signature of Client or Authorized Representative (AR)		Date		Relationship to Client, if AR	
Signature of Client or Authorized Representative (AR)							
Date							
Relationship to Client, if AR							
<div>F. HIGH RISK CLIENTS UNDER EMERGENCY DECLARATION</div> <div><p>A client is considered High Risk under Emergency Declaration if any of the following exists. This information shall be provided to the client's village mayor in preparation for emergencies. Check all that apply.</p><div><input type="checkbox"/> Bedridden.<input type="checkbox"/> Requires transportation and/or escort assistance for evacuation to shelter, e.g., those living alone.<input type="checkbox"/> Requires refrigeration of medication and/or is insulin dependent.<input type="checkbox"/> Requires oxygen.<input type="checkbox"/> Lives in substandard housing.<input type="checkbox"/> Lives in a low-lying area.<input type="checkbox"/> Lives alone.<input type="checkbox"/> Not Applicable.</div></div>	<div>H. INTAKE INFORMATION</div>						
<div>G. ELIGIBILITY AND CONSENT OF CLIENT</div>							

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

SENIOR CITIZENS AGING SERVICES FY-2020
INTAKE, PROFILE AND REFERRAL (IPR) FORM
PLEASE PRINT CLEARLY USING BLUE OR BLACK INK.

Intake Worker		IPR Received By	
Signature of Intake Worker		Date	
Date/Time of Intake		Time	
Organization		Date of Initial Contact with Client	
Phone Number		Time of Initial Contact with Client	
IPR Forwarded To <input type="checkbox"/> Case Management Services Program <input type="checkbox"/> Adult Day Care Services Program _____ (Specify Center) <input type="checkbox"/> In-Home Services Program <input type="checkbox"/> Elderly Nutrition Program (Home-Delivered Meals) <input type="checkbox"/> Elderly Nutrition Program (Congregate Meals) <input type="checkbox"/> Legal Assistance Services Program <input type="checkbox"/> Senior Center Operations Program _____ (Specify Center) <input type="checkbox"/> Transportation Services Program <input type="checkbox"/> National Family Caregiver Support Program <input type="checkbox"/> Preventive Health Program		Time of Intake	
Forwarded By		Organization	
Date Forwarded		Phone Number	
Time Forwarded		MyPlate 10 Health Eating Tips for People age 65+* 1. Drink plenty of liquids. 2. Make eating a social event. 3. Plan healthy meals. 4. Know how much to eat. 5. Vary your vegetables. 6. Eat for your teeth and gums. 7. Use herbs and spices. 8. Keep food safe. 9. Read the Nutrition Facts label. 10. Ask your doctor about vitamins and supplements. *Ref.: http://www.choosemyplate.gov/choosing-healthy-meals-you-get-older#sthash.PROfnx5z.dpuf	
I. RECEIVING ORGANIZATION INFORMATION			

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

J. CLIENT'S HOME		
IF MAP IS SENT SEPARATELY, INCLUDE THE CLIENT'S NAME AND SSN AT TOP OF MAP		
Does the home have an accessible driveway?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If you use a wheelchair, is there an accessible ramp?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
MAP TO THE CLIENT'S HOME In the box below, draw a map to the client's residence marking the client's home with an "X". Indicate the house number, street name and the village where the client is from. Include primary and secondary access roads, type and color of the house, if fenced, landmarks such as adjacent to or across from the village community center, store, bus stop, etc. <i>All pets at your home shall be controlled by leash, cage, etc. In accordance with P.L. 22-13 and 26-76.</i>		
<div style="text-align: right;"></div>		

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

Financial Assets Scale (FAS)
(U.S. Department of Health and Human Services Poverty Guidelines for 2020)

Refer to Page 3 on IPR

Unit Size One (1)	Per Month \$1,329.00	Per Year \$15,950.00
29% to 49% below the poverty level	Earning between \$943.59 and \$677.79	Earning between \$11,324.50 and \$8,134.50
50% to 74% below the poverty level	Earning between \$664.50 and \$345.54	Earning between \$7,975.00 and \$4,147.00
75% or greater below the poverty level	Earning below \$332.25	Earning below \$3,987.50

Unit Size Two (2)	Per Month \$1,795.83	Per Year \$21,550.00
29% to 49% below the poverty level	Earning between \$1,275.04 and \$915.87	Earning between \$15,300.50 and \$10,990.50
50% to 74% below the poverty level	Earning between \$897.92 and \$466.92	Earning between \$10,775.50 and \$5,603.00
75% or greater below the poverty level	Earning below \$448.96	Earning below \$5,387.50

Unit Size Three (3)	Per Month \$2,262.50	Per Year \$27,150.00
29% to 49% below the poverty level	Earning between \$1,606.38 and \$1,153.88	Earning between \$19,276.50 and \$13,846.50
50% to 74% below the poverty level	Earning between \$1,131.25 and \$588.25	Earning between \$13,575.00 and \$7,059.00
75% or greater below the poverty level	Earning below \$565.63	Earning below \$6,787.50

Eight (8) or more in Family Unit Size, add \$466.67 per month or \$5,600 per year for each additional household member.

\$ _____

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

CLIENT'S NAME: _____ ID: _____ PROGRAM ID: _____
(Last, First, Middle Name)

DSC INTAKE, PROFILE AND REFERAL FORM (Revised: 2.4.2020). All other forms remain obsolete.



ADULT PROTECTIVE SERVICES REFERRAL

DIVISION OF SENIOR CITIZENS • DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES
123 Chalan Kureta, Mangilao, Guam 96813-6304 PH: 735-7415 or 7421

Transmittal of this referral form via facsimile is strictly prohibited.
Please print clearly using black or blue ink.

REFERRAL INFORMATION	
Referral taken by:	
Date:	
Time:	
Referring Person:	<input type="checkbox"/> Anonymous (Enter check <input checked="" type="checkbox"/> if appropriate)
Agency:	
Phone No.:	
Contact Person:	
Phone No.:	

CLIENT INFORMATION			
Client Status: (Enter check <input checked="" type="checkbox"/> in appropriate box)	<input type="checkbox"/> New	<input type="checkbox"/> Active	
	<input type="checkbox"/> Former	<input type="checkbox"/> Deceased; D.O.D.:	
	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
	<input type="checkbox"/> Elderly	<input type="checkbox"/> Adult with a Disability	
	<input type="checkbox"/> Elderly with a Disability (Dual)		
Last Name:			
First Name:			
Middle Name:			
Home Address: (Please include directions, description, landmarks, etc.) <input type="checkbox"/> Map on back			
Village:			
Current Physical Location:			
Phone No.:			
Ethnicity:			
Citizenship:			
Birth Date:			
Age:			
Marital Status: (Enter check <input checked="" type="checkbox"/> in appropriate box)	<input type="checkbox"/> Single	<input type="checkbox"/> Married	
	<input type="checkbox"/> Widowed	<input type="checkbox"/> Divorced	
	<input type="checkbox"/> Other:		
Disability:			

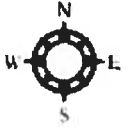
TYPES OF ABUSE (Enter check <input checked="" type="checkbox"/> in appropriate box)			
<input type="checkbox"/> Abandonment	<input type="checkbox"/> Emotional or Psychological		
<input type="checkbox"/> Financial or Property Exploitation	<input type="checkbox"/> Neglect		
<input type="checkbox"/> Physical	<input type="checkbox"/> Sexual		
<input type="checkbox"/> Self-Neglect	<input type="checkbox"/> Other:		

ALLEGED ABUSER INFORMATION			
Last Name:			
First Name:			
Middle Name:			
Relationship:			
Address: (Please include directions, description, landmarks, etc.)			
Village:			
Phone No.:			
Ethnicity:			
Gender:	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
Birth Date:			
Age:			
Marital Status: (Enter check <input checked="" type="checkbox"/> in appropriate box)	<input type="checkbox"/> Single	<input type="checkbox"/> Married	
	<input type="checkbox"/> Widowed	<input type="checkbox"/> Divorced	
	<input type="checkbox"/> Other:		

FOR USE BY APS STAFF ONLY			
Case No.:			
Referral No.:			
Database Entered by:			
Assigned Worker:			
Date Assigned:			
Reports:	24 Hour / 7 Day:	14 Day:	
	30 Day:	60 Day:	
Continued on back?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	

[illegible]

MAP:



APPENDICES OF ADULT PROTECTIVE SERVICES (APS) FORMS
APPENDIX B

- B 1. Procedures for Reporting Abuse of the Elderly or Adults with Disability
- B 2. APS Investigation Report- 7 Day Report- Victim Investigation Chronology
- B 3. APS Investigation Report- Initial Service Plan
- B 4. APS Investigation Report- Service Plan
- B 5. APS Investigation Report- 14 Day Report
- B 6. APS Investigation Report- 30 Day Report
- B 7. APS Investigation Report- 60 Day Report
- B 8. APS Investigation Report- Case Determination
- B 9. APS – Contacts Summary
- B 10. APS – Chronology Entry
- B 11. APS – Investigation Chronology Update
- B 12. APS – Central Registry Case Profile
- B 13. APS – Consent Form
- B 14. APS – Risk Factor Assessment

**DEPARTMENT OF PUBLIC HEALTH & SOCIAL SERVICES
DIVISION OF SENIOR CITIZENS**

PROCEDURES FOR REPORTING ABUSE OF THE ELDERLY OR ADULTS WITH DISABILITY

Public Law 31-278 delineates the policy for the reporting of abuse of the elderly and adults who have a disability. As stated within the law, the following definitions pertain:

- a. **Adult with a Disability** - Any person eighteen(18) years or older who:
 - 1. Has a physical or mental impairment which substantially limits one or more major life activities of daily living.
 - 2. Has a history of, or has been classified as having an impairment which substantially limits one or more major life activities.
- b. **Major Life Activities** - Include, but are not limited to: caring for oneself, performing manual tasks, standing, walking, seeing, hearing, eating, sleeping, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking and working.
- c. **Elderly** - A person age sixty (60) years or older.
- d. **Caregiver** - Any family member or any person, health facility, community care facility, clinic, home health care agency or legal guardian who has the care or custody of the elderly or adult with disability who has been abused.
- e. **Elderly or Adult with a Disability Abuse** - Means self-neglect or any one (1) or more of the following acts inflicted on an elderly or adult with a disability by other than accidental means by another person: physical abuse, neglect, or abandonment.

The following definitions apply:

- a. **Abandonment** - The desertion of an elderly or adult with a disability by his or her caregiver under circumstances in which a reasonable person would continue to provide care or custody.
- b. **Emotional or Psychological Abuse** - Includes fear agitation, confusion, severe depression, or other forms of serious emotional stress that is brought about by forms of intimidating behavior, threats, harassment, or by deceptive acts or false or misleading statements made with malicious intent to agitate, confuse, frighten, or cause severe depression or serious emotional distress of the elderly or adult with a disability.
- c. **Financial or Property Exploitation** - Illegal or improper use of an elderly or adult with a disability's money, property or other resources for monetary or personal benefit, profit or gain. This includes, but is not limited to, theft, misappropriation, concealment, misuse or fraudulent deprivation of money or property belonging to the elderly or adult with a disability.
- d. **Neglect** - The failure of a reasonable caregiver to provide for the physical, mental or emotional health and well-being of the elderly or adult with a disability and includes, but is not limited to:
 - 1. Failure to assist or provide personal hygiene.

2. Failure to provide adequate food, water, clothing or shelter.
 3. Failure to provide medical care for the physical and mental health of the individual. No person shall be deemed neglected or abused for the sole reason that he or she voluntarily relies on treatment by spiritual means through prayer alone in lieu of medical treatment.
 4. Failure to protect an individual from health, safety hazards, or physical harm.
- e. **Physical Abuse** - The willful infliction of or omission which results in physical harm. It includes, but is not limited to, cruel punishment resulting in physical harm or pain or mental anguish, such as direct beatings, slapping, kicking, biting, choking, burning, sexual assault or molestation, or unreasonable physical restraint or confinement resulting in physical injury.
 - f. **Physical Harm** - Means bodily pain, injury, impairment or disease.
 - g. **Self-Neglect** - Is the behavior of an elderly or adult with a disability that threatens his/her own safety. Self-neglect generally manifests itself when an elderly or adult with a disability refuses to provide him/herself with adequate food, clothing, shelter, personal hygiene, medication (when indicated), and safety precautions. The definition of self-neglect excludes a situation in which a mentally competent elderly or adult with a disability, who understands the consequences of his/her decisions, makes a conscious and voluntary decision to engage in acts that threaten his/her health or safety as a matter of personal choice.
 - h. **Sexual Abuse** – Means any form of non-consensual sexual contact, including but not limited to, unwanted or inappropriate sexual gratification, touching, rape, sodomy, sexual coercion, sexually explicit photographing, sexual harassment, involuntary exposure to sexually explicit material or language, and as defined in the penal code of Guam.

Reporting Requirements

Individuals who are required to report include:

- a. Any persons who, in the course of their employment, occupation or professional practice come into contact with elderly or adults with disabilities, has actual knowledge or reasonable cause to believe that an elderly or adult with a disability is suffering from or has died as a result of abuse, shall immediately make a verbal report of such information or cause a report to be made to the Bureau of Adult Protective Services or its authorized agency and shall, within 48 hours, make a written report to the Bureau or its authorized agency. If a verbal report is made on a Friday, a written report will be made by the next workday.
- b. Persons required to report abuse under this Law include, but are not limited to physicians, medical interns, medical examiners, nurses, chiropractors, hospital personnel engaged in the admission, examination, care or treatment of persons, social workers, employees of nursing homes, senior citizen centers and adult day care facilities, police officers, probation officers, employees of homemaker and home health service agencies, emergency medical service (EMS) providers, non-emergency medical transport providers, banking or financial institution personnel, pension providers, and practicum students in the field of health and human services.

- c. In addition to persons required to report, any other person may make such report to the Bureau of Adult Protective Services if any such person has reasonable cause to believe that an elderly or adult who has a disability is suffering from or has died as a result of abuse.

Reports shall include:

- a. The name of the person making the report and where he or she can be reached. However, reports of abuse may be made anonymously. Any person who in good faith makes a report under this article or testifies in any administrative or judicial proceeding related to the report is immune from civil or criminal liability for reporting or testifying. The identity of the person making the report shall be confidential.
- b. The name, address and approximate age of the elderly or adult with a disability.
- c. Information regarding the nature and extent of the abuse, the name of the person’s caretaker, if known, and any medical treatment being received or immediately required, if known.
- d. The name of the person or persons responsible for causing the suspected abuse.
- e. The source of the report.
- f. Any other information which may assist in the investigation of the suspected abuse.

Failure to Report

Any person who is required to report a case of suspected abuse who fails to report shall be liable for a fine of not more than \$500, except that for a second or subsequent offense, such person shall be guilty of a misdemeanor.

All individuals must strictly adhere to the Adult Protective Services Mandates. In addition to the APS mandates, the following information is provided:

- a. Any individual who suspects any category of abuse will immediately contact the Bureau of Adult Protective Services at 735-7421 or 7415. This contact number is valid Monday through Friday, 8:00 a.m. to 5:00 p.m. with the exception of federally or locally authorized holidays.
- b. After 5:00 p.m. or during the weekend or on federally or locally authorized holidays contact the Guma Serenidad Crisis Intervention Hotline at 632-8853.

REMEMBER THE RULE OF THUMB:

WHEN IN DOUBT.....REPORT!

**DEPARTMENT OF PUBLIC HEALTH & SOCIAL SERVICES
DIVISION OF SENIOR CITIZENS**

**PROCEDURES FOR REPORTING ABUSE OF THE ELDERLY OR ADULTS WITH
DISABILITIES, PUBLIC LAW 31-278**

By signing below I acknowledge that I received, read and understand the **PROCEDURES FOR
REPORTING ABUSE OF THE ELDERLY OR ADULTS WITH DISABILITIES, PUBLIC LAW 31-
278.**

Print Name

Position Title

Signature

Date



ADULT PROTECTIVE SERVICES INVESTIGATION REPORT – 7 DAY REPORT			
SECTION IV. – VICTIM INVESTIGATION CHRONOLOGY			
Client Name:		Case No.:	
Date	Narrative		
Based on initial contact:			
<input type="checkbox"/>	Inappropriate		
<input type="checkbox"/>	Service Plan in place		
<input type="checkbox"/>	Needs further investigation		
<input type="checkbox"/>	Undetermined due to:		
<input type="checkbox"/>	Substantiated		
<input type="checkbox"/>	Unsubstantiated		
Social Worker Signature:			Date:
Supervisor Review – Signature:			Date:

**ADULT PROTECTIVE SERVICES
INVESTIGATION REPORT**

SECTION V. – INITIAL SERVICE PLAN

Client Name:	Case No.:
--------------	-----------

Case No.:

[illegible]

Date:

SECTION V.—SERVICE PLAN UPDATES

Client Name:		Case No.:
Date	Problem / Goal	Objective / Method
Social Worker Signature:	Date:	

**ADULT PROTECTIVE SERVICES
INVESTIGATION REPORT – 14 DAY REPORT**

SECTION VI. – ALLEGED ABUSER INVESTIGATION CHRONOLOGY

Date	Narrative
------	-----------

Social Worker Signature:		Date:	
--------------------------	--	-------	--

SECTION VII.—INVESTIGATION CHRONOLOGY

SECTION VIII. – INVESTIGATION CHRONOLOGY

ADULT PROTECTIVE SERVICES INVESTIGATION REPORT			
SECTION IX. – CASE DETERMINATION			
Client Name:		Case No.:	
	SUBSTANTIATED: There is sufficient evidence to support the existence of the abuse.	DATE:	
	UNSUBSTANTIATED: There is inconclusive evidence of abuse but existence of the abuse cannot be disproved to the satisfaction of the Unit.	DATE:	
	NOT DETERMINED WITHIN 90 DAYS (<i>Explanation must follow</i>)	DATE:	
SECTION X. - TRANSFER / DISPOSITION / TERMINATION SUMMARY			
Transfer to:			
	Another APS Worker due to:		
	Emergency Receiving Home Worker due to:		
Disposition:			
	Pending – Case remains open for follow-up and monitoring.		
	Pending – Declaration sent to Office of the Attorney General – Substantiated.		
	Pending – If No Determination as of ninety (90) days after receipt of referral, information shall be expunged from Central Registry.		
	Substantiated/Unsubstantiated. Shall be maintained for ten years.		
Social Worker:		Date:	
Supervisor:		Date:	
Termination (<i>Investigation is complete</i>):			
	Client refused or withdrew consent to conduct investigation.		
	Case closed due to:		
	Case resolved.		
Social Worker:		Date:	
Supervisor:		Date:	

ADULT PROTECTIVE SERVICES UNIT CONTACTS SUMMARY

[illegible]

PC – Phone Call
HV – Home Visit

CC – Collateral Contact
OV – Office Visit

CW – Case Write-Up

ADULT PROTECTIVE SERVICES UNIT
CHRONOLOGICAL ENTRY

Client Name: _____

Case Number:

[illegible]

HV – Home Visit
OV – Office Visit

CC – Collateral Contact
PC – Phone Call

CW – Case Write-Up

INVESTIGATION CHRONOLOGY UPDATE

Client Name:		Case No.:	
Date	Narrative		
Social Worker Signature:			Date:

DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES									
DIVISION OF SENIOR CITIZENS									
ADULT PROTECTIVE SERVICES UNIT									
CENTRAL REGISTRY CASE PROFILE									
VICTIM PROFILE									
Client Name:					Case No.:				
Address:					Date of Birth:				
Category (Check (√) as appropriate):			Elderly		Adult		Dual		
ALLEGED PERPETRATOR PROFILE									
Name (s):									
SUMMARY									
Type of Abuse (Check (√) as appropriate):		Abandonment			Physical			Sexual	
		Material/Financial			Mental/Emotional			Neglect	
		Self Neglect			Other (Specify):				
DATE		LOCATION OF ALLEGED ABUSE							
NATURE AND EXTENT OF ALLEGED ABUSE(s):									
PROGRESS OF LEGAL PROCEEDINGS: □ NOT APPLICABLE									

ADULT PROTECTIVE SERVICES CONSENT FORM	
CONSENT TO CONDUCT INVESTIGATION	
This section is protected by Public Law 31-278, Chapter 2, Title 10, Article 10, Section 2957, Guam Code Annotated, Adult Protective Services Unit, relative to Consent of the Victim.	
I, _____ hereby give consent to the Adult Protective Services to conduct an investigation concerning Case No.: _____ received on _____ (Date)	
_____ Signature of Client or Guardian	_____ Witness
_____ Date	_____ Date
WITHDRAWAL OF CONSENT TO CONDUCT INVESTIGATION	
I, _____ hereby withdraw my consent for the Adult Protective Services to investigate matters relative to the above noted referral.	
_____ Signature of Client or Guardian	_____ Witness
_____ Date	_____ Date
REFUSAL TO CONDUCT INVESTIGATION	
I, _____ hereby refuse to give consent to the Adult Protective Services to conduct an investigation concerning Case No.: _____ received on _____ (Date)	
_____ Signature of Client or Guardian	_____ Witness
_____ Date	_____ Date

DIVISION OF SENIOR CITIZENS, DPHSS
ADULT PROTECTIVE SERVICES UNIT
RISK FACTOR ASSESSMENT

Case No:		Case Name:	
FACTOR	LOW RISK	MODERATE RISK	HIGH RISK
A. VICTIM			
▪ Physical/Mental Activities	<input type="checkbox"/> Cares for & protects self without assistance	<input type="checkbox"/> Requires limited assistance	<input type="checkbox"/> Completely unable to protect/care for self
▪ Perception of Risk	<input type="checkbox"/> Appropriate	<input type="checkbox"/> Questionable	<input type="checkbox"/> Inappropriate <i>*If checked, worker must complete a Mental Status Exam.</i>
▪ Extent of Abuse	<input type="checkbox"/> No discernable effect	<input type="checkbox"/> Safety assured; non-life threatening	<input type="checkbox"/> Life threatening; injuries require EMS
B. CAREGIVER/ GUARDIAN			
▪ Level of Cooperation / Protection	<input type="checkbox"/> Aware of the problem and cooperative; may deny some responsibility	<input type="checkbox"/> Limited cooperation; slow to seek help from agencies	<input type="checkbox"/> Denies problem and refuses to cooperate; vacillates in commitment to protect victim
▪ Abilities and Control	<input type="checkbox"/> Realistic perception	<input type="checkbox"/> Poor reasoning; needs assistance	<input type="checkbox"/> Poor perception and unwilling or unable
C. ALLEGED ABUSER			
▪ Rational Behavior	<input type="checkbox"/> Accidental injury with	<input type="checkbox"/> Unintentional minor injury	<input type="checkbox"/> Intentional with desire to harm
▪ Level of Cooperation	<input type="checkbox"/> Cooperates but does so because of authority involved rather than due to interest in victim's welfare	<input type="checkbox"/> May deny abusive behavior but acknowledge a generalized problem	<input type="checkbox"/> Likely to move from community; non-cooperative with services in the past
▪ Access to Victim	<input type="checkbox"/> No access	<input type="checkbox"/> Access is difficult; AA makes direct threats on a daily basis to victim's sense of security	<input type="checkbox"/> Complete access; AA makes direct and dramatic threats to victim's emotional security
▪ History of Abuse/Neglect	<input type="checkbox"/> No reported history	<input type="checkbox"/> Previous history, unsubstantiated	<input type="checkbox"/> Previous history, substantiated

FACTOR	LOW RISK	MODERATE RISK	HIGH RISK
D. ENVIRONMENT			
▪ Home Physical Condition	<input type="checkbox"/> Clean and no apparent safety/health hazard	<input type="checkbox"/> Trash/garbage or animal droppings not disposed	<input type="checkbox"/> Structurally unsound safety/health hazard
▪ Support System	<input type="checkbox"/> Support available	<input type="checkbox"/> Some support	<input type="checkbox"/> No support and isolated
▪ Stress	<input type="checkbox"/> Stable environment	<input type="checkbox"/> Financial burden/difficulties	<input type="checkbox"/> Other crisis in family; lacks support
E. CLIENT'S CAPACITY TO MAKE INFORMED LIFE DECISIONS	<input type="checkbox"/> Appears reliable to make own decisions at this time	<input type="checkbox"/> Questionable; needs further assessment; recommend referral to Office of the Public Guardian for review.	<input type="checkbox"/> Client exhibiting poor insight; lacks understanding of the problem due to decreased cognitive ability; recommend immediate contact with the Guam Police Department and the Attorney General of Guam for assistance and intervention.
F. SEVERITY OF NEGLECT / SELF-NEGLECT	<input type="checkbox"/> Unkempt appearance; meals irregular, but adequate; no medical / dental care, but with no ill effects; caregiver/AA has periodically not attended to victim's needs	<input type="checkbox"/> Caregiver/AA reveals frequent inability to care for or protect victim; meals not provided, victim chronically hungry; unattended medical / dental problems causing victim discomfort; some periods of unsupervised care, victim has no access to or knowledge of resources; caregiver/AA avoids interaction with victim to meet emotional needs	<input type="checkbox"/> Victim is left unsupervised for excess periods of time; neglect results or could result in severe injury or illness; caregiver/AA consistently withholds affection and rejects victim; significant developmental delays due to neglect
Notes:			
Social Worker conducting Assessment:		Date/Time of Assessment:	

APPENDICES OF GUMA SERENIDAD FORMS
APPENDIX C

- C 1. Guma Serenidad- Client Consent for Release of Information
- C 2. Guma Serenidad- Agreement for Shelter Services
- C 3. Guma Serenidad- Client Medical Report (to be completed by Physician)

GUMA SERENIDAD - CLIENT CONSENT FOR RELEASE OF INFORMATION

This information is being released from records protected by Public Law 31-278, Chapter 2, Title 10, Article 10, Section 2959, Guam Code Annotated, Bureau of Adult Protective Services as contracted to the Project Serenidad, Bidder's Name, relative to Confidentiality.

I, _____ (RELEASING PARTY), hereby give permission to release information to the Guma Serenidad, Bidder's Name as contracted by the Bureau of Adult Protective Services, Division of Senior Citizens, Department of Public Health and Social Services for the purpose of serving and protecting vulnerable adults age 18 through 59 and seniors, age 60 years and older, as applicable to me or my ward. The information being released is limited to: *Please be very specific with Releasing Party to initial beside each appropriate item.*

	Social Security Administration					Personal
	SSN#					Medical
		-		-		Financial

	All information determined to be necessary to assist in the investigation of APS Case No.:	
	Other: (specify)	

Signature of Client or Guardian	Witness
Date	Date

GUMA SERENIDAD - REVOCATION OF CONSENT FOR RELEASE OF INFORMATION

I, _____ (RELEASING PARTY), hereby revoke this Consent for Release of Information to the person or organization listed above as of _____ (DATE)

Signature of Client or Guardian	Witness
Date	Date

GUMA SERENIDAD

AGREEMENT FOR SHELTER SERVICES

I _____, hereby acknowledge the existence of the services which have been explained and discussed with me.

I therefore:

- ☐ Accept and will follow all Guma Serenidad rules and regulations during my stay at the shelter.
- ☐ Give permission to the shelter staff to conduct business on my behalf.
 - ☐ Pick up my medication
 - ☐ Take me on shelter activities
 - ☐ Take me to and from my doctor's appointments
 - ☐ Attend meetings on my behalf
 - ☐ Other(s) Specify: _____
- ☐ Refuse to give permission to the shelter staff to conduct business on my behalf.
- ☐ Would like to be referred to another agency for additional services.
 - ☐ Division of Senior Citizens (DSC) – Title III Aging Programs
 - ☐ Adult Protective Services (APS)
 - ☐ Department of Integrated Services for Individuals with Disabilities (DISID)
 - ☐ Department of Vocational Rehabilitation (DVR)
 - ☐ Legal Assistance Service (LAS)
 - ☐ Other(s) Specify: _____

Signature of Client/Family Member or Guardian

Date:

Signature of Shelter Staff

Date:

Signature of Witness

Date:

GUMA SERENIDAD CLIENT MEDICAL REPORT

(TO BE COMPLETED BY A PHYSICIAN)

TO THE PHYSICIAN: Please be specific in providing a complete report. Such information is essential in determining proper placement in our **GUMA SERENIDAD**. This will be part of the client's record.

Client's Name:		Date of Admission:	
Address:		Date of Discharge:	
Phone No.:		Client I.D. Number:	
Birthdate:	Client Lives: <input type="checkbox"/> Alone <input type="checkbox"/> Other Relative <input type="checkbox"/> w/Spouse <input type="checkbox"/> Non-Relative <input type="checkbox"/> w/Children		Social Worker:
Sex: <input type="checkbox"/> Female <input type="checkbox"/> Male	Marital Status: <input type="checkbox"/> Married <input type="checkbox"/> Single <input type="checkbox"/> Widow <input type="checkbox"/> Separated <input type="checkbox"/> Divorced <input type="checkbox"/> Unknown		
Religious Preference	Racial/Ethnic Background	Highest Level of Education	
Family Income	Language Spoken, if not English	Interpreter Needed <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what language:	
Entitlements <input type="checkbox"/> Social Security <input type="checkbox"/> TANF <input type="checkbox"/> Food Stamps <input type="checkbox"/> Other	Health Care Coverage <input type="checkbox"/> Medicare Plan A <input type="checkbox"/> Medicare Plan B <input type="checkbox"/> Medicaid <input type="checkbox"/> Other (Specify) _____	Person to contact in an emergency: Phone No.:	
		Preferred Hospital:	
Name of Primary Physician:		Name of Alternate Physician:	
Address:		Address:	
Phone Number:		Phone Number:	
Name of Legal Guardian:		Relationship	
Address:		Phone Number:	

PHYSICAL FINDINGS

(Check those applicable)

A. STATE OF AMBULATION

- ☐ Walks freely without aid, including stairs.
- ☐ Walks with difficulty without aid, including stairs.
- ☐ Walks without aid, but cannot climb stairs.
- ☐ Requires assistance for all walking.
- ☐ Crutches.
- ☐ Wheelchair-bound.

Other qualifying remarks: _____

B. FEEDING

- ☐ Can cut food and feed self.
- ☐ Can feed self, only if food is cut.
- ☐ Must be fed.
- ☐ Tube feeding required.

Other qualifying remarks: _____

C. BOWEL AND BLADDER CONTROL

- ☐ No assistance needed.
- ☐ Could be helped to bathroom when necessary.
- ☐ Condition varies.
- ☐ Requires bedpan or urinal and _____ can _____ cannot request same.
- ☐ Completely incontinent of feces and urine.

Other qualifying remarks: _____

D. SPEECH

- ☐ No difficulty.
- ☐ Language barriers.
- ☐ Dysarthria.
- ☐ Aphasia.

Other qualifying remarks: _____

E. MENTAL STATE

- ☐ No abnormalities.
- ☐ Emotional stability _____ presenting _____ not presenting problems in management.
- ☐ Mild confusion and memory lapses.
- ☐ Noisy and disturbing to others.
- ☐ Has been in the past an alcoholic or drug addict _____ but not presenting these problems at present time.

Other qualifying remarks: _____

F. PERSONAL CARE

- ☐ No assistance needed.
- ☐ Needs help in _____ dressing _____ toileting.

Other qualifying remarks: _____

PHYSICAL FINDINGS (continued)

(Check those applicable)

G. PATIENT REQUIRES

- ☐ Close medical care and supervision.
☐ Primary nursing care with occasional medical supervision.
☐ Simple custodial care (room and board in protected situation) with occasional medical supervision.

Other qualifying remarks: _____

Any special problems such as: _____ deafness _____ blindness _____ amputation.

Is the patient free from communicable disease? _____ Yes _____ No

DIAGNOSIS: _____

PROGNOSIS: _____

RESTORATIVE GOALS: _____

LABORATORY FINDINGS:

Serology _____ CBC _____ Urinalysis _____
Other _____

X-RAY REPORT: _____

RECOMMENDATIONS: _____

SPECIAL DIET: _____

MEDICATIONS: _____

PHYSICIAN'S SIGNATURE

DATE

APPENDICES OF GUMA SERENIDAD FORMS
APPENDIX D

OFFEROR'S PROFILE

1.	Bid for:	GUMA SERENIDAD PROGRAM Effective Date through September 30, 2021 with Renewal Options
2.	Name of Organization:	
	Address:	
	Office Telephone Number:	
	Fax Number:	
	E-mail Address:	
3.	Type of Organization: Indicate status, check one:	<input type="checkbox"/> Governmental Unit established by law <input type="checkbox"/> Private Non-Profit Corporation <input type="checkbox"/> Proprietary Agency <input type="checkbox"/> Private for Profit <input type="checkbox"/> Other: Specify _____
4.	Location of the organization's principal place of business or central office.	
5.	Location of proposed place of business, if different from above.	
6.	Date of Incorporation, as applicable.	
7.	Number of years in business:	
8.	Average number of employees over a period of 12 months:	
9a.	Name of proposed Program Manager:	
9b.	Contact Number:	
10a.	Name and position title of proposed alternate Person in Charge in the absence of the Program Manager who shall be responsible for the daily operations of the program:	
10b.	Contact Number:	

Instructions: Bidders s need to complete, sign and submit this form with this bid.

The above Guma Serenidad Program Bid Specifications were drafted by personnel of the Department of Public Health and Social Services, Division of Senior Citizens (DPH&SS, DSC) staff to include, but not limited to the Social Worker III, Social Service Supervisor I and the Acting Senior Citizens Administrator. The funding for this procurement is current, available, and certified.

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Approved By:

Arthur U. San Agustin, MHR /Date
Procurement Officer/Acting DPHSS Director